

# Effects of artificial barriers on the propagation of debris avalanches

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## ABSTRACT

The paper deals with the effects of artificial barriers on the dynamic features of unconfined flows such as debris avalanches in coarse-grained materials. These phenomena are often responsible for damage to structures and risk to human life. Artificial barriers could mitigate those threats by reducing the flow velocity and the runout distance as well as diverting the flow towards lateral zones constrained by the barriers. A quasi-3D SPH hydro-mechanically coupled model was used to simulate the propagation heights and velocities, the evolution of pore water pressures inside the flow and the entrainment of additional material from the ground surface during the propagation stage. The numerical simulations referred to: i) simple topography resembling typical in-situ conditions; ii) the case history of Nocera Inferiore (Southern Italy) where a destructive debris avalanche occurred in 2005. Different scenarios were analysed relative to the number, type and location of the artificial barriers. The numerical results highlight the variations in propagation pattern, velocity, and deposition thickness of the flows, which may occur in presence of artificial barriers. Indications on favourable type and location of barriers are provided both for the simple topography and for the specific case study.

**Keywords:** SPH, propagation, barrier, debris avalanche, flow

## 1 INTRODUCTION

Unconfined flows comprise a large series of natural processes including rock avalanches, pyroclastic flows, and debris avalanches, which propagate along the slopes far from drainage lines, ravines, or valleys. They are extremely rapid, travel hundreds of metres, and increase in volume during the propagation stage (Cascini et al., 2014). Flows in fine-grained materials are generally elongated (Hurlimann et al., 2015), while those occurring in coarse-grained materials may have a significant lateral spreading (Cascini et al., 2016). The latter is typical for a “debris avalanche”, which Hungr et al. (2001, 2014) define as a “very rapid to extremely rapid shallow flow of partially or fully saturated debris on a steep slope, without confinement in an established channel”. The scientific literature clearly evidences that debris avalanches have a minor mobility compared to other flows

38 (Cascini et al., 2011a). This relates to the faster dissipation of the pore water pressures compared to  
39 the case of channelised flows. In fact, most debris avalanches stop at the toe of the slope.

40 Previous propagation analyses of flow-type landslides have been proposed using a variety of  
41 methods (e.g. Finite Element Method, Finite Difference Method, Discrete Element Method), among  
42 which Smoothed Particle Hydrodynamics (SPH) provided a good compromise of accuracy and time  
43 efficiency (Pastor et al., 2009; Cuomo et al., 2014; Braun et al., 2018). Small-sized flume tests, real  
44 case histories and also the force (or pressure) of a flow impacting a barrier have been investigated so  
45 far. In this regard, analytical formulations for the impact explicitly consider: (*h*) height (Armanini et  
46 al., 2011); (*v*) velocity (Bugnion et al., 2011); both height and velocity (Ceccato et al., 2017; Canelli  
47 et al., 2012; Armanini et al., 2011); or combinations of the previous factors, such as the Froude  
48 number, defined as  $v/(g \cdot h)^{0.5}$ , being *v* the above mentioned flow velocity (Vagnon et al., 2016), or the  
49 compression waves velocity within the impacting medium (Calvetti et al., 2016).

50 In order to cope with the threat related to debris flows, rigid or flexible artificial barriers have been  
51 used in the field to modify height or velocity (Wendeler et al., 2007) or to stop the debris flow such as  
52 sabo dams (Mizuyama, 2008) and check dams (Popescu et al., 2009). Numerical analyses demonstrate  
53 that the obstacles appropriately reduce the runout distance and velocity of the flow-like landslides  
54 (Cuomo et al., 2017; Gioffrè et al., 2018). In other cases, the baffles reduced the peak dynamic impact  
55 forces of the flow (Choi et al., 2015b). For instance, Ng et al. (2015) analysed the interaction between  
56 baffles and debris flows through flume model experiments. Kwan et al. (2015) studied the effect of a  
57 series of barriers on landslides using numerical analyses and flume scale tests, disregarding the 3D  
58 effects. The role of geometry and number of obstacles has been recently analysed (Kattel et al., 2018).  
59 However, the literature still lacks contributions about the effectiveness of artificial barriers located at  
60 different positions in the piedmont zone and considering different features of the flow. Neither the  
61 efficiency of such barriers has been analysed in relation to the features of flows nor a consolidated  
62 design strategy is available for check dams or barriers subject to horizontal forces.

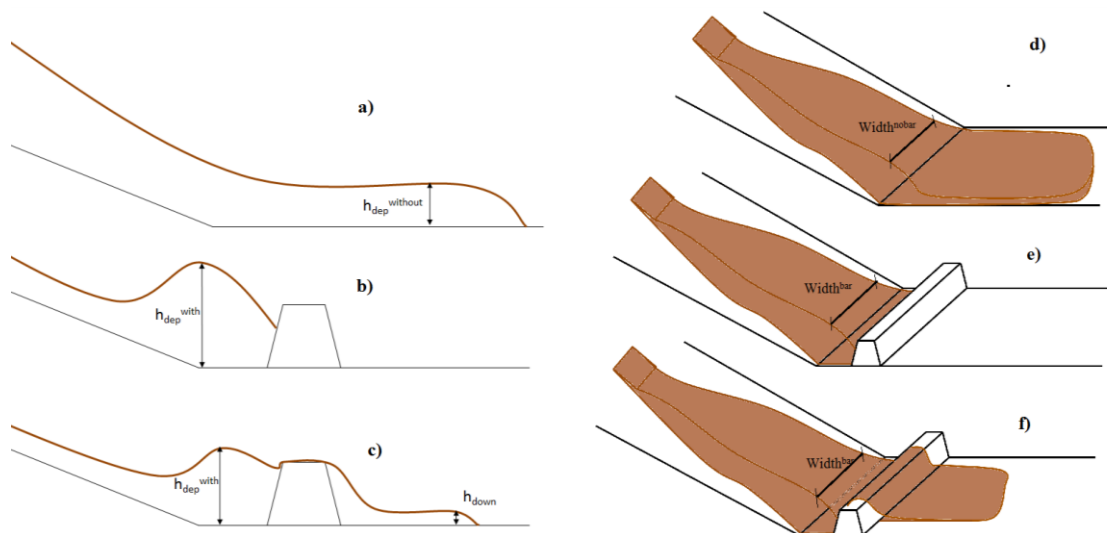
63 In this paper, debris avalanches are analysed focusing on flow features, such as height and  
64 velocity, and deposition area along simplified or real 3D topographies. Novel numerical analyses are  
65 proposed relative to: i) simple topography resembling typical in-situ conditions (Cuomo et al., 2014)  
66 and ii) the case history of Nocera Inferiore (Southern Italy), where a destructive debris avalanche  
67 occurred in 2005 (Cascini et al., 2016). Different scenarios are analysed for different numbers, types  
68 and locations of the artificial barriers. For all cases, the runout and the features of the flow are  
69 computed providing insights on the feasibility and efficiency of barriers used to protect the piedmont  
70 area.

71

## 72 2 MATERIALS AND METHODS

73 A barrier installed in the piedmont zone should be capable to resist to the dynamic actions exerted

74 by the impacting flow, while its deformation and displacement are small enough to not significantly  
 75 change the geometry of the barrier during the flow propagation. In such a process, three scenarios may  
 76 occur: i) the flow stops behind the barrier; ii) the flow overtops the barrier but its velocity (and  
 77 possibly, height) is reduced; iii) the barrier is badly located and the propagation features of the flow  
 78 are not changed to acceptable values. A sketch of the three scenarios is provided in Fig. 1. Behind the  
 79 barrier, in any of the three scenarios, the flow velocity reaches a peak value and then decreases to zero  
 80 as the material stops. In the same time lapse, the landslide height increases up to the deposition  
 81 thickness. At this location, the Froude number may greatly change in time, and this issue will be  
 82 investigated in this paper. Specifically, the time interval between the arrival and the deposition of the  
 83 flowing material behind the barrier will be referred to as “impact stage”.



85  
 86 *Figure 1. Different scenarios of flow propagation: a, d) natural slope; b, e) slope engineered with a barrier capable to stop*  
 87 *the flow; c, f) flow overcoming the barrier.*

89 The “GeoFlow\_SPH” model, which is herein used, schematises the propagating mass into a  
 90 mixture of a solid skeleton and pore water. It is a continuum-based approach based on a set of partial  
 91 differential equations such as: i) the balance of mass of the mixture combined to the balance of the  
 92 linear momentum of the pore fluid; ii) the balance of the linear momentum of the mixture; iii) the  
 93 rheological equation of the mixture; iv) the kinematical relations between velocity and deformation. It  
 94 is a quasi-3D model, as the fundamental equations are depth-integrated. The framework of Smoothed  
 95 Particle Hydrodynamics (SPH) numerical method is used. The flowing mass is subdivided into a  
 96 cluster of computational points, each of them moving along the topographic surface and transporting  
 97 part of the landslide mass for which information about height, velocity, pore water pressure and bed  
 98 erosion are computed in time. The mathematical and numerical details of the model are provided in  
 99 [Pastor et al. \(2009\)](#) and [Cuomo et al. \(2014\)](#).

100 The main mechanisms included in the model are: i) the pore water pressure dissipation in the

101 flowing material due to consolidation along the normal direction to the ground surface (Cascini et al.,  
 102 2014) and ii) the entrainment of bed material along the propagation path (Cuomo et al., 2014). For the  
 103 latter issue, we considered the entrainment rate ( $e_r$ ) as  $e_r = K h v (\tan\theta)^{2.5}$ , where  $K$  is an empirical  
 104 parameter that can be back-calculated from analysis of past events,  $\theta$  is the local slope angle,  $h$  is the  
 105 flow height and  $v$  is the flow velocity (Blanc, 2008). Another important factor in the flow propagation  
 106 is the rheology of the flowing material (Cascini et al., 2016; Cuomo et al., 2016).

107 The input data used for the numerical modelling were: the Digital Terrain Model (DTM) of the  
 108 simplified topography or real study areas, the rheological features of the flow, and the geometry,  
 109 number and relative position of the barriers. The frictional rheological model is regarded as reliable  
 110 for flows involving saturated granular materials (Pastor et al., 2014) and the rheological parameters  
 111 are taken from the literature as listed below. Two geometries (trapezoid or compound cross section) of  
 112 the barriers were investigated.

113 In this paper, the barriers are simply considered as geometrical modifications of the ground  
 114 surface and they are assumed as not erodible by the flow. In the case of two barriers, they are still not  
 115 erodible, while the zone between them can be eroded. The position and the geometry of the barrier are  
 116 assumed as fixed during the impact stage. These assumptions rely on the fact that the local  
 117 displacements in a well-designed barrier should be limited to some centimetres. The evaluation of the  
 118 flow energy dissipation due to the impact against the barrier is beyond the scope of this work and is  
 119 not included in the numerical analyses. This means that the simulated values of flow height and flow  
 120 velocity must be considered as a safe overestimation of the real values.

121 We calculated the Froude number of the flow from the computed height and velocity at reference  
 122 points behind the barriers.  $Fr > 1$  corresponds to a supercritical flow, whose propagation is  
 123 independent on the conditions along the flow path. The flow is subcritical for  $Fr < 1$ , i.e. influenced  
 124 by downstream conditions (Choi et al., 2015; Cascini et al., 2018). Based on current literature,  
 125 supercritical flows usually result in a vertical jet mechanism impacting a rigid barrier (i.e. the flow  
 126 develops upwards even along vertical walls); whereas subcritical flows may show a mild reflective  
 127 wave mechanism (Choi et al., 2015).

128 Two parameters are newly proposed for the flow propagation analysis, namely the Index of  
 129 Piedmont Runout Reduction ( $I_{PRR}$ ) and the Index of Lateral Spreading ( $I_{LS}$ ), which read as:

$$130 \quad I_{PRR} = \frac{PR_{eng}}{PR_{nat}} \quad (1)$$

$$131 \quad I_{LS} = \frac{W_{eng}}{W_{nat}} \quad (2)$$

132 where  $PR_{eng}$  is the Piedmont Runout distance travelled by the flow inside the piedmont zone  
 133 engineered with barriers,  $PR_{nat}$  is the runout inside the piedmont zone for the natural slope,  $W_{eng}$  is the  
 134 maximum lateral width of the flow behind the barrier for the engineered slope, and  $W_{nat}$  is the

135 analogous feature of the flow computed at the same point for the natural slope.

136 An  $I_{PRR} < 1.0$  is desirable, and the lower  $I_{PRR}$ , the better the efficiency of the barrier.  $I_{PRR}$  also  
137 depends on where the barriers are located. A barrier favours the flow material to spread laterally and it  
138 is expected that  $I_{LS} > 1.0$ . For multiple barriers,  $I_{LS}$  is computed with the highest  $W_{eng}$  obtained for  
139 each barrier.

140 In addition, the Relative Pore Water Pressure (RPWP) is defined as the ratio between the water  
141 pressure and the total vertical pressure of the flow (Cascini et al., 2016), and it is computed in the  
142 time-space domain throughout the propagation-deposition process.

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### 144 3 ANALYSIS OF SIMPLE TOPOGRAPHY CASE

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#### 146 3.1 Input data

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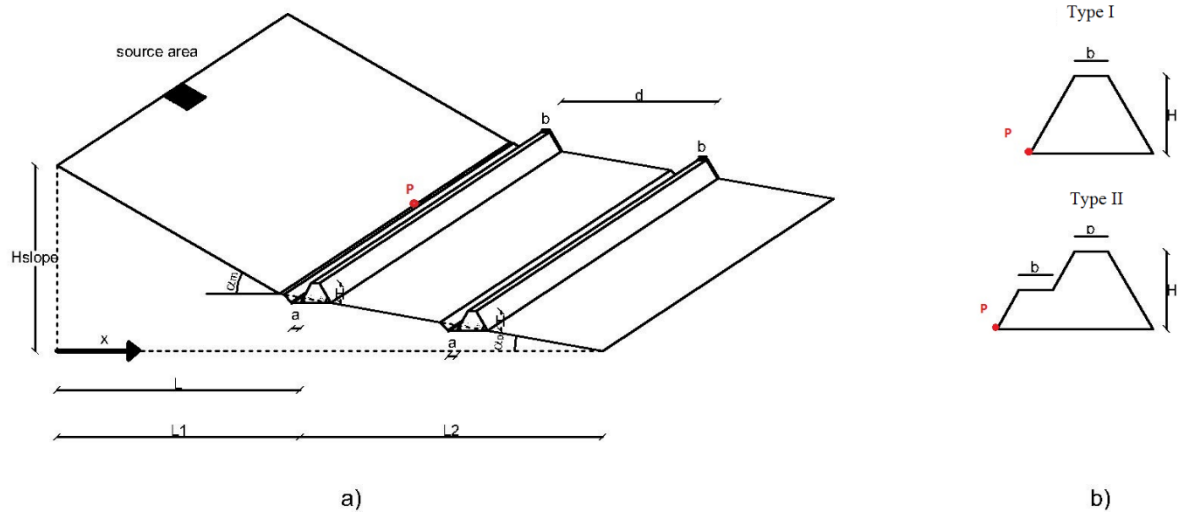
148 A schematic open slope is firstly analysed, which is composed of two differently inclined planes  
149 and a debris avalanche triggered at the uppermost portion of the slope. The computational scheme and  
150 the soil properties are taken from Cuomo et al. (2014), who extensively investigated the role of the  
151 several factors involved in the propagation stage of a debris avalanche.

152 The slopes are inclined with  $30^\circ$  or  $40^\circ$  with different lengths (horizontal projection)  $L_1$  (Fig. 2a).  
153 The piedmont zone is flat or gently inclined ( $10^\circ$  steep) with length  $L_2$ . The length and the width of  
154 the source area are  $L_{trig}$  and  $B_{trig}$ , respectively, and  $H_{trig}$  is the initial height of soil inside the source  
155 area. A selection of the several numerical simulations performed are reported in Table 1, with  $L_1=230$   
156 m,  $L_2=500$  m, the width of the slope ( $B$ ) equal to 800 m, and the slope height ( $H_{slope}$ ) equal to 222 m or  
157 130 m for  $\alpha_p=10^\circ$  or  $\alpha_p=0^\circ$ , respectively. The DTM cell size is equal to 1.1 m for both slopes, inclined  
158 with  $40^\circ$  and  $30^\circ$ .

159 One or more barriers are added in the piedmont zone (Fig. 2). Each barrier is 5 m high ( $H$ ), with  
160 top width ( $b$ ) equal to 3 m, the upslope raceway ( $a$ ) 3 m wide, and both lateral fronts inclined with  $60^\circ$   
161 (Fig. 2b). The Type I barrier has a trapezoidal shape; the Type II barrier is similar but with an  
162 additional step ( $H/2$  high, and large as  $b$ ) located upslope. In the simulations, the first barrier is in the  
163 piedmont zone, specifically 10 m ( $x=240$  m) or 25 m ( $x = 255$  m) or 50 m ( $x = 280$  m) downslope the  
164 divide between the slope and the piedmont.

165 Different sets of soil properties, such as the soil unit weight ( $\gamma$ ), the friction angle ( $\tan\phi$ ), the  
166 initial height of water table divided by the soil thickness ( $h_{wrel}$ ), the initial value of relative pore water  
167 pressure (RPWP), the dimensions of the source area ( $L_{trig}$ ,  $B_{trig}$ ) and the initial height of the flow ( $h_{trig}$ )  
168 are taken from Cuomo et al. (2014), resembling the features of catastrophic events that occurred in  
169 Southern Italy, such as those of Cervinara in 1999 (Cascini et al., 2011b), and Nocera Inferiore in  
170 2005 (Cuomo et al., 2014). Therefore, this paper extends the previous literature to the cases of a  
171 debris avalanche mitigated by barriers located in the piedmont zone.

172 The number of computational points is equal to 484,120, initially spaced 1.1 m for the slope  
 173 inclined with 40°, while the number of computational points is equal to 80,758, initially spaced 1.1 m  
 174 for the slope inclined with 30°. The time step of the numerical analyses is set to 0.5 s. For both types  
 175 of barriers we defined a control point (P) behind the barrier to monitor in time the computed height  
 176 and velocity of the flow (Fig. 2a-b).  
 177



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Figure 2. Simple topography (a) and types of barriers (b) considered in the numerical analyses.

Table 1. List of selected numerical cases for the slope inclined with 40°.

Case	$\alpha_p$ (°)	$B_{\text{trig}}$ (m)	$L_{\text{trig}}$ (m)	$h_{\text{trig}}$ (m)	$\tan\phi$ (-)	$h_w^{\text{rel}}$ (m)	RPWP (-)	Type of barrier	L (m)
S1	10	50	100	1.0	0.52	0.40	0.5	none	-
S2	10	50	100	1.0	0.52	0.40	0.5	I	245
S3	10	50	100	1.0	0.52	0.40	0.5	II	245
S4	10	50	100	1.0	0.52	0.40	0.5	I	255
S5	10	50	100	1.0	0.52	0.40	0.5	II	255
S6	10	50	100	1.0	0.52	0.40	0.5	I	280
S7	10	50	100	1.0	0.52	0.40	0.5	II	280
S8	10	50	100	1.0	0.52	0.40	0.5	I	245-255
S9	10	10	26	4.0	0.30	0.75	1.0	none	-
S10	10	10	26	4.0	0.30	0.75	1.0	I	245
S11	10	10	26	4.0	0.30	0.75	1.0	II	245
S12	10	10	26	4.0	0.30	0.75	1.0	I	255
S13	10	10	26	4.0	0.30	0.75	1.0	II	255

<i>S14</i>	10	10	26	4.0	0.30	0.75	1.0	I	280
<i>S15</i>	10	10	26	4.0	0.30	0.75	1.0	II	280
<i>S16</i>	10	10	26	4.0	0.30	0.75	1.0	II	245-255
<i>S17</i>	10	10	26	4.0	0.30	0.75	1.0	II	255-280
<i>R1</i>	0	50	100	1.0	0.52	0.40	0.5	none	-
<i>R2</i>	0	50	100	1.0	0.52	0.40	0.5	I	245
<i>R3</i>	0	50	100	1.0	0.52	0.40	0.5	II	245
<i>R4</i>	0	50	100	1.0	0.52	0.40	0.5	I	255
<i>R5</i>	0	50	100	1.0	0.52	0.40	0.5	II	255
<i>R6</i>	0	50	100	1.0	0.52	0.40	0.5	I	245-255
<i>R7</i>	0	10	26	4.0	0.30	0.75	1.0	none	-
<i>R8</i>	0	10	26	4.0	0.30	0.75	1.0	I	245
<i>R9</i>	0	10	26	4.0	0.30	0.75	1.0	II	245
<i>R10</i>	0	10	26	4.0	0.30	0.75	1.0	I	255
<i>R11</i>	0	10	26	4.0	0.30	0.75	1.0	II	255
<i>R12</i>	0	10	26	4.0	0.30	0.75	1.0	I	280
<i>R13</i>	0	10	26	4.0	0.30	0.75	1.0	II	280
<i>R14</i>	0	10	26	4.0	0.30	0.75	1.0	I	245-255
<i>R15</i>	0	10	26	4.0	0.30	0.75	1.0	I	255-280

$\alpha_p$ : slope angle of piedmont area;  $L_{trig}$ : length of landslide source area;  $B_{trig}$ : width of landslide source area;  $h_{trig}$ : initial height of trigger area;  $\tan \varphi$ : friction angle;  $h_w^{rel}$ : relative water height;  $RPWP$ : relative pore water pressure;  $L$ : distance between the barrier and source area.

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Table 2. List of the numerical cases selected for the 30° steep slope.

Case	$\alpha_p$ (°)	$B_{trig}$ (m)	$L_{trig}$ (m)	$h_{trig}$ (m)	$\tan \varphi$ (-)	$h_w^{rel}$ (m)	RPWP (-)	Type of barrier	$L$ (m)
<i>S18</i>	10	50	100	1.0	0.52	0.40	0.5	none	-
<i>S19</i>	10	50	100	1.0	0.52	0.40	0.5	I	245
<i>S20</i>	10	50	100	1.0	0.52	0.40	0.5	II	245
<i>S21</i>	10	50	100	1.0	0.52	0.40	0.5	I	255
<i>S22</i>	10	50	100	1.0	0.52	0.40	0.5	II	255
<i>S23</i>	10	50	100	1.0	0.52	0.40	0.5	I	280
<i>S24</i>	10	50	100	1.0	0.52	0.40	0.5	II	280

S25	10	10	26	4.0	0.30	0.75	1.0	none	-
S26	10	10	26	4.0	0.30	0.75	1.0	I	245
S27	10	10	26	4.0	0.30	0.75	1.0	II	245
S28	10	10	26	4.0	0.30	0.75	1.0	I	255
S29	10	10	26	4.0	0.30	0.75	1.0	II	255
S30	10	10	26	4.0	0.30	0.75	1.0	I	280
S31	10	10	26	4.0	0.30	0.75	1.0	II	280
S32	10	10	26	4.0	0.30	0.75	1.0	I	255-280
R16	0	50	100	1.0	0.52	0.40	0.5	none	-
R17	0	50	100	1.0	0.52	0.40	0.5	I	245
R18	0	50	100	1.0	0.52	0.40	0.5	II	245
R19	0	50	100	1.0	0.52	0.40	0.5	I	255
R20	0	50	100	1.0	0.52	0.40	0.5	II	255
R21	0	10	26	4.0	0.30	0.75	1.0	none	-
R22	0	10	26	4.0	0.30	0.75	1.0	I	245
R23	0	10	26	4.0	0.30	0.75	1.0	II	245
R24	0	10	26	4.0	0.30	0.75	1.0	I	255
R25	0	10	26	4.0	0.30	0.75	1.0	II	255
R26	0	10	26	4.0	0.30	0.75	1.0	I	245-255

$\alpha_p$ : slope angle of piedmont area;  $L_{trig}$ : length of landslide source area;  $B_{trig}$ : width of landslide source area;  $h_{trig}$ : initial height of trigger area;  $\tan \varphi$ : friction angle;  $h_w^{rel}$ : relative water height;  $RPWP$ : relative pore water pressure;  $L$ : distance between the barrier and source area..

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### 185 3.2 Numerical results

186 Some of the simulated debris avalanches are shown in Figure 3 at the final time step. The barrier  
187 reduces the runout in the piedmont zone either if the barrier completely stops the flow (Cases S23,  
188 R24) or the barrier is overtopped (Cases S21, R22). The deposition zone and area affected by each  
189 simulated flow depend on the initial failure volume, rheology, type and position of the barrier(s). For  
190 the three largest simulated avalanches (initial volume of 5,000 m<sup>3</sup>) propagating over a gentle or flat  
191 piedmont, the height of the landslide deposit increases by about 5 m with one barrier (cases S23, S21)  
192 compared to the natural slope (case S18). The flowing material overtops the barrier in Case S21,  
193 while it stops behind the barrier in Case S23. In both cases, the runout distance decreases by about 50  
194 m with the presence of the barrier. The smaller avalanches (initial volume of about 260 m<sup>3</sup>) have  
195 similar behaviour independent on the piedmont steepness. The runout decreases by a few meters due



196 to the barrier (cases R24, R22 versus case R21) and the deposit thickness increases of about 1 m  
 197 behind the barrier (Fig. 3). More in general, the height of the flows always increases behind the  
 198 barrier (cases S21, S23, R22, R24) compared to the natural slope (cases S18, R21).  
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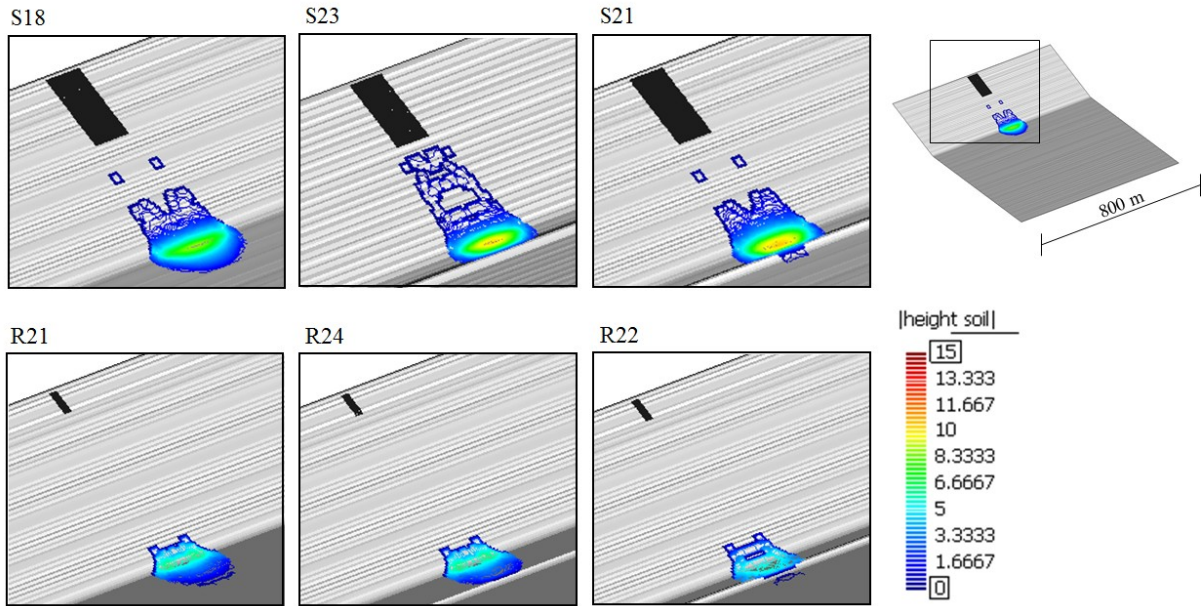


Figure 3. Flow deposits for different cases.

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 203 The change in time of the Froude number ( $Fr$ ) was estimated at point P using the computed  $h-v$   
 204 pairs (Fig. 2). If  $Fr < 1$ , as the flow is subcritical, the barrier is expected to modify the flow  
 205 propagation, while for  $Fr \gg 1$  the barrier would not perform well but still contribute to reducing the  
 206 flow velocity. During the impact stage, the Froude number raises to 3.0 – 4.5 depending on flow  
 207 rheology (Fig. 4a, b), and in most of the cases it is lower than for the natural slope (Case S1, and Case  
 208 S9).  
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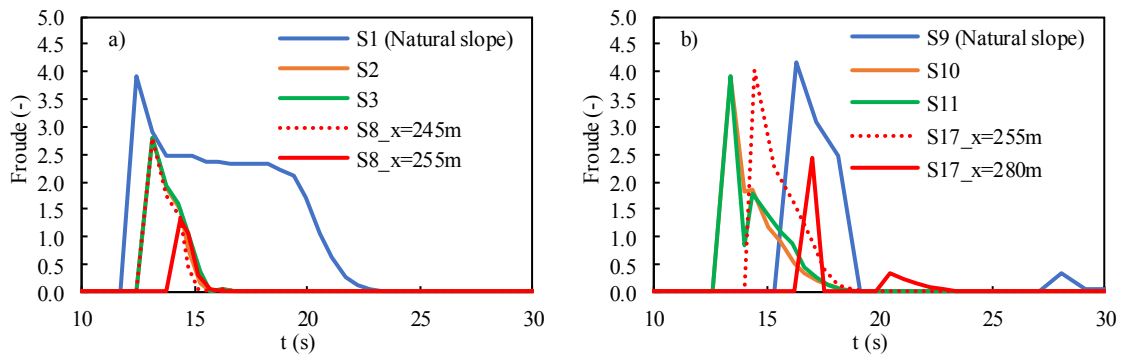


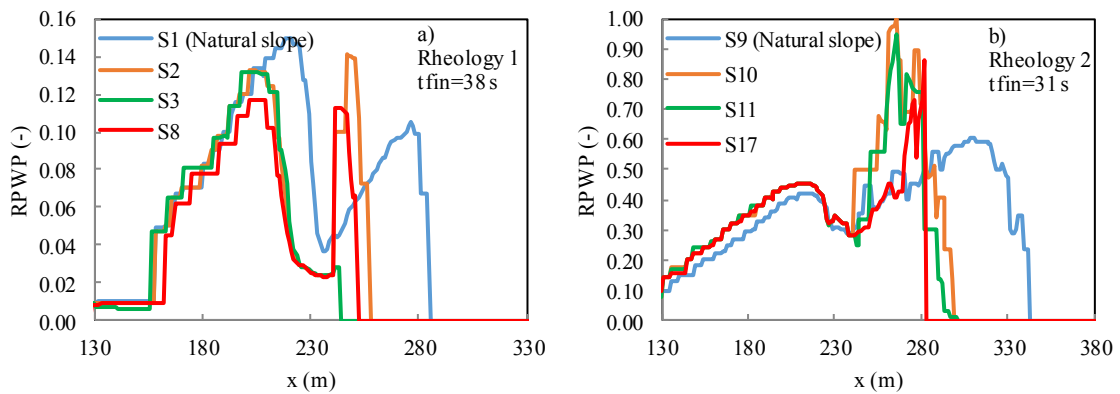
Figure 4. Time trend of Froude number computed at point P of figure 2b during the impact stage for:  
 a) rheology 1; b) rheology 2.

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 214 The values of RPWP reported in Fig. 5 were calculated considering the maximum pore water

215 pressures computed along the slope profile during the impact stage duration (typically a few seconds  
 216 long, as shown in Fig 4). The presence of a barrier can cause RPWP to increase irrespective of the  
 217 number of barriers and flow rheology (Fig. 5). For the Type I barrier, RPWP increases to 0.14 and 1.0  
 218 in Case S2 and Case S10, respectively. For the Type II barrier, RPWP reaches 0.13 and 0.98 (Case S3  
 219 and Case S11) while for two barriers RPWP is 0.12 and 0.85 (Case S8 and Case S17), respectively.

220 The presence of barriers reduced also the cumulative erosion thickness ( $h_{er}$ ) along the slope for  
 221 rheology 1 (Fig. 6a), while the reduction of erosion thickness was not appreciable for rheology 2 (Fig.  
 222 6b).

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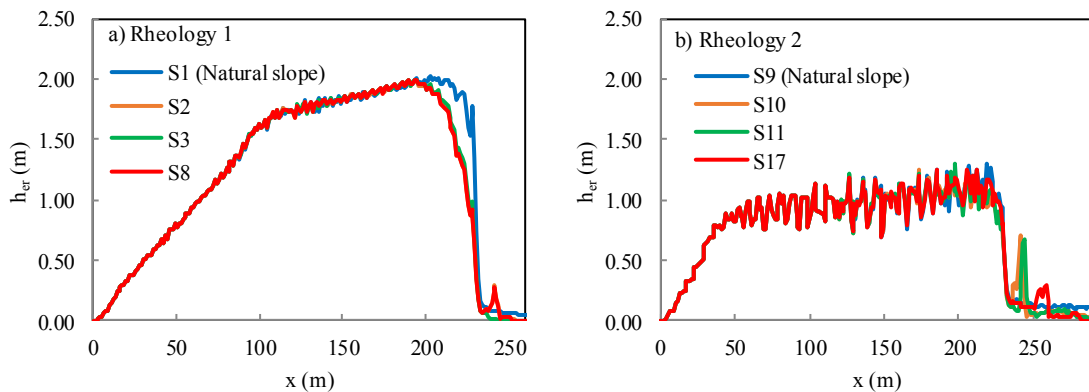


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Figure 5. Envelope of pore water pressure along the slope during 20 seconds for: a) rheology 1, b) rheology 2.

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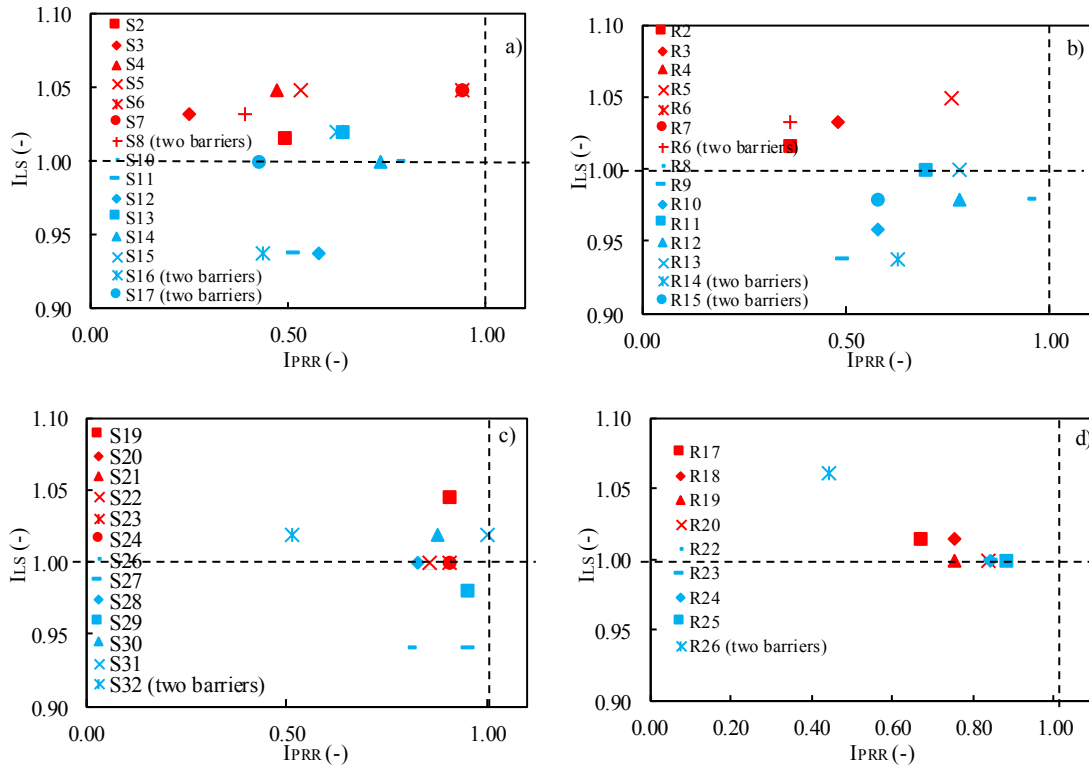
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Figure 6. Cumulative erosion thickness along the slope at final step for: rheology 1 (a), rheology 2 (b).

229

230 The computed values of  $I_{PRR}$  and  $I_{LS}$  are reported in Fig. 7 for all cases. Four zones can be  
 231 individuated in the plots: 1)  $I_{PRR} < 1.0$  and  $I_{LS} < 1.0$ , i.e. both runout and width decrease, meaning that  
 232 the barrier is effective. This is an unlikely condition; 2)  $I_{PRR} < 1.0$  and  $I_{LS} > 1.0$ , i.e. the runout  
 233 diminishes while the width increases, meaning the barrier is still effective. This is a very likely  
 234 condition; 3)  $I_{PRR} > 1.0$  and  $I_{LS} > 1.0$ , i.e. both runout and the width increase and thus the barrier is  
 235 ineffective in terms of reduction of runout; 4)  $I_{PRR} > 1.0$  and  $I_{LS} < 1.0$ , i.e. there is a reduction of width  
 236 and an increase of runout, so that the barrier is ineffective. However, this condition is unrealistic. For

237 two barriers, we considered the maximum width of flow in the plane-view. The computed runout is  
 238 always reduced with one or two barriers, irrespective of overtopping. In general, runout can be  
 239 reduced to 70% (Case S3) with a maximum increase of lateral spreading of 5% compared to the  
 240 natural slope. Furthermore, the barrier type differently influences the area affected by the flow. In  
 241 particular,  $I_{PRR}$  decreases, passing from Type I to Type II for the same position of the barriers (Case  
 242 S4 and Case S5, or Case R17 and Case R18). The barrier type does not influence  $I_{PRR}$  for barriers  
 243 located very far from the landslide source area (Cases S6 and S7, R24 and R25).  
 244



245

246

247 *Figure 7. Indexes  $I_{PRR}$  and  $I_{LS}$  computed for different rheologies (R1: red; R2: blue) and different slopes: a), b) inclined with*  
 248 *40° (cases listed in Table 1); c), d) inclined with 30° (cases listed in Table 2).*

249

## 250 4 Case study

251

### 252 4.1 Input data

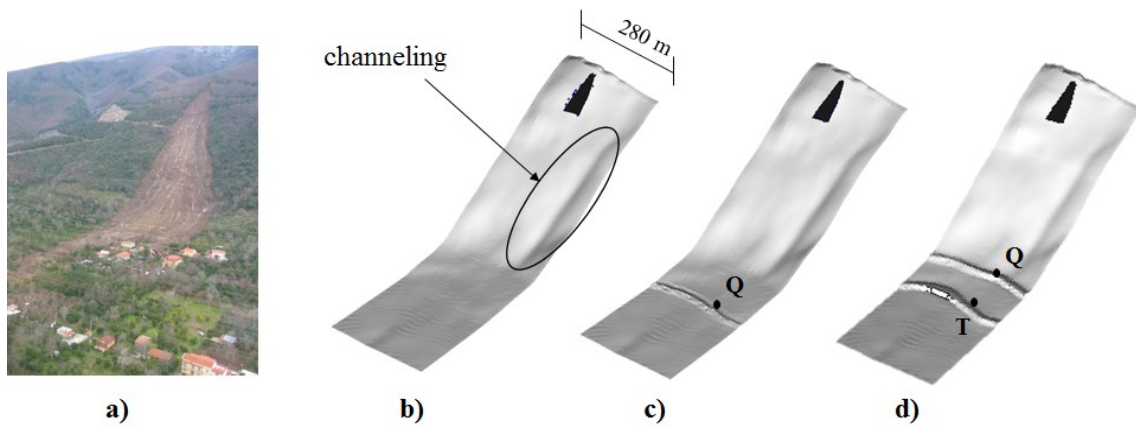
253 The proposed methodology was applied to a site where a catastrophic debris avalanche occurred  
 254 in 2005 (Fig. 11a). In the Monte Albino site (Nocera Inferiore, Italy) an open slope, on average 35°  
 255 steep, is still susceptible to future debris avalanches and potential control works could consist of  
 256 barriers installed at the piedmont area. In contrast to the previous simple topography case, the case  
 257 study presents a channel along the slope where the flow could be channelized inside (Fig. 8b).

258 The DTM cell size is equal to 1.75 m, providing a satisfactory description of the site (Fig. 8b).  
 259 We considered the two previous types (I, II) of the barriers (Fig. 2b) with 5 m or 7 m height (H), with  
 260 a top width equal to 3 m (b), with the upslope raceway 3 m wide (a), and both lateral fronts inclined

261 with 60° (Fig. 2b). The barriers are located at about 120 m a.s.l. following the local elevation contour  
 262 lines. Various analyses are performed with different positions and types of the barriers. The control  
 263 points (Q, T) are selected at the base of the upslope side of the barriers as shown in Fig. 8c-d.

264 The numerical simulations are listed in Table 3, with reference to the different features of the  
 265 barrier (type, H, L). The rheological properties and the initial conditions of the flow material are taken  
 266 from Cuomo et al. (2014), i.e.  $\gamma = 13.0 \text{ kN/m}^3$ ,  $\tan\phi = 0.4$ ,  $h_w^{\text{rel}} = 0.25$ ,  $p_w^{\text{rel}} = 1.0$ . The soil is  
 267 mobilized from the real source area observed in 2005 and the features is also taken from the literature  
 268 ( $A_{\text{trig}} = 2,369 \text{ m}^2$  and  $h_{\text{trig}} = 1.5 \text{ m}$ ). The time step for the numerical analyses is set at 0.5 s.

269



270

271 Figure 8. Case study: a) picture of 2005 debris avalanche (Cuomo et al., 2014); DTM and source area used for modelling  
 272 the propagation along the natural slope (b); or along the slope engineered with one barrier (c) or two barriers (d).

273

274

Table 3. List of the selected numerical cases.

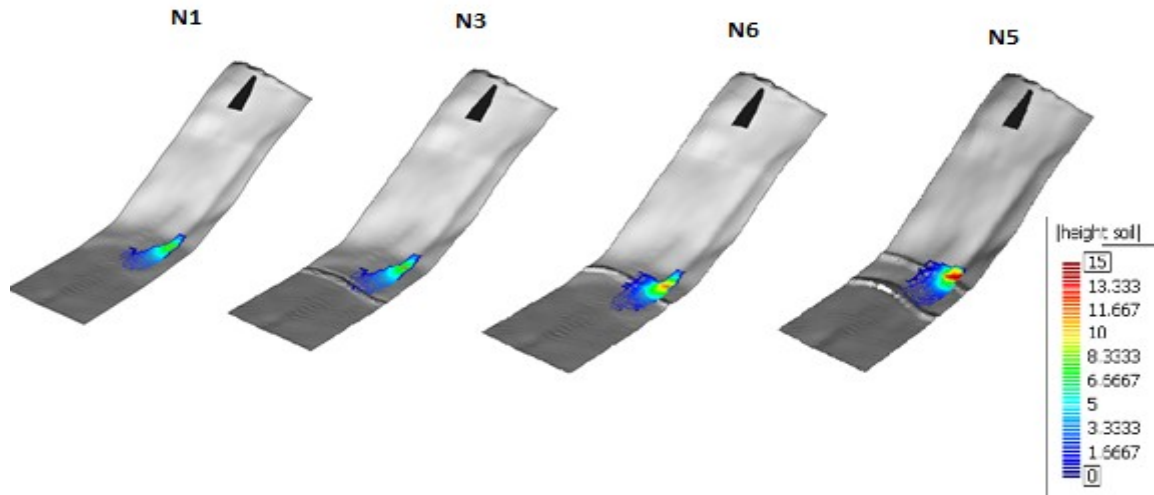
Case	type (-)	H (m)	L (m)	Case	type (-)	H (m)	L (m)
N1	None	-	-	N10	I	7	435
N2	I	7	575	N11	I	7	415
N3	I	5	575	N12	II	5	495
N4	II	5	575	N13	II	5	435
N5	II	5	435-575	N14	II	5	415
N6	I	5	495	N15	II	7	495
N7	I	5	435	N16	II	7	435
N8	I	5	415	N17	II	7	415
N9	I	7	495				

H: height of the barrier; L: distance of the barrier from the landslides source area.

## 275 4.2 Results

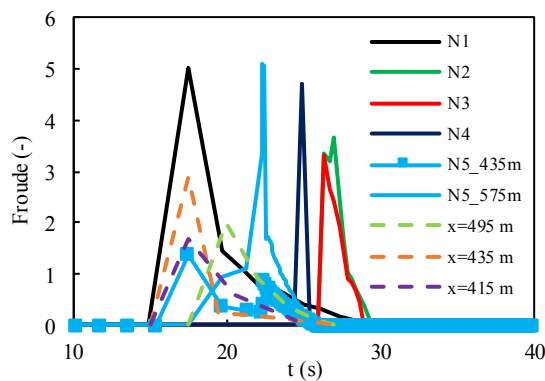
276 Some of the simulated debris avalanches are shown in Fig. 9 at the final time step for the natural

277 slope (Case N1), an engineered slope with one non-overtopped barrier (Case. N3), a slope with one  
 278 overtopped barrier (Case N6), and a slope with two barriers (Case N5). As expected, the final  
 279 deposition zone and the whole area affected by each simulated flow depend on the type and position  
 280 of the barriers. Similarly to the previous analyses of the simple topography slopes, the debris height at  
 281 the control points behind the barriers increases compared to the case of the natural slope (Fig. 9). At  
 282 the same time, the lateral spreading of flow increases behind the barrier, an effect that becomes clearer  
 283 when the barrier is closer to the slope (e.g. Case N5).



284  
 285 *Figure 9. Soil deposit for different cases of barrier and slope design.*  
 286

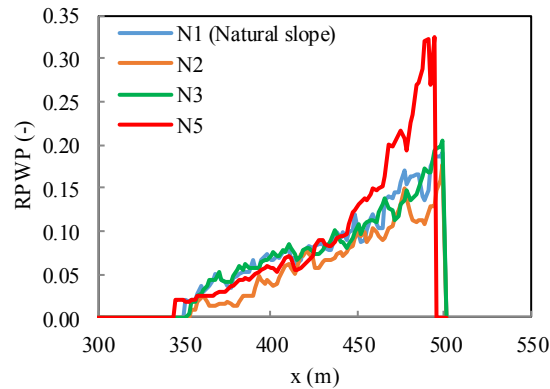
287 The time histories (or chronological changes) of the Froude number were calculated at point Q  
 288 for different cases using the computed heights and velocities (Fig. 10). Froude numbers range from  
 289 2.0 to 3.0 for the cases of overtopped barriers irrespective of the distance from the source area (i.e.  
 290 415 m, 435 m, or 495 m). The peak values of the Froude number are 3.0 to 5.0 for the not-overtopped  
 291 barriers. The height of the barrier has little effect on the Froude number (Case N2 and N3), while the  
 292 peak of the Froude number for Type II (Case N4) is reached at around 25 s, several seconds earlier  
 293 than those for Type I (Case N2). The Froude number is lower when barriers are present, whether they  
 294 are overtopped or not.



295  
 296 *Figure 10. Time trend of Froude number computed at the control point (Q or T of figure 10) during the impact stage*  
 297 *considering cases with barrier not overtopped (continuous) and trend of other cases (dashed).*

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300  
301  
302  
303  
304

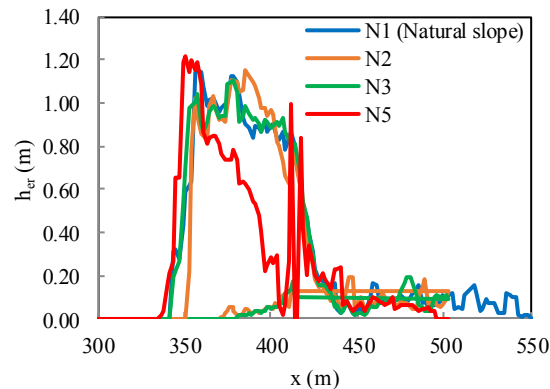
The presence of two barriers (Case N5) causes the PRWP value to go up to around 0.3 (Fig. 11). There is hardly any difference among Case N2 and Case N3 and Case N1 (natural slope), indicating that the barrier has little effect on RPWP when  $Fr > 1$  (i.e. supercritical flow) (Fig. 10). On the contrary, RPWP values for Case N5 with  $Fr < 1$  differ remarkably from those for Case N1 (natural slope).



305  
306  
307

Figure 11. Envelope of pore water pressure along the slope during 20 seconds.

308 The barrier helps reduce the bed entrainment and this effect is remarkable around 50 m  
309 downstream of the barrier. The reduction is evident particularly in case N5 with two barriers. The  
310 cumulative erosion thickness reduces by about 0.4 m. For this case, the Froude number immediately  
311 behind the first barrier is found to be less than 1.0 and bed entrainment is lower than for the natural  
312 slope. On the other hand, the bed entrainment in either Case N2 or N3 with  $Fr > 1$  differ little from  
313 that in Case N1 (natural slope), and thus the downstream conditions does not influence the flow  
314 features.



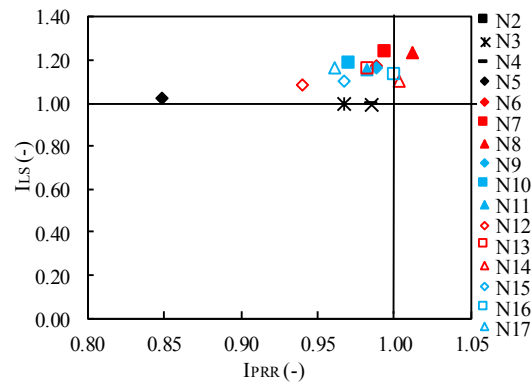
315  
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Figure 12. Cumulative erosion thickness along the slope at the final step.

318 The parameters  $I_{PRR}$  and  $I_{LS}$  were computed to evaluate the efficiency of the barrier (Fig. 12). In  
319 the case of two-barriers (case N5) there is a runout reduction of about 15% (i.e.  $I_{PRR} = 0.85$ ) with the



320 increase of lateral width of about 4%, while for the other cases the reduction is less than 5% with an  
 321 increase in lateral spreading of about 20%. Thus, the construction of a single barrier would be almost  
 322 useless for this specific study area. In a combination of two barriers, the first barrier reduces the flow  
 323 velocity and the second barrier stops the flow. The maximum reduction of runout in presence of two  
 324 barriers (Case N5) is 15% (Fig. 13). The other cases present low reduction of runout and significant  
 325 increase of lateral spreading that is higher than 20% compared to the natural slope.  
 326



327  
 328 *Figure 13. Index of lateral spreading ( $I_{LS}$ ) and index of piedmont runout reduction ( $I_{PRR}$ ) computed for the different cases (in*  
 329 *black: cases with barrier not overtopped; red: barrier 5 m high; blue: barrier 7 m high).*

330  
 331 **5 Discussion**

332 The numerical results indicate that the farther the barrier is from the toe of the slope, the smaller  
 333 is the Froude number of the flow, decreasing from 3 to 1.5. This is important because  $Fr > 1$  indicates  
 334 that the flow is supercritical and is independent on downstream conditions. RPWP values for the  
 335 simple topography (Fig. 5b) are about identical to those for the natural slope (Case S10 and Case  
 336 S11), with  $Fr > 1$  (Fig. 4b). On the other hand, when  $Fr \approx$  or  $< 1$  as shown in Case S8 ( $x = 255$  m),  
 337 RPWP values are influenced by the barriers (Fig. 5a). Similar considerations can be given to the case  
 338 study of Nocera Inferiore. For instance, Case N2 and Case N3 with  $Fr > 1$  (Fig. 10), RPWP values are  
 339 about identical to those for the natural slope N1 (Fig. 11); while, Case N5 ( $x = 435$  m) with  $Fr < 1$ ,  
 340 RPWP values differ from those in Case N1. Thus, the type and location of the barrier should decrease  
 341 the Froude number of the flow until deposition.

342 We compared the results for the simple topography and case study in terms of  $I_{PRR}$  and  $I_{LS}$  (Fig.  
 343 14). The barrier in the simple topography cases decreases the runout significantly in comparison to the  
 344 real topography case. At the same time, lateral spreading of the flowing slurry is more significant in  
 345 real topography than in the simple topography. In real topography, the flow is first partially  
 346 channelized, and then accelerated. The barrier effect on runout is less significant with the reduction of  
 347 about 5%. Furthermore, there is a higher increase of  $I_{LS}$  in the real topography than the simple  
 348 topography case, being  $> 30\%$  and  $< 10\%$ , respectively.

349

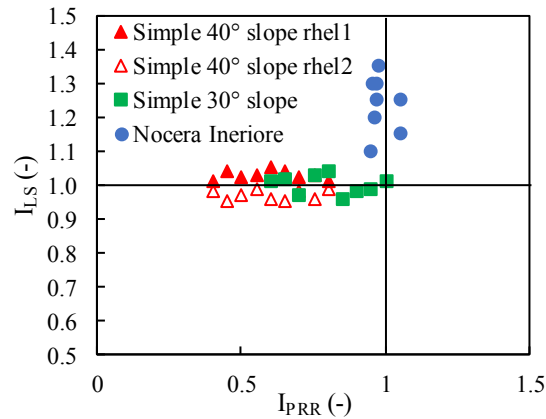


Figure 14. Comparison between several results in terms of index of lateral spreading ( $I_{LS}$ ) and index of piedmont runoff reduction ( $I_{PRR}$ ) computed for the different cases.

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## 6 Conclusions

355 The effects of artificial barriers on the features of debris avalanches were analysed via numerical  
356 modelling. A quasi-3D SPH hydro-mechanically coupled model was used to simulate the propagation  
357 heights and velocities, the evolution of pore water pressures inside the flow and the entrainment of  
358 additional material from the ground surface during the propagation stage. The numerical simulations  
359 focused on schematic open slopes resembling typical in-situ conditions and on the study area of  
360 Nocera Inferiore (Southern Italy) where a destructive debris avalanche occurred in 2005. Different  
361 scenarios were analysed relative to the number, type and location of the artificial barriers.

362 In order to compare the different cases, we newly defined two parameters to evaluate the  
363 efficiency of one or two barriers. The indexes  $I_{PRR}$  and  $I_{LS}$  represent the reduction in runout and the  
364 increase in lateral width caused by the barriers once a comparison is made with the natural slope.

365 The numerical results highlighted the differences in propagation pattern, velocity and deposition  
366 thickness of the flows in presence of artificial barriers. Generally, runout decreased down to 70%  
367 when the barrier was very close to the toe of the slope (Case S3), while the landslide runout slightly  
368 increased (but with lower thickness) when the barrier was positioned along the 35° steep piedmont  
369 slope compared to natural slope (Case N8). The highest efficiency of the barrier was obtained when  
370 the barrier was located immediately at the toe of the slope or at a few meters distance or even in  
371 presence of two barriers. Furthermore, the barrier type differently influenced the area affected by the  
372 flow passing from Type I to Type II barriers positioned at the same distance from the source area. In  
373 particular,  $I_{PRR}$  decreased with Type II compared to Type I, when the barrier was nearer to the source  
374 area. It can also be noted that for the barrier farthest from the source area,  $I_{PRR}$  did not change  
375 significantly passing from Type I to Type II.

376 The barriers may change the features of the propagating flows (height, velocity, lateral width, and  
377 extent of the material) and their tempo-spatial distribution. Both height and width of the flow  
378 increased behind the barrier if not overtopped.



379 In conclusion, this paper analysed the effects of artificial barriers on the change in the features of  
380 flow-like landslides, considering the role of type and location of the barriers, the reduction of runout,  
381 the changes in involved area, velocity, deposition height, and duration of the impact stage. Such  
382 research should be extended to other flow rheologies and slope geometries. In particular, accurate  
383 analyses could be necessary in case of partially channelized flow due to local topography. Another  
384 improvement could come from the use of fully-3D modelling which could enhance the accuracy of  
385 the numerical analysis, especially for the smallest sizes of the barrier considered in this paper.

386

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394

395

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