

1           **Antibiotic Resistance spread potential in urban**  
2           **wastewater effluents disinfected by UV/H<sub>2</sub>O<sub>2</sub> process**

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11           This is a post-peer-review, pre-copyedit version of an article published in Science of  
12           the Total Environment. The final authenticated version is available online at:  
13           <https://doi.org/10.1016/j.scitotenv.2016.04.047>

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## 16 **Abstract**

17 Urban wastewater treatment plants (UWTPs) are among the main hotspots of  
18 antibiotic resistance (AR) spread into the environment and the role of conventional  
19 and new disinfection processes as possible barrier to minimise the risk for AR  
20 transfer is presently under investigation. Accordingly, the aim of this work was to  
21 evaluate the effect of an advanced oxidation process (AOP) (specifically UV/H<sub>2</sub>O<sub>2</sub>)  
22 on AR transfer potential. UV/H<sub>2</sub>O<sub>2</sub> disinfection experiments were carried out on real  
23 wastewater samples to evaluate the: i) inactivation of total coliforms, *E. coli* and  
24 antibiotic resistant *E. coli* as well as ii) possible removal of target antibiotic  
25 resistance genes (ARGs) (namely, *bla*<sub>TEM</sub>, *qnrS* and *tetW*). In particular, DNA was  
26 extracted from both antibiotic resistant *E. coli* bacterial cells (intracellular DNA),  
27 grown on selective culture media, and the whole water suspension (total DNA)  
28 collected at different treatment times. Polymerase chain reaction (PCR) assay was  
29 performed to detect the absence/presence of the selected ARGs. Real Time  
30 quantitative Polymerase Chain Reaction (qPCR) was used to quantify the  
31 investigated ARGs in terms of copies mL<sup>-1</sup>. In spite of the bacterial inactivation and  
32 a decrease of ARGs in intracellular DNA after 60 min treatment, UV/H<sub>2</sub>O<sub>2</sub> process  
33 was not effective in ARGs removal from water suspension (total DNA). Particularly,  
34 an increase up to 3.7x10<sup>3</sup> copies mL<sup>-1</sup> (*p*>0.05) of *bla*<sub>TEM</sub> gene was observed in total  
35 DNA after 240 min treatment, while no difference (*p*>0.05) was found for *qnrS* gene  
36 between the initial (5.1x10<sup>4</sup> copies mL<sup>-1</sup>) and the final sample (4.3x10<sup>4</sup> copies mL<sup>-1</sup>).  
37 On the base of the achieved results, the investigated disinfection process may not  
38 be effective in minimising AR spread potential into the environment. The death of  
39 bacterial cells, which results in DNA release in the treated water, may pose a risk  
40 for AR transfer to other bacteria present in the receiving water body.

41 **Keywords:** advanced oxidation processes, antibiotic resistant bacteria, antibiotic  
42 resistance genes, DNA, qPCR

## 43        **1. Introduction**

44    Antibiotic resistance (AR) has become an ongoing clinical and public health issue of  
45    concern worldwide (WHO 2014). Depending on the scenario, failing to tackle AR  
46    threat will mean that the world population by 2050 may decrease by 11 million (if  
47    resistance rates will be successfully kept at a relatively low rate) and 444 million (it  
48    reflects a scenario for a world with no effective antimicrobial drugs) than it would  
49    otherwise be in the absence of AR (Taylor et al., 2014). Moreover, the world  
50    economy would be smaller by between 0.06% and 3.10%, again depending on the  
51    scenario, and world Gross Domestic Product losses may result in a cumulative loss  
52    that ranges between \$2.1 trillion and \$124.5 trillion. AR threat can be successfully  
53    tackled only if the environmental issue, and not only the clinical one, is also  
54    addressed. The release of antibiotics into the environment through treated and  
55    untreated wastewater disposal contributes to the selection and development of  
56    antibiotic resistant bacteria (ARB) and antibiotic resistance genes (ARGs) (Rizzo et  
57    al., 2013). Hospital effluents (Varela et al., 2013; Rodriguez-Mozaz et al., 2015) and  
58    urban wastewater treatment plants (UWTPs) are among the most serious sources  
59    of AR spread into the environment due to several suitable conditions, such as a  
60    high cell density and a contamination with both antibiotics and bacteria (Rizzo et al.,  
61    2013). Screening campaigns have revealed the presence of ARGs in various  
62    environmental compartments.  $\beta$ -lactams (*bla*<sub>TEM</sub>) and vancomycin (*vanA*) resistance  
63    genes have been detected both in hospital effluents and in raw and treated effluent  
64    of UWTPs receiving hospital sewage (Narciso-da-Rocha et al., 2014). Tetracycline  
65    (*tetO* and *tetW*) and sulfonamide (*sul-I*) resistance genes have been found in the  
66    range of non-detectable– $10^8$  copies mL<sup>-1</sup> in the final effluents of five UWTPs in  
67    Michigan and until  $10^9$  copies g<sup>-1</sup> in biosolids (Munir et al., 2011). Significant ARGs  
68    concentrations ( $10^3$ – $10^5$  copies mL<sup>-1</sup>) have been measured in the treated effluents

69 of two UWTPs in northern China (Mao et al., 2015). *Sul-I*, *sul-II*, *tetO* and *tetW* have  
70 been detected in dairy lagoon water, irrigation ditch water, urban/agriculturally  
71 impacted river sediments; *tetO* and *tetW* also in treated drinking water and recycled  
72 wastewater (Pruden et al., 2006). Another study showed how high concentrations of  
73 *bla<sub>TEM</sub>*, *qnrS*, *ermB*, *sul-I* and *tetW*, still present in the treated UWTP effluent, have  
74 affected the receiving river (Rodriguez-Mozaz et al., 2015). The occurrence of  
75 ARGs in different environmental compartments (Schwartz et al., 2003) is a matter  
76 of concern being associated with mobile genetic elements which can promote  
77 horizontal gene transfer (Davies and Davies, 2010). Different mechanisms that take  
78 place in UWTPs and cause ARGs transfer potential are under investigation (Rizzo  
79 et al., 2013), although horizontal gene transfer is regarded as the most important  
80 one (McKinney and Pruden, 2012). Moreover a few works deal with the effect of  
81 disinfection processes in terms of potential spread of AR by means of molecular  
82 biology methods (Öncü et al., 2011). Just one paper reports the effect of  
83 photocatalysis on the potential to induce ARGs transfer within sub-lethally injured  
84 ARB, but by cultivation-based methods (Dunlop et al., 2015).

85 The above mentioned studies point out the importance to find effective solutions for  
86 minimising AR spread. In this scenario, disinfection process can be considered as  
87 possible barrier to control the diffusion of AR into the environment, especially in the  
88 light of reuse of treated wastewater for agricultural purposes (Fatta-Kassinos et al.,  
89 2011; Ferro et al., 2015). In spite of successful ARB inactivation by Advanced  
90 Oxidation Processes (AOPs) (Fiorentino et al., 2015; Karaolia et al., 2014; Rizzo et  
91 al., 2014a; Tsai et al., 2010), there are still crucial gaps to be filled about the  
92 potential spread of AR. Some questions to be addressed concern the fate of ARGs  
93 and extracellular materials during and after the disinfection process. To date a few  
94 works are available about the effect of disinfection in terms of ARGs removal or  
95 their fate when all pathogens have been inactivated (Guo et al., 2013; McKinney

96 and Pruden, 2012; Munir et al., 2011; Yuan et al., 2015; Zhuang et al., 2015). The  
97 above mentioned studies have been mainly focused on conventional disinfection  
98 processes, namely chlorination and UV radiation; they may not be successful in  
99 ARGs removal, at least with realistic doses of disinfectants or UV radiation.  
100 Moreover target ARGs were mostly quantified regardless of DNA belonged to  
101 survived bacterial cells (namely intracellular DNA) or released from inactivated  
102 bacterial cells. In our recently published paper, the effect of UV/H<sub>2</sub>O<sub>2</sub> process on an  
103 antibiotic resistant *E. coli* strain selected from urban wastewater and inoculated in  
104 deionized water was evaluated through cultivation methods Vs PCR based  
105 methods (Ferro et al., 2016). In spite of total inactivation of antibiotic resistant *E.*  
106 *coli* strain, target ARG (*bla*<sub>TEM</sub>) was still present in water suspension after 300 min  
107 treatment. According to the results achieved and considering possible effects of real  
108 aqueous matrices and indigenous bacterial population, the effect of UV/H<sub>2</sub>O<sub>2</sub>  
109 process on indigenous ARB in real wastewater is worthy of investigation. In this  
110 context, the aim of the present work was to investigate the effect of UV/H<sub>2</sub>O<sub>2</sub>  
111 process in the simultaneous (i) inactivation of indigenous ARB and (ii) the removal  
112 of target ARGs, namely *bla*<sub>TEM</sub>, *qnrS* and *tetW*. Particularly, the effect of the  
113 disinfection process on intracellular and total DNA was investigated. Experiments  
114 were carried out at laboratory scale, working on real UWTP effluents collected  
115 downstream of the biological process.

## 116 **2. Material and methods**

### 117 ***2.1 Experimental design***

118 Real wastewater samples were freshly collected from the effluent of the biological  
119 process (activated sludge) and undergone UV/H<sub>2</sub>O<sub>2</sub> process until to 240 min. Both  
120 bacterial inactivation and ARGs fate were monitored during the treatment. In

121 particular, aliquots of treated wastewater were spread onto selective culture media  
122 in order to monitor bacterial growth, and DNA (from here on “intracellular”) was  
123 extracted from antibiotic resistant *E. coli* after 24 h growth. Simultaneously, almost  
124 450 mL of treated wastewater were filtered onto polycarbonate membranes (0.22  
125  $\mu\text{m}$ ) in order to extract DNA (genetic material of live bacteria and/or released from  
126 inactivated bacteria) from wastewater (from here on “total”).

## 127 **2.2 Wastewater samples**

128 Wastewater samples were freshly collected from a large UWTP (700,000  
129 population equivalent) placed in Salerno (Italy), from the effluent of the biological  
130 process (activated sludge) and upstream of disinfection unit. In order to reduce  
131 fluctuations and to get a more representative sample, 24 h composite samples were  
132 taken using an automatic sampling equipment (ISCO 2009 Sampler) programmed  
133 to collect 500 mL aliquots at each hour. UWTP samples were collected in sterilized  
134 glass bottles, transported refrigerated to the laboratory and analysed within 4 h.  
135 Three wastewater samples were collected in three consecutive days, characterized  
136 and the average values were the following: pH 7.6, 20.0 mg BOD<sub>5</sub> L<sup>-1</sup>, 48.0 mg  
137 COD L<sup>-1</sup>, 18.0 mg TSS L<sup>-1</sup>, 7.9 mg Total N L<sup>-1</sup>, 1.8 mg Total P L<sup>-1</sup>. Initial bacterial  
138 densities were 3.6x10<sup>4</sup> CFU mL<sup>-1</sup> of total coliforms and 7.8x10<sup>2</sup> CFU mL<sup>-1</sup> of *E. coli*  
139 on average.

## 140 **2.3 Disinfection experiments**

141 UV/H<sub>2</sub>O<sub>2</sub> experiments were carried out in a 2.2 L cylindrical glass reactor (13.0 cm  
142 in diameter) filled with 500 mL wastewater sample (5.0 cm water height), according  
143 to optimized working conditions in a previous study (Rizzo et al., 2014b). The  
144 reactor was placed in a water bath in order to keep a constant temperature of 25 °C  
145 and it was magnetically stirred during the experiments. A wide spectrum 250 W  
146 lamp equipped with a UV filter (Procomat, Italy) (main radiation emission in the

147 range 320-450 nm) fixed at 40 cm from the upper water level in the reactor was  
148 used as UV source. A spectrometer model HR-2000 from Ocean Optics (Florida,  
149 USA), equipped with cosine corrector with Spectralon diffusing material, was used  
150 to measure irradiance spectra of UV lamp. Wastewater samples were exposed to a  
151 range of UV doses ( $0-2.5 \times 10^5 \mu\text{W s cm}^{-2}$ ) by varying the exposure time from 0 to  
152 240 min.

153 Prior to radiation exposure, one sample was collected and analysed (from here on  
154 T0). Then  $\text{H}_2\text{O}_2$  at 30 wt% (Titolchimica, Italy) was used as received and diluted into  
155 the reactor; the working solution was stirred for three minutes in the dark to ensure  
156 homogenization. Initial dose of hydrogen peroxide was set to  $20 \text{ mg L}^{-1}$ , according  
157 to a previous work (Fiorentino et al., 2015) and the residual concentration was  
158 monitored by a colorimetric method based on the use of titanium (IV) oxysulfate  
159 (Sigma-Aldrich), which forms a stable yellow complex with  $\text{H}_2\text{O}_2$  detected by  
160 absorbance measurements at 410 nm. Absorbance was measured using a  
161 spectrophotometer (PerkinElmer, USA) and was linearly correlated with  $\text{H}_2\text{O}_2$   
162 concentration in the range  $0.1-100.0 \text{ mg L}^{-1}$ . When decreases of hydrogen peroxide  
163 were measured, a suitable volume of the oxidant solution was added to the solution  
164 to keep constant the concentration. Catalase was added to water samples collected  
165 at different treatment times in order to eliminate residual  $\text{H}_2\text{O}_2$ : 1 mL sample was  
166 mixed with  $20 \mu\text{L}$  of  $2300 \text{ U mg}^{-1}$  bovine liver catalase at  $0.1 \text{ g L}^{-1}$  (Sigma-Aldrich).  
167  $\text{H}_2\text{O}_2$  and catalase have been demonstrated to have no detrimental effects on  
168 bacterial viability at these concentrations (García-Fernández et al., 2012). Serial  
169 UV/ $\text{H}_2\text{O}_2$  experiments were carried out (one for each treatment time) in order to  
170 perform molecular analyses with representative wastewater volume (450 mL).

#### 171 **2.4 Bacterial detection and count**

172 A standard plate counting method was used through 10-fold serial dilutions in  
173 phosphate buffer saline (PBS) after an incubation period at 37 °C for 24 h. 50-100-  
174 200 µL samples or their serial dilutions were spread onto Tryptone Bile X-  
175 glucuronide Agar (TBX, Sigma-Aldrich). Particularly, Total Coliforms, *E. coli* and  
176 antibiotic resistant *E. coli* were counted. In order to count antibiotic resistant *E. coli*,  
177 TBX was supplemented with a mixture of three antibiotics (8 mg L<sup>-1</sup> of ampicillin  
178 (AMP), 0.064 mg L<sup>-1</sup> of ciprofloxacin (CIP), 8 mg L<sup>-1</sup> of tetracycline (TET)), typically  
179 occurring in wastewater (Michael et al., 2013) and among the most used antibiotics  
180 in the geographical are where the UWTP is located (Assessorato alla Sanità della  
181 Regione Campania, 2014). Taking into account the current limitations about  
182 phenotypic resistance in environmental settings (Berendonk et al., 2015), antibiotic  
183 concentrations were selected on the base of the respective epidemiological cut-off  
184 values available in EUCAST database (EUCAST 2015). Antibiotics, all high purity  
185 grade (>99%), were purchased from Sigma-Aldrich. *E. coli* colonies that were able  
186 to grow on TBX agar supplemented with the mixture of antibiotics were regarded as  
187 resistant to antibiotics. The detection limit (DL) of this experimental method was 5  
188 CFU mL<sup>-1</sup>. Antibiotic resistant *E. coli* colonies were then used to extract intracellular  
189 DNA.

## 190 **2.5 DNA extraction**

191 DNA was extracted using the DNeasy<sup>®</sup> Blood & Tissue Kit (Qiagen; Milano, Italy)  
192 following the manufacturer's instructions. In particular DNA was extracted from  
193 bacterial cells at the following treatment times: 30 min (T30), 60 min (T60) and 90  
194 min (T90). At 120 min it was not possible to harvest cells because no colonies grew  
195 on the plates. In particular, the intracellular DNA was extracted from all bacterial  
196 colonies grown on plates after each treatment time and resuspended in 1.0 mL of  
197 sterile water up to obtain 10<sup>9</sup> harvest cells useful for DNA extraction. Cellular  
198 density of water bacterial suspensions was spectroscopically measured (three



199 replicates) by optical density at 600 nm. Total DNA (e.g. genetic material of live  
200 bacteria and/or released from inactivated bacteria) was extracted from UV/H<sub>2</sub>O<sub>2</sub>  
201 treated wastewater samples collected at each treatment time.  
202 450 mL of wastewater samples were filtered through membranes (polycarbonate,  
203 0.22 µm pore size, 47 mm diameter, GE Healthcare). The filters were stored at -20  
204 °C until DNA extraction. The filters were put in tubes and centrifuged for 10 min at  
205 14,000 rpm; the resulting pellet was resuspended in 180.0 µL of extraction buffer  
206 (ATL) and used for DNA extraction.  
207 The nucleic acid quality and quantity were estimated on agarose gels and  
208 spectrophotometrically at 260 nm (NanoDrop 2000C, NanDrop Technologies,  
209 Willmington, DE). All DNA samples were stored at -20 °C.

## 210 **2.6 Qualitative PCR analysis**

211 Specific primers were designed on the basis of literature (Rodriguez-Mozaz et al.,  
212 2015) and used both for qualitative and quantitative PCR analyses. Qualitative PCR  
213 reactions were performed to check the presence or absence of ARGs in UV/H<sub>2</sub>O<sub>2</sub>  
214 treated and untreated wastewater samples. PCR reactions were performed in a  
215 25.0 uL reaction mixture containing 10 ng of DNA and 0.5 U of Taq polymerase  
216 (AmpliTaq® DNA polymerase, Applied Biosystems), according to the  
217 manufacturer's instructions. PCR reaction cycling parameters were as follows: 5  
218 min of initial denaturation at 94 °C, followed by 35 cycles at 94 °C for 1 min, 60 °C  
219 for 1 min and 72 °C for 1 min. The final extension was set at 72 °C for 7 min. PCR  
220 products were separated by electrophoresis on 1% agarose gels.

## 221 **2.7 Quantification of ARGs**

222 DNA was amplified with specific primers (*bla*<sub>TEM</sub>, *qnrS*, *tetW*, 16S, whose efficiency  
223 was >99%) and iQSYBR Green Super Mix (Bio-Rad Laboratories, Milano, Italy) in  
224 quantitative qPCR assays. qPCR assays were carried out in triplicate for each

225 sample and performed twice, using the iQ5 (Biorad-Laboratories, Milano, Italy). A  
226 negative (no-template) control was used to test for false-positive results and/or  
227 contaminations. Moreover, DNA of *E. coli* strain was used as positive control to  
228 check PCR conditions. PCR reactions cycling parameters were as follows: an initial  
229 denaturing step at 95 °C for 3 min followed by 45 cycles, with one cycle consisting  
230 of denaturation at 95 °C for 15 s, annealing temperature was 60 °C for 30 s. Data  
231 collection and analysis were performed using the Optical System Software (iQ5  
232 version 2.0). To quantify ARGs copies number, standard curves were created,  
233 using the 16S rRNA as standard, and the following steps were performed: i)  
234 estimation of the genome size (the *E. coli* genome size was around 4.6 Kbp)  
235 (Blattner et al., 1997; Grenier et al., 2014); ii) estimation of the genome mass; iii)  
236 calculation of the mass of DNA containing the copy of interest; iv) calculation of the  
237 concentration of DNA needed to achieve the copy of interest; v) preparation of  
238 serial DNA dilutions. Diluted DNA was used for qPCR assays. Since the detection  
239 limit for 16S rRNA was at  $10^{-4}$  ng, the standard curves were generated using a 3-  
240 fold dilution series to produce four quantities of *E. coli* DNA ranging from 1 ng  
241 ( $1.8 \times 10^{-5}$  copies of 16S rRNA ) to  $10^{-3}$  ng ( $1.8 \times 10^{-2}$  copies). The threshold cycle (Ct)  
242 values of ARGs were correlated with the standard curves in order to estimate the  
243 copies number.

## 244 **2.8 Statistical analysis**

245 One-way analysis of variance (ANOVA) was performed using SigmaPlot 12.0  
246 software package followed by a Holm-Sidak post-hoc test, with  $p < 0.05$  as the  
247 significance cut-off, in order to evaluate the effect of UV/H<sub>2</sub>O<sub>2</sub> on ARGs copies  
248 number.

## 249 **3. Results**

### 250 **3.1 Bacterial inactivation**

251 Antibiotic resistant *E. coli* inactivation by UV/H<sub>2</sub>O<sub>2</sub> disinfection is plotted in Figure 1.  
252 The DL (5 CFU mL<sup>-1</sup>) was achieved after 90 min treatment. Within 30 min of  
253 disinfection, a high consumption of hydrogen peroxide was observed and no Log  
254 reduction was observed. The consumption of hydrogen peroxide (4.22 mg L<sup>-1</sup>) is  
255 possibly related to the oxidation of organic matter, nitrogen and other oxidable  
256 compounds typically occurring in wastewater. A suitable volume of H<sub>2</sub>O<sub>2</sub> solution  
257 was added to keep constant the concentration. After the addition of the oxidant, a  
258 higher inactivation was observed.

259 **Figure 1.**

260 During treatment antibiotic resistant *E. coli* population (initial density of 7.0x10<sup>1</sup>  
261 CFU mL<sup>-1</sup>) decreased at a higher rate compared to *E. coli* and total coliforms  
262 (Figure 2). Particularly, the percentage of antibiotic resistant *E. coli* with respect to  
263 total coliforms and *E. coli* populations, respectively 0.2% and 9.9% increased within  
264 the first 10 min of treatment. This percentage with respect to total coliforms  
265 increased at T20, then decreased at T30 and T45 and increased at T60 (Figure 2).  
266 The percentage of antibiotic resistant *E. coli* increased throughout the treatment  
267 (from 0.2% to 2.3%), and the DL was achieved at T90 when a fraction of *E. coli* and  
268 total coliforms still survived the disinfection process. Therefore *E. coli* may be a  
269 suitable indicator of antibiotic resistant *E. coli* fraction occurrence.

270 **Figure 2.**

### 271 **3.2 Occurrence of ARGs**

272 Qualitative PCR assays were performed on total and intracellular DNA in order to  
273 detect the presence or absence of target ARGs in wastewater samples exposed to  
274 UV/H<sub>2</sub>O<sub>2</sub> disinfection process. Total DNA was extracted from wastewater samples  
275 collected at different times and supposedly containing genetic material of living  
276 residual bacterial cells and/or released from inactivated bacterial cells due to  
277 oxidation/disinfection process. Results of electrophoretic run of PCR products on  
278 gel agarose are shown in Figure 3.

279 **Figure 3.**

280 PCR highlighted the presence of 16S rRNA gene both as total and intracellular. The  
281 intensity of the band of the 16S rRNA gene (total DNA) did not show any variation  
282 as treatment time increased (only a slight increase was observed after 240 min).  
283 The *bla*<sub>TEM</sub> gene, initially present in intracellular DNA, seem to be removed from  
284 UV/H<sub>2</sub>O<sub>2</sub> process, while it may be absent in total DNA or present at not-detectable  
285 concentration. As the *bla*<sub>TEM</sub> gene, also the *qnrS* gene was detected in intracellular  
286 DNA and the intensity of the band decreased as treatment time increased. Also in  
287 this case an effect of disinfection process on the removal of the *qnrS* gene in  
288 intracellular DNA may not be excluded. In the case of the *qnrS* gene in total DNA, a  
289 slight presence was observed at T0 and T30, then an absence at T60 and T90, and  
290 a new mild presence at T120 and T240. The *teW* gene was detected in intracellular  
291 DNA and the band is still visible after 90 min treatment.. As for the *bla*<sub>TEM</sub> gene, the  
292 *teW* gene is likely to be absent or present in total DNA at very low concentration  
293 that would make it not detectable through a PCR assay.

### 294 **3.3 ARGs quantification**

295 qPCR analyses were carried out in order to quantify the ARGs copies number,  
296 using the 16S rRNA gene as standard. The Ct values of ARGs were correlated with

297 the 16S rRNA standard curves in order to estimate the copies number ( $R^2$  value =  
298 0.992).

### 299 3.3.1 Effect of UV/H<sub>2</sub>O<sub>2</sub> process on intracellular DNA

300 The effect of UV/H<sub>2</sub>O<sub>2</sub> process on antibiotic resistant *E. coli* was also monitored  
301 through the evaluation of intracellular DNA. Figure 4 shows ARGs copies number  
302 normalized with respect to the corresponding residual antibiotic resistant *E. coli*  
303 colonies where DNA was extracted from.

304 **Figure 4.**

305 While *bla*<sub>TEM</sub> gene copies number slightly decreased from T0 to T30, *qnrS* gene  
306 copies number did not show any variation during the same treatment time. However  
307 bacterial inactivation was higher than ARGs removal, so that a significant apparent  
308 increase ( $p < 0.05$ ) was observed when data are plotted as copies number per  
309 CFUs. Disinfection process affected *bla*<sub>TEM</sub> gene in agreement with the results from  
310 Figure 3:  $2.8 \times 10^0$  copies CFU<sup>-1</sup> remained after 90 min treatment. UV/H<sub>2</sub>O<sub>2</sub> process  
311 also affected the removal of *qnrS* gene, which was not detected at T60, in  
312 agreement with PCR results (Figure 3). Unlike the other two genes, *tefW* gene in  
313 intracellular DNA was not detected by means of qPCR.

### 314 3.3.2 Effect of UV/H<sub>2</sub>O<sub>2</sub> process on total DNA

315 Total DNA was also monitored during UV/H<sub>2</sub>O<sub>2</sub> process in order to evaluate the  
316 possible release of ARGs following bacterial cells inactivation. All ARGs in total  
317 DNA were detected at each treatment time until to the end of the experiment (240  
318 min) (Figure 5).

319 **Figure 5.**

320 Unlike intracellular DNA results, the higher relative abundance in the water solution  
321 was observed for the *qnrS* gene. This result can be explained by the possible  
322 occurrence of both: other bacteria encoding *qnrS* gene and suspended DNA. By  
323 comparing the initial total DNA ( $5.1 \times 10^4$  copies mL<sup>-1</sup>) with the final one ( $4.3 \times 10^4$   
324 copies mL<sup>-1</sup>), no statistically significant difference was found ( $p > 0.05$ ). Possibly, the  
325 slight increases/decreases observed during treatment may be regarded as an  
326 overall mild effect of UV/H<sub>2</sub>O<sub>2</sub> on *qnrS* gene removal.

327 Differently from PCR results, qPCR revealed the presence of the *bla*<sub>TEM</sub> gene in  
328 total DNA. Overall no Log removal was obtained after 240 min of treatment. In spite  
329 of an inactivation of indigenous bacteria and among them ARB, the disinfection  
330 process did not significantly affect the occurrence of *bla*<sub>TEM</sub> gene in total DNA. The  
331 slight variations observed at each treatment time may suggest that the investigated  
332 process would have affected the removal of *tetW* gene to some extent but a clear  
333 trend was not observed. However, by comparing the initial concentration ( $6.0 \times 10^1$   
334 copies mL<sup>-1</sup>) with the final one ( $1.1 \times 10^1$  copies mL<sup>-1</sup>), a statistically significant  
335 decrease ( $p < 0.05$ ) was obtained.

#### 336 **4. Discussion**

337 UV/H<sub>2</sub>O<sub>2</sub> process was investigated in the present study as possible option to control  
338 the potential spread of AR into the environment. In spite of a successful inactivation  
339 of total coliforms, *E. coli* and antibiotic resistant *E. coli* (Figure 2), *bla*<sub>TEM</sub>, *qnrS*, and  
340 *teW* genes were still present in wastewater after 240 min of treatment (Figure 5).  
341 While UV/H<sub>2</sub>O<sub>2</sub> process showed an effect on the removal of ARGs in intracellular  
342 DNA, the side effect of the treatment may be the release of genetic material, and  
343 specifically ARGs in water solution which may promote AR transfer in the  
344 environment.

345 Similar inactivation trends of *E. coli* by UV/H<sub>2</sub>O<sub>2</sub> have been observed in scientific  
346 literature. Argulló-Barceló and co-workers (2013) investigated solar driven UV/H<sub>2</sub>O<sub>2</sub>  
347 process (same H<sub>2</sub>O<sub>2</sub> dose) and DL was achieved after 180 min treatment; in that  
348 case, experiments were carried out in compound parabolic collector reactors filled  
349 with 10 L of wastewater. Compared to our previous work dealing with the  
350 investigation of the effect of solar driven AOPs on real wastewater spiked with an  
351 antibiotic resistant *E. coli* strain, 150 min of solar radiation were needed to get the  
352 DL (Fiorentino et al., 2015). In that case, experiments were performed with the  
353 same dose of hydrogen peroxide (20 mg L<sup>-1</sup>), but borosilicate glass bottles with a  
354 total volume of 250 mL were used and the initial antibiotic resistant *E. coli*  
355 concentration was 10<sup>6</sup> CFU mL<sup>-1</sup>.

356 Only a few works in scientific literature have addressed the effect of  
357 water/wastewater disinfection processes on the potential of AR transfer and most of  
358 them deal by conventional disinfection processes (namely, chlorination, UV  
359 radiation and ozonation). Munir and co-workers (2011) monitored the release of  
360 ARGs, among them *tefW*, in full scale UWTP effluents and observed no significant  
361 removal ( $p>0.05$ ) between pre-disinfected and post disinfected samples when  
362 chlorination and UV radiation were implemented as disinfection processes. Higher  
363 removal (1-3 Log units) of *tefW* gene (from not detectable to 10<sup>4</sup> copies mL<sup>-1</sup>) was  
364 observed in samples after MBR and UV radiation processes, while other ARGs  
365 were still present in the final effluents (Munir et al., 2011). Rodriguez-Mozaz et al.  
366 (2015) monitored the occurrence of ARGs in UWTP and observed that *ermB* and  
367 *tefW* genes (in terms of copies number normalized to the 16S rRNA gene copies  
368 number) decreased ( $p<0.05$ ) as a result of wastewater treatment, while *bla*<sub>TEM</sub>, *qnrS*  
369 and *suII* genes increased. Both quoted studies point out (i) a lower resistance of  
370 *tefW* gene to the treatment compared to the other investigated ARGs and (ii) that  
371 the effect of disinfection process depends on the target ARG.

372 Zhuang et al. (2015) compared chlorination, UV radiation and ozonation in the  
373 removal of target ARGs, namely *intl1*, *tetG*, *sul1*, from a real urban wastewater. The  
374 most effective was chlorination (chlorine dose of 160 mg L<sup>-1</sup> and contact time of 120  
375 min for 3.0-3.2 Log reductions of ARGs). Lower removals were achieved with UV  
376 radiation (UV dose of 12.5 mJ cm<sup>-2</sup> for 2.5-2.7 Log reductions) and with ozonation  
377 (ozone dose of 177.6 mg L<sup>-1</sup> for 1.7-2.6 Log reductions). The *tetG* gene (from 10<sup>5</sup> to  
378 10<sup>6</sup> copies mL<sup>-1</sup>) was less abundant than the *tetW* gene in our study (6.0x10<sup>1</sup> copies  
379 mL<sup>-1</sup>) and was removed more easily than other ARGs by ozonation. However, just  
380 2.6 Log reductions were observed with ozone dose as high as 177.6 mg L<sup>-1</sup>. In spite  
381 of no-realistic disinfectants doses, ARGs were still present in the treated  
382 wastewater, in agreement with our results. The higher effect of chlorine in terms of  
383 ARGs removal was linked to its ability to penetrate the cell envelope (Zhuang et al.,  
384 2015), but at not realistic dose. Another study on plasmid DNA isolated from a  
385 multi-resistant *E. coli* HB101 and treated by three disinfection processes showed  
386 that chlorine (0.5 - 5.0 mg L<sup>-1</sup>) did not affect the cell transformability, while titanium  
387 dioxide (0.17 mg L<sup>-1</sup>) and ozone (1.0 - 4.2 mg L<sup>-1</sup>) induced damage in the plasmid  
388 DNA structure and then decreased the cell transformability (Öncü et al., 2011). This  
389 study also revealed that the removal of all plasmid DNA required an ozone dose as  
390 high as 4.2 mg L<sup>-1</sup> and a photocatalytic treatment time as long as 75 min. Multi-  
391 resistant *E. coli* HB101 was spiked (10<sup>7</sup> CFU mL<sup>-1</sup>) in a synthetically contaminated  
392 cow manure treated by both Fenton (without acidification) and ozonation processes  
393 (Cengiz et al., 2010). In spite of very high doses of H<sub>2</sub>O<sub>2</sub> and Fe<sup>2+</sup>, such as 50 and 5  
394 mM respectively, just 0.56 Log reduction was obtained within 24 h of treatment;  
395 1.83 Log reduction was achieved with doses of ozone as high as 3.12 mg per gram  
396 of manure slurry. Also the effect on the *tetM* gene was monitored during Fenton and  
397 ozonation processes: increased exposure to oxidants resulted in reduced band  
398 intensity (Cengiz et al., 2010). Although the matrix was different from that analysed



399 in our study, high doses of oxidants did not result in ARB inactivation and ARGs  
400 removal. In disagreement with that, a study by Oh et al. (2014) shows that  
401 ozonation may reduce *E. coli* DH5 $\alpha$  and its multi-resistant gene pB10 from a  
402 synthetic wastewater more than 90% with an ozone dose of 3 mg L<sup>-1</sup> within 15 min:  
403 the addition of some catalysts, such as peroxymonosulfate, persulfate, hydrogen  
404 peroxide (concentration of 1 mg L<sup>-1</sup>), resulted in better performances. Anyway no  
405 information are available about the working volume, the initial concentrations of  
406 ARB and ARGs. Finally, the effect of photocatalysis (TiO<sub>2</sub> immobilised onto  
407 borosilicate glass plates: 0.5 mg cm<sup>-2</sup>) on the transfer of ARGs in urban wastewater  
408 by means of a cultivation method was investigated: the authors highlighted the  
409 importance of applying photocatalytic treatment “long enough” to avoid post  
410 treatment recovery from sub-lethal injury and the highly undesirable transfer of  
411 ARGs amongst bacteria during wastewater treatment (Dunlop et al., 2015).

412 In our recently published paper, UV/H<sub>2</sub>O<sub>2</sub> process did not result in any relevant  
413 effect on the removal of DNA extracted from cell cultures of an antibiotic resistant *E.*  
414 *coli* strain (Ferro et al., 2016). Differently, with respect to the same target gene, in  
415 the present study, disinfection process affected *bla*<sub>TEM</sub> gene in intracellular DNA  
416 (2.8x10<sup>0</sup> copies CFU<sup>-1</sup> after 90 min treatment). This different effect may be due to  
417 the fact that, in the former work, DNA was extracted from cell cultures of an  
418 inoculated strain that has been previously selected as resistant to a mixture of  
419 antibiotics, among which ampicillin. Differently, in the present study, DNA was  
420 extracted from cell cultures of all indigenous antibiotic resistant *E. coli* cells.  
421 Moreover, in agreement with the present work and in spite of the inactivation of the  
422 spiked strain, *bla*<sub>TEM</sub> gene was still present after 300 min treatment (2.8x10<sup>6</sup> copies  
423 mL<sup>-1</sup>). Although the different water matrix (distilled water Vs real wastewater),  
424 UV/H<sub>2</sub>O<sub>2</sub> process was not effective on *bla*<sub>TEM</sub> gene removal because (i) the oxidant  
425 dose may be low (20 mg L<sup>-1</sup>); (ii) the mechanism of action of hydroxyl radicals on

426 DNA may be mild. A recent work by Zhang et al. (2016) focused on the effect of  
427 Fenton oxidation and UV/H<sub>2</sub>O<sub>2</sub> process on the removal of *int1*, *sul1*, *tetG*, *tetX* and  
428 16S rRNA genes from UWTP effluent, whose concentrations ranged from 10<sup>5</sup> to 10<sup>9</sup>  
429 copies mL<sup>-1</sup>. The authors pointed out that in UV/H<sub>2</sub>O<sub>2</sub> experiments (30 min  
430 irradiation time, 0.01 mol L<sup>-1</sup> hydrogen peroxide concentration and pH 3.5), all  
431 ARGs were reduced of 2.8-3.5 Log units. This significant reduction is due to  
432 different operative conditions compared to those investigated in our study. First the  
433 reactor was equipped with a low-pressure 254 nm (UV-C) mercury vapour lamp,  
434 while a wide spectrum lamp, (main radiation emission range from 320 to 450 nm  
435 (UV-A)), was used in our work. This entails different treatment times as well as  
436 mechanisms of action: UV-C light can penetrate the UV-transparent structures in  
437 the cell and primarily be absorbed by the nucleobases comprising DNA and RNA  
438 (Dodd, 2012). Differently, the effect on DNA in the present work is expected to be  
439 mainly due to hydroxyl radicals produced during UV/H<sub>2</sub>O<sub>2</sub> process. Another  
440 difference is due to the pH of wastewater investigated (3.5 Vs natural pH (7.6) in  
441 our study); the authors evaluated the effect at pH 3.5 because of the lower removal  
442 (1.5-2.3 Log) achieved at pH 7 (Zhang et al., 2016). Finally the dose of H<sub>2</sub>O<sub>2</sub> was  
443 set at 0.01 mol L<sup>-1</sup> (340 mg L<sup>-1</sup>) which is much higher than the dose applied in our  
444 study (20 mg L<sup>-1</sup>). A so high concentration is not realistic because does not make  
445 the investigated technology cost-effective and would be toxic to plants (either, in the  
446 receiving water body or eventually irrigated with the effluent in agricultural  
447 wastewater reuse practice) (Sichel et al., 2009). A concentration as low as 20 mg L<sup>-1</sup>  
448 was chosen in our work according to previous studies (Agulló-Barceló et al., 2013;  
449 Bichai et al., 2012; Fiorentino et al., 2015), but also in the light of a possible  
450 wastewater reuse as a cost-effective technology.

## 451        **5. Conclusions**

452        The effect of UV/H<sub>2</sub>O<sub>2</sub> process on the inactivation of indigenous bacteria (among  
453        them antibiotic resistant *E. coli*) and ARGs was investigated in real urban  
454        wastewater. In spite of the DL of antibiotic resistant *E. coli* and the other  
455        investigated cultivable bacteria was reached after 90 and 240 min treatment,  
456        respectively, ARGs were still present in the wastewater sample at the end of the  
457        treatment. In particular, UV/H<sub>2</sub>O<sub>2</sub> process did not affect the copies number of *bla*<sub>TEM</sub>  
458        gene and poorly affected ( $p>0.05$ ) the copies number of *qnrS* gene ( $4.3 \times 10^4$  copies  
459        mL<sup>-1</sup> after 240 min treatment). However, the investigated disinfection process  
460        allowed to obtain a significant decrease ( $p<0.05$ ) of the copies number of the *tetW*  
461        gene ( $1.1 \times 10^1$  copies mL<sup>-1</sup>) after 240 min treatment. Simultaneously UV/H<sub>2</sub>O<sub>2</sub>  
462        process showed a successful effect on the removal of ARGs in intracellular DNA.

463        According to these results, UV/H<sub>2</sub>O<sub>2</sub> process may not be an effective disinfection  
464        process to limit or minimise the potential spread of AR under realistic conditions.  
465        The survived ARB and/or free ARGs released from inactivated bacteria may  
466        contribute to AR transfer into the environment (namely, aquatic ecosystems in  
467        which the treated wastewater is disposed off or irrigated soils/crops in case of  
468        wastewater reuse).

## 469        **Acknowledgements**

470        Giovanna Ferro wishes to thank University of Salerno for supporting her research  
471        through PhD grant (XIV Ciclo di Dottorato in Ingegneria Civile per l'Ambiente ed il  
472        Territorio). The authors would like to acknowledge the financial support provided by  
473        University of Salerno through FARB2015 (Trattamento fotocatalitico solare di acque  
474        reflue urbane destinate al riutilizzo) and COST-European Cooperation in Science

475 and Technology, to the COST Action ES1403: New and emerging challenges and  
476 opportunities in wastewater reuse (NEREUS). Disclaimer: The content of this article  
477 is the authors' responsibility and neither COST nor any person acting on its behalf  
478 is responsible for the use, which might be made of the information contained in it.

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594



595 **Figures captions**

596 **Figure 1.** Antibiotic resistant *E. coli* inactivation by UV/H<sub>2</sub>O<sub>2</sub> process.

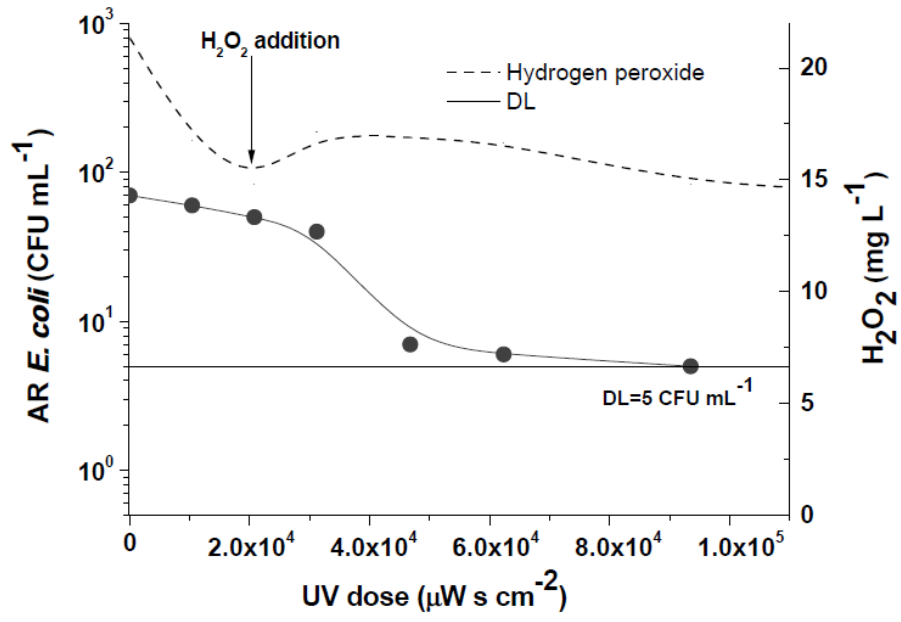
597 **Figure 2.** Relative abundance of bacterial population during UV/H<sub>2</sub>O<sub>2</sub> treatment and  
598 Antibiotic Resistant *E. coli* percentages calculated with respect to total coliforms  
599 (upper placed values) and *E. coli*.

600 **Figure 3.** Results of electrophoretic run of PCR product on gel agarose: 16S rRNA  
601 gene (a), *bla*<sub>TEM</sub> gene (b), *qnrS* gene (c), *tetW* gene (d). P.C. = positive control; N.C.  
602 = negative control.

603 **Figure 4.** Concentrations of ARGs in intracellular DNA as a function of treatment  
604 times of UV/H<sub>2</sub>O<sub>2</sub> process. A, B, C, a, b, indicate significantly ( $p < 0.05$ ) different  
605 groups of normalized ARGs (Log copies CFU<sup>-1</sup>) among the four investigated  
606 treatment times.

607 **Figure 5.** Concentrations of ARGs in total DNA as a function of treatment times of  
608 UV/H<sub>2</sub>O<sub>2</sub> process; a, b, c, d, e, f indicate significantly ( $p < 0.05$ ) different groups of  
609 ARGs (Log copies mL<sup>-1</sup>) among the six investigated treatment times.

610

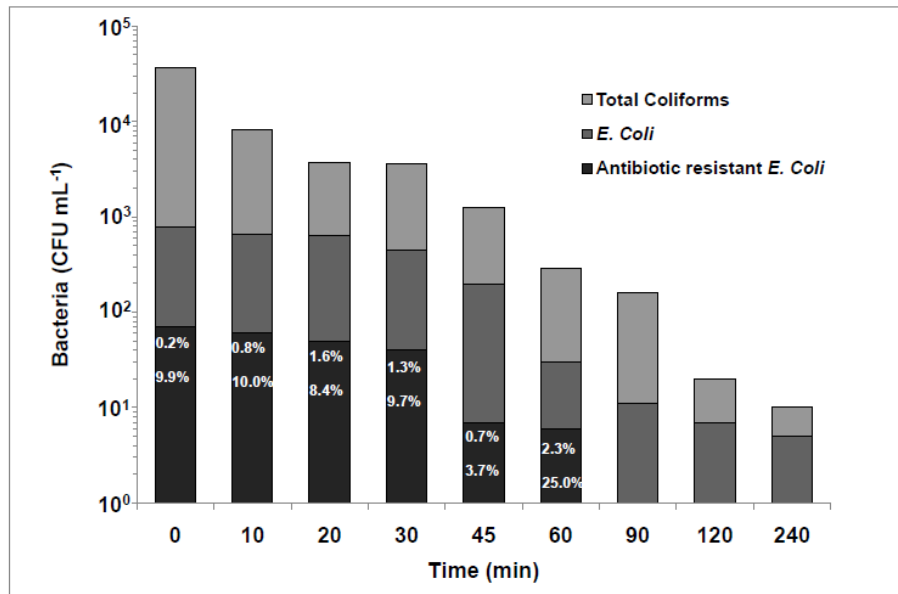


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612

**Figure 1.** Antibiotic resistant *E. coli* inactivation by UV/H<sub>2</sub>O<sub>2</sub> process.

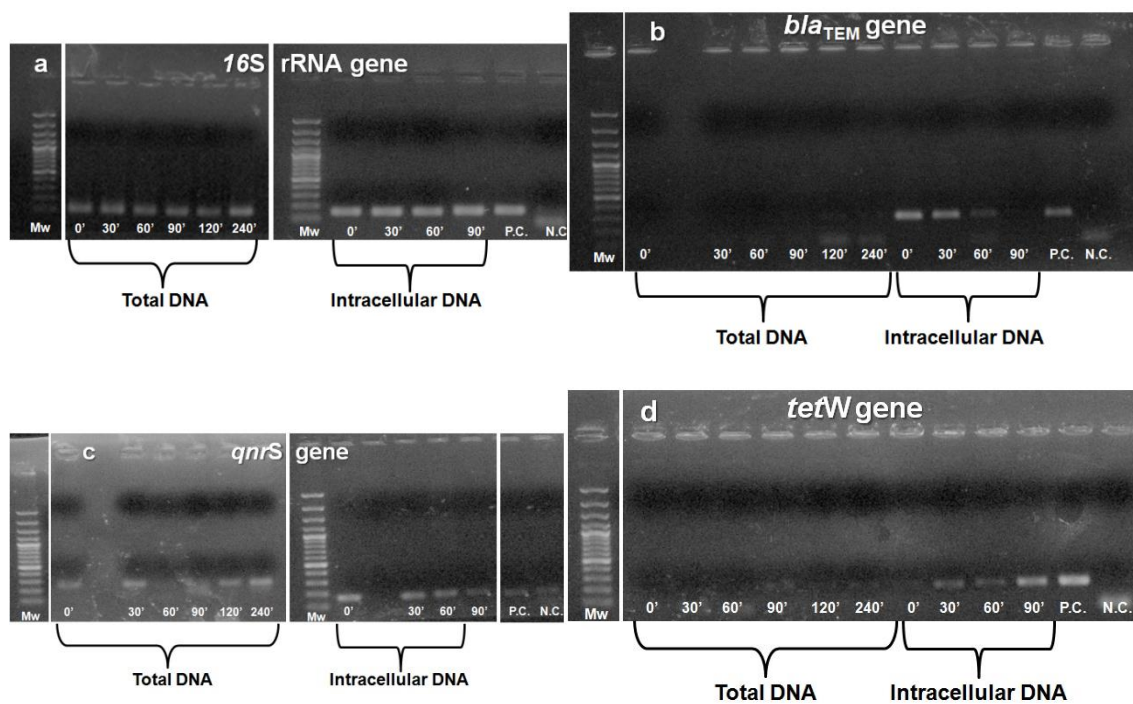
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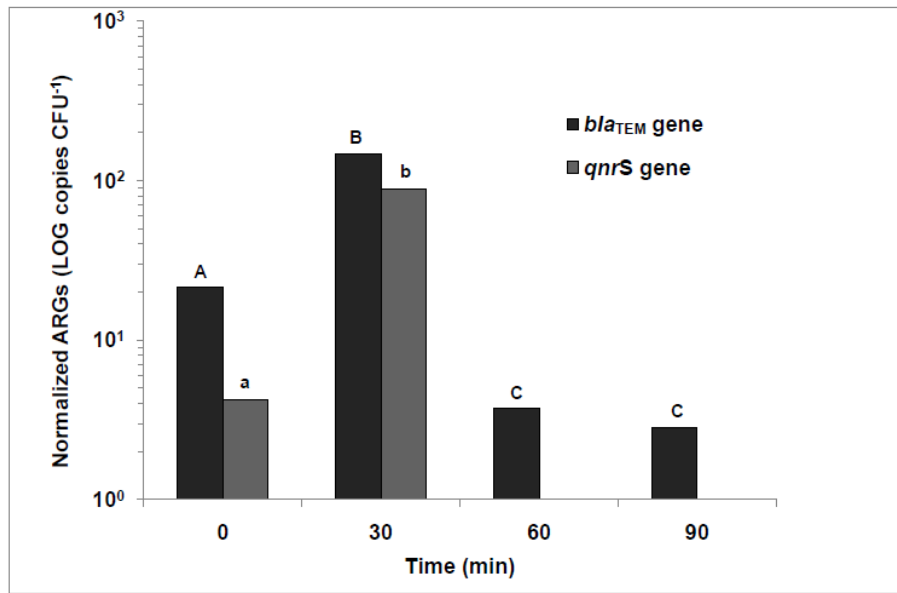
615 **Figure 2.** Relative abundance of bacterial population during UV/H<sub>2</sub>O<sub>2</sub> treatment and Antibiotic  
 616 Resistant *E. coli* percentages calculated with respect to total coliforms (upper placed values) and *E.*  
 617 *coli*.

618



620 **Figure 3.** Results of electrophoretic run of PCR product on gel agarose: 16S rRNA gene (a), *bla<sub>TEM</sub>*  
 621 gene (b), *qnrS* gene (c), *tetW* gene (d). P.C. = positive control; N.C. = negative control.

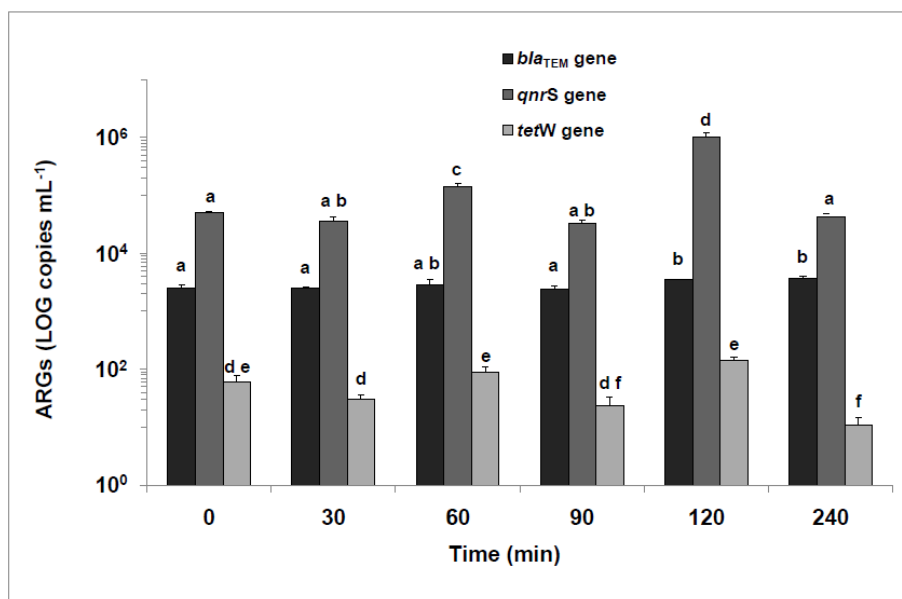
622



623

624 **Figure 4.** Concentrations of ARGs in intracellular DNA as a function of treatment times of UV/H<sub>2</sub>O<sub>2</sub>  
 625 process. A, B, C, a, b, indicate significantly ( $p < 0.05$ ) different groups of normalized ARGs (Log copies  
 626 CFU<sup>-1</sup>) among the investigated treatment times.

627



628

629 **Figure 5.** Concentrations of ARGs in total DNA as a function of treatment times of UV/H<sub>2</sub>O<sub>2</sub> process.  
 630 a, b, c, d, e, f indicate significantly (*p* < 0.05) different groups of ARGs (Log copies mL<sup>-1</sup>) among the  
 631 investigated treatment times.

632