

1 **Tertiary treatment of urban wastewater by solar and UV-C driven advanced**
2 **oxidation with peracetic acid: effect on contaminants of emerging concern and**
3 **antibiotic resistance**

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19

20 **Abstract**

21 Photo-driven advanced oxidation process (AOP) with peracetic acid (PAA) has been
22 poorly investigated in water and wastewater treatment so far. In the present work its
23 possible use as tertiary treatment of urban wastewater to effectively minimize the release
24 into the environment of contaminants of emerging concern (CECs) and antibiotic-resistant
25 bacteria was investigated. Different initial PAA concentrations, two light sources (sunlight
26 and UV-C) and two different water matrices (groundwater (GW) and wastewater (WW))
27 were studied. Low PAA doses were found to be effective in the inactivation of antibiotic
28 resistant *Escherichia coli* (AR *E. coli*) in GW, with the UV-C process being faster (limit of
29 detection (LOD) achieved for a cumulative energy (Q_{UV}) of 0.3 kJL^{-1} with $0.2 \text{ mg PAA L}^{-1}$
30 ¹) than solar driven one (LOD achieved at $Q_{UV}=4.4 \text{ kJL}^{-1}$ with $0.2 \text{ mg PAA L}^{-1}$). Really
31 fast inactivation rates of indigenous AR *E. coli* were also observed in WW. Higher Q_{UV}
32 and PAA initial doses were necessary to effectively remove the three target CECs
33 (carbamazepine (CBZ), diclofenac and sulfamethoxazole), with CBZ being the more
34 refractory one. In conclusion, photo-driven AOP with PAA can be effectively used as
35 tertiary treatment of urban wastewater but initial PAA dose should be optimized to find the
36 best compromise between target bacteria inactivation and CECs removal as well as to
37 prevent scavenging effect of PAA on hydroxyl radicals because of high PAA
38 concentration.

39

40

41 **Keywords:** advanced oxidation processes, antibiotic-resistant bacteria, peracetic acid,
42 solar driven processes, wastewater treatment, water disinfection.

43 **1. Introduction**

44 The concern for the release into the environment of micro-contaminants from point
45 sources, such as wastewater treatment plants (Petrie et al., 2015), as well as the need of
46 wastewater reuse, due to the lack of fresh water sources (Fatta Kassinos, 2015), have been
47 stimulating the discussion in the last years about new relevant regulations (JRC, 2015;
48 Brack et al., 2017) to make urban wastewater treatment plants (UWTPs) effluents safer. As
49 matter of fact, because of inconsistent national legislation across Member States, the
50 European Commission is working on a legislative proposal on minimum quality
51 requirements (MQR) for water reuse in agricultural irrigation and aquifer recharge (Rizzo
52 et al., 2018). Meanwhile, in the attempt to minimize the release of micro-contaminants
53 (also known as contaminants of emerging concern, CECs) from UWTPs in the
54 environment, Switzerland enacted a regulation entered into force on January 2016, which
55 requires the upgrade of UWTPs within the next twenty years (www.bafu.admin.ch).
56 Accordingly, a selection of CECs from a list of twelve compounds need to be removed
57 from the effluent by 80% (Bourgin et al. 2018). The increasing interest toward CECs and
58 other emerging contaminants, such as antibiotic-resistant bacteria (ARB) and genes
59 (ARGs), as well as the ongoing discussion on new related regulations, have driven the
60 attention on UWTPs that are not or poorly effective to successfully address these new
61 challenges (Rizzo et al., 2013; Petrie et al., 2015; Krzeminski et al., 2019). In a multi-
62 barrier approach, typically implemented in UWTPs trains, the most important role to
63 minimize the release of CECs and the risk of antibiotic resistance spread into the
64 environment relies on tertiary treatment (Ferro et al., 2015; Bourgin et al. 2018).
65 Unfortunately, consolidated tertiary treatments either did not show to be effective or did
66 result in some drawbacks. As matter of fact, chlorination, typically used as disinfection
67 step before UWTP effluent disposal or reuse, is poorly effective in the removal of CECs
68 (Fu et al., 2018) and in controlling antibiotic resistance (Fiorentino et al., 2015; Yuan et al.,

69 2015), as well as results in the formation of hazardous disinfection by-products (DBPs)
70 (Huang et al., 2016; Keun-Young et al., 2016). UV-C disinfection is effective in the
71 inactivation of pathogens when sand filtration is used as pre-treatment, but poor or not
72 effective at all (depending on the characteristics of the target molecule) in the removal of
73 CECs (Lian et al., 2015). Tertiary treatment by ozonation can inactivate pathogens and
74 remove CECs, but an additional post-treatment step can be necessary to remove ozonation
75 by-products (i.e., nitrosodimethylamine and bromate) (Hollender et al., 2009). Activated
76 carbon adsorption is also an effective tertiary treatment for the removal of CECs (Rizzo et
77 al., 2015; Ahmed, 2017) but an additional disinfection process may be necessary, in
78 particular to meet more stringent standards for wastewater reuse. Due to their efficiency in
79 the removal of CECs and inactivation of pathogens because of the formation of reactive
80 oxygen species (ROS), such as hydroxyl radicals (HO^{\bullet}), advanced oxidation processes
81 (AOPs) represent a possible alternative to conventional tertiary treatments. AOPs can be
82 classified in different ways, one being photo (among which UV/ H_2O_2 , photo-Fenton and
83 TiO_2 photocatalysis) and not photo (such as Fenton, O_3 , $\text{O}_3/\text{H}_2\text{O}_2$ etc.) driven AOPs.
84 Photo-driven AOPs, can be also operated with solar radiation to save energy costs (Malato
85 et al., 2009). Homogeneous photo-driven AOPs (such as UV/ H_2O_2 and photo-Fenton) are
86 more attractive than heterogeneous photocatalytic processes (such as UV/ TiO_2) for short
87 term application as tertiary treatment method of urban wastewater. As matter of fact, the
88 technology of heterogeneous processes is not yet fully mature for large scale applications,
89 basically for limitations related either to catalyst removal after treatment or fixing catalyst
90 on a support (Sacco et al., 2018), and it would be more expensive than homogeneous
91 photo-driven AOPs based technology. Peracetic acid (PAA) is increasingly used as an
92 alternative option to chlorination in wastewater disinfection (Antonelli et al., 2013;
93 Formisano et al., 2016). However, disinfection efficiency (Formisano et al., 2016) and
94 CECs removal (Cai et al., 2017) may be improved by coupling PAA with UV radiation,

95 due to the formation of HO[•]. Accordingly, the possible use of this process as homogeneous
96 photo-driven AOP for tertiary treatment of urban wastewater is worthy of investigation. In
97 particular, before any possible up-scale it would be of interest to examine the process
98 efficiency in the removal of CECs at environmentally significant concentrations as well as
99 its effect on antibiotic resistance. Therefore, in the present work, UV/PAA process at pilot
100 scale was investigated for the first time in the inactivation of an antibiotic resistant (AR)
101 (sulfamethoxazole) *Escherichia coli* (*E. coli*) strain, and in the degradation of a mixture of
102 three CECs: (anticonvulsant) Carbamazepine (CBZ), (analgesic) Diclofenac (DCF) and
103 (antibiotic) Sulfamethoxazole (SMX), at initial concentration of 100 µgL⁻¹ each, in a lower
104 complexity aqueous matrix (namely groundwater (GW)). Subsequently, UV/PAA process
105 was investigated on secondary treated wastewater (WW) samples to evaluate the
106 inactivation of indigenous AR *E. coli* and the degradation of the same mixture of CECs.
107 The effect of light source (solar light Vs UV-C radiation) was also investigated in both
108 aqueous matrices (GW and WW). *E. coli* was chosen as model microorganism because it is
109 considered among the most important vectors in the dissemination of antimicrobial
110 resistance in the environment (Rizzo et al., 2013) as well as because it is used as pathogen
111 indicator in regulations and guide lines for wastewater disposal and reuse (USEPA, 2012;
112 ISO, 2015). CBZ, DCF and SMX were selected as model CECs because they are typically
113 detected in urban wastewater (Petrie et al., 2015).

114

115 **2. Material and methods**

116 2.1 Chemicals

117 Carbamazepine (CBZ), Diclofenac (DCF) and Sulfamethoxazole (SMX), all high purity
118 grade (>99%), were purchased from Sigma-Aldrich. Peracetic Acid (PAA) solution,
119 containing 30% w/w of PAA and 4.5 % w/w of H₂O₂ was purchased from Sigma-Aldrich

120 and used as obtained. Sodium thiosulfate ($\text{Na}_2\text{S}_2\text{O}_3$, 99% w/w) and bovin liver catalase
121 were used, as received from Sigma-Aldrich. Titanium IV oxysulfate (Riedel-de-Haën,
122 Germany) was used, as obtained from the manufacturer.

123

124 2.2 Water matrices

125 To evaluate water matrix effect on UV/PAA process tests were performed with both GW
126 and WW. GW was collected from a borehole located on the PSA site with depth of
127 approximately 200 m. Physical-chemical characteristics of both water matrices are given in
128 Table 1.

129

130 **Table 1**

131

132 GW samples were inoculated with SMX resistant *E. coli* strain selected from the effluent
133 of the biological process (activated sludge) of Almeria (Spain) UWTP, according to the
134 procedure explained in the subsequent paragraph 2.4. WW samples were also taken after
135 biological process (just upstream of disinfection unit) from the same UWTP during spring-
136 summer time (June-August 2017), and used for disinfection/oxidation experiments without
137 inoculum. Samples were collected in amber glass bottles and stored at 4 °C for a maximum
138 of two days.

139

140 2.3 AOPs and control experiments

141 The experimental design included two pilot scale reactors, namely a Compound Parabolic
142 Collector (CPC) for outdoor sunlight experiments and a UV-C reactor (UV-C).

143

144 2.3.1 Sunlight/PAA experiments with CPC

145 The CPC reactor used was previously described (Polo-López et al., 2010). Briefly, it
146 consists of two 60 L tube modules, each one equipped with 10 cylindrical glass tubes made
147 of borosilicate glass, with a diameter of 5 cm, a length of 150 cm and a thickness of 2.5
148 mm, to allow a 90% transmission of UVA in the natural solar spectrum. The photoreactor
149 is titled at 37° with respect to the horizontal to maximize solar radiation. A tank housed in
150 the lower part of the pilot plant is connected to a pump, which allowed to operate the
151 modules in a recirculation mode. The CPC reactor has a total illuminated volume of 45 L
152 and it was operated with a water flow rate of 30 Lmin⁻¹. This flow rate guarantees a
153 turbulent regime, which results in a proper homogenization of water samples and in a good
154 contact between bacteria, contaminants and oxidant. Disinfection experiments were carried
155 out during 300 minutes of solar exposure on clear sunny days at PSA from May 2017 to
156 August 2017. More specifically, firstly the solar photoreactor was filled in with 60 L of
157 water matrix (GW or RW) and then, the mixture of the three CECs (100 µgL⁻¹ of initial
158 concentration each) and the sulfamethoxazole resistant *E.coli* solution (10⁶ CFU mL⁻¹
159 initial bacterial density) were spiked in. After 5 minutes of homogenization with the CPC
160 still covered, a control sample was taken in order to ensure the presence of bacteria and
161 contaminants. Then, PAA (initial concentration in the range 0.075 – 20 mg L⁻¹) was added
162 to the reactor tank and after 10 minute of recirculation, the experiment started as the cover
163 was removed. Samples were collected at regular intervals depending on the treatment.
164 Water temperature ranged from 21.0 to 47.7 °C and pH ranged from 8.04 to 9.41. A fixed
165 pyranometer (Model CUV5, 280-400 nm, Kipp & Zonen, Netherlands) registered in
166 continuous mode the incident light. The inactivation and degradation rates were plotted as
167 a function of both the experimental time (t) and the cumulative energy per unit of volume

168 (Q_{UV}) received in the photoreactor, commonly used to compare results under different
169 conditions (Malato et al., 2009), and calculated by Equation (1):

$$170 \quad Q_{UV,n} = Q_{UV,n-1} + \Delta t_n \cdot UV_{G,n} \cdot A_f / V_t \quad \Delta t_n = t_n - t_{n-1} \quad (\text{Eq.1})$$

171 where $Q_{UV,n}$ and $Q_{UV,n-1}$ is the UV energy accumulated per liter (kJ L^{-1}) at times n and $n-1$,
172 $UV_{G,n}$ is the average incident radiation on the irradiated area, Δt_n is the experimental time
173 of sample, A_f is the illuminated area of the reactor (m^2) and V_t is the total volume of water
174 treated (L). Each experiment was performed in duplicate, between 10 am to 16 pm local
175 time, and the results were plotted as the average of the two replicates.

176

177 2.3.2 UV-C plant

178 The UV-C reactor is a plant equipped with three UV-C lamps (254 nm peak wavelengths,
179 230 W) connected in series, with a flexible configuration that allow the system to operate
180 with a single lamp, two or three lamps in recirculating batch mode or continuous flow
181 mode. In this study, only one lamp was used and the illuminated volume was 4.17 L, which
182 corresponds to a total volume in the plant of 80 L. Disinfection/oxidation experiments were
183 carried out during 180 minutes at PSA from May 2017 to August 2017. More specifically,
184 firstly the reactor was filled in with water matrix (GW or WW) and then, the mixture of the
185 three CECs ($100 \mu\text{g L}^{-1}$) and the sulfamethoxazole resistant *E.coli* solution (10^6 CFU mL^{-1})
186 were spiked in. After 15 minute of homogenization, with the lamp still switched off, initial
187 sample was taken in order to ensure the presence of bacteria and contaminants. Then, PAA
188 (initial concentration in the range $0.075 - 20 \text{ mg L}^{-1}$) was added to the reactor tank and
189 after 15 minute of recirculation, the experiment started and the lamp was switched on.
190 Samples were collected at regular intervals depending on the treatment. A fixed controller
191 (ProMinent) housed in the back of the reactor, monitored in continuous water flow rate (46

192 L_{min}^{-1}) and UV-C lamp intensity (33.7 Wm^{-2} for WW and 99.7 Wm^{-2} for GW). The
193 equipment registers, in continuous during the test, the sensor measurements in terms of
194 incident irradiation (Wm^{-2}), which is the UV-C radiation energy rate incident on a surface
195 per unit area. The accumulated energy was calculated according to Eq. 2:

$$196 \quad Q_{\text{UVC}} (\text{kJ L}^{-1}) = \text{Dose} (\text{Jm}^{-2}) \cdot A_i / V_T (\text{m}^2 \text{L}^{-1}) (\text{kJ} (1000 \text{ J})^{-1}) \quad (\text{Eq.2})$$

197 where Q_{UVC} is the accumulated UV-C energy per L, Dose is the UV-C ultraviolet
198 irradiation (Wm^{-2}) emitted by the lamp multiplied by the illumination time, A_i (0.28 m^2) is
199 the irradiated surface, V_T (80 L) is the total volume of the water into the pilot plant and V_i
200 (4.17 L) is the total irradiated volume. Each experiment was performed in duplicate and the
201 results were plotted as the average of the two replicates.

202

203 2.4 Selection of antibiotic resistant *E. coli* strain

204 The antibiotic resistant *E.coli* strain inoculated in GW for disinfection experiments was
205 isolated from the effluent of the biological process (activated sludge) of Almeria UWTP by
206 membrane filtration method and subsequent cultivation on selective medium, according to
207 a previously published procedure (Rizzo et al., 2014). More specifically, 50 mL of
208 wastewater and its serial dilutions were filtered through sterile membranes (cellulose
209 nitrate, $0.45\text{-}\mu\text{m}$ pore size, 47 mm diameter, Millipore) which were incubated (24 h, $37 \text{ }^\circ\text{C}$)
210 on AR m-FC (Difco) culture medium supplemented with 64 mgL^{-1} of sulfamethoxazole.
211 Antibiotic concentration was chosen according to the double of the respective minimum
212 inhibitory concentration (MIC) values available in EUCAST database (2014). Some
213 colonies were randomly picked up and frozen at $-5 \text{ }^\circ\text{C}$ using sterile vials of cryobeads
214 (Deltalab). To recover the stock, the vial was slowly unfreezed up to reach room
215 temperature ($25 \text{ }^\circ\text{C}$). One bead was streaked onto a Petri dish of AR m-FC agar and

216 incubated for 20 h at 37 °C to obtain isolated bacteria colonies. This dish was stored during
217 1 week in the refrigerator to prepare a fresh *E. coli* culture to make it available for GW
218 disinfection/oxidation experiments. Fresh liquid cultures were prepared taking one colony
219 from the refrigerated stock in the Petri dish using a loop, transferred into 14 mL of liquid
220 LB broth and incubated in a rotary shaker at 100 rpm, during 18-20 h at 37 °C to get the
221 bacterial stationary phase concentration (10^9 CFU mL⁻¹). Bacterial suspensions were
222 harvested by centrifugation at 3000 rpm for 10 min. Then, the pellet was re-suspended in
223 Phosphate Buffer Saline (PBS) solution and diluted directly into the GW sample for each
224 experiment to reach the initial concentration of 10^6 CFUmL⁻¹.

225

226 2.5 Analytical measurements

227 Before performing each experiment, water samples were characterized in terms of
228 temperature, pH, conductivity, DOC, inorganic carbon (IC), total carbon (TC), anions and
229 cations. Temperature and pH were measured using a multi parametric sensor WTW
230 multi720. Conductivity was measured by a conductivity meter GLP31 CRISON. Turbidity
231 was measured by a turbidity meter 2100AN model (Hach). DOC, IC and TC were analyzed
232 using a Shimadzu TOC-V-CSN and an auto-sampler ASI-V. DOC was estimated as the
233 difference between the TC and the IC values. Samples were filtered with a 0.22 mm nylon
234 filter (Aisimo, Millipore Millex® GN) before their injection into the equipment. The
235 calibration was performed periodically with potassium hydrogen phthalate in Milli-Q water
236 for TC and a sodium carbonate/sodium bicarbonate (1:1) for IC. Anions and cations were
237 analyzed using ion chromatography, 850 Professional IC – Cation coupled to Metrohm 872
238 Extension Module. Samples were filtered with a 0.22 mm nylon filter (Aisimo) before
239 injection into the equipment. The calibration was checked before samples measurements
240 by standard solutions of 10 mg L⁻¹ of each anion and cation analyzed. CECs concentrations

241 were monitored by ultra-performance liquid chromatography UPLC (Agilent
242 Technologies, series 1200) with a UV-DAD detector and a C-18 analytical column. The
243 initial conditions were 95% water with 25 mM formic acid (A) and 5% ACN (B). A linear
244 gradient progressed from 10% to 0% B in 15 min. Re-equilibration time was 3 min with a
245 flow rate of 1 mL·min⁻¹. In order to prepare the vial for the detector, firstly, 4.5 mL of
246 sample were filtered using a 0.22- μ m PTFE filter (Millipore). Then, to remove any
247 adsorbed compounds, the filter was washed with 2.5 mL of ACN mixed with the filtered
248 water sample. The prepared solution was transferred into an amber glass vial, put in the
249 UPLC and analyzed using an injection volume of 100 μ L. Retention time, quantification
250 limit (LOQ), detection limits (LOD) and maximum absorption (λ) for the MCs are shown
251 in Table S1 (in supplementary information file).

252 PAA commercially available solutions also contain a percentage of H₂O₂ (4.5 % w/w in
253 the solution used in this work), which will contribute to the formation of HO[•].
254 Accordingly, H₂O₂ residual concentration was also measured in this work to better support
255 explanation and discussion of the results achieved. In particular, H₂O₂ concentration was
256 measured with a spectrophotometer (PG Instruments Ltd T-60-U) at 410 nm in glass
257 cuvettes with a 1 cm of path length based on the formation of a yellow complex from the
258 reaction of titanium IV oxysulfate with H₂O₂ following DIN 38409 H15. Absorbance was
259 read after 5 min incubation time against a H₂O₂ standard curve linear in the 0.1 - 100 mgL⁻¹
260 concentration range.

261 PAA concentration was measured according to the method from HACH (2014). Briefly,
262 2.5 ml of sample was mixed with 15 mg of N,N-diethyl-p-phenylenediamine (DPD, VWR
263 Chemicals). Absorbance was measured with a spectrophotometer (PG Instruments Ltd T-
264 60-U) at 530 nm after 45 seconds of incubation time against a PAA standard curve (range
265 0.05 – 5 mg L⁻¹).

266

267 2.6 Bacterial count

268 Bacterial count was performed by standard plate counting method through a serial 10-fold
269 dilutions in PBS placed into AR m-FC agar Petri dishes. In particular, when the bacterial
270 load was expected to be high, 50 μL drop of adequate dilution was plated, instead, when
271 the bacterial load was expected to be low, volume of 500 μL was spread onto prepared
272 dishes. Antibiotic resistant (AR) *E.coli* colonies were counted after an incubation period of
273 20 h at 37 °C (limit of detection (LOD) 2 CFU mL^{-1}). Measurements were carried out in
274 duplicates in order to plot average values. The results were highly reproducible and the
275 standard deviation of the replicates is showed in the graphs as error bars. Stock solutions of
276 bovine liver catalase (50 mg L^{-1}) and sodium thiosulfate (100 mg L^{-1}) were freshly
277 prepared every day and added 20 $\mu\text{L mL}^{-1}$ and 1 $\mu\text{L mL}^{-1}$ respectively to all water samples
278 taken from the reactors in order to remove any residual concentration of PAA and H_2O_2 .

279

280 3. Results

281 3.1 Inactivation of AR *E. coli* by sunlight/PAA in CPC

282 3.1.1 Control tests

283 Control experiments were performed with PAA and sunlight as standalone processes,
284 respectively. The effect of PAA on the inactivation of AR *E. coli* under dark conditions
285 was investigated for three PAA concentrations (0.075, 1 and 2 mg L^{-1}) in GW. The LOD
286 was achieved for 1 and 2 mg PAA L^{-1} , with 4 and 5 log unit inactivation respectively, after
287 15 min (Figure 1). The lower investigated dose (0.075 mg PAA L^{-1}) resulted only in half
288 log unit inactivation after 180 min, possibly due to the low initial concentration of both

289 PAA and H₂O₂ (0.039 mg L⁻¹). The LOD was even achieved for sunlight experiment, but
290 after 300 minutes treatment (53.67 kJL⁻¹).

291

292 **Figure 1**

293

294 Part of PAA initial concentration was consumed as the oxidant solution was added to GW
295 sample; as can be observed from Figure S11, PAA concentration measured just after the
296 addition of PAA solution (t=0) is lower than the corresponding initial concentration dosed.
297 Moreover, PAA was almost totally consumed after 300 min treatment when 1 mg PAA L⁻¹
298 was added; while only 50% was consumed when initial PAA was 2 mg PAA L⁻¹.

299

300 3.1.2 Effect of PAA initial concentration

301 Since AR *E. coli* inactivation was quite fast between 1 and 2 mg PAA L⁻¹ under dark
302 conditions, lower PAA concentrations (in the range 0.075-1.0 mg L⁻¹) were investigated
303 during sunlight/PAA tests. Q_{UV} and solar exposure time required to reach the LOD for the
304 inactivation of AR *E. coli*, decreased as PAA dose was increased. More specifically, in GW
305 the best performance was achieved after 30 minutes with 0.2 mg PAA L⁻¹ (Q_{UV} = 4.40 kJL⁻¹
306 ¹) (Figure 2a). Inactivation rates were faster compared to sunlight experiment where LOD
307 was achieved after 300 minutes treatment with a higher energy requirement (53.67 kJL⁻¹).

308

309 **Figure 2**

310

311 Moreover, the lower investigated PAA initial concentration (0.075 mg L^{-1}) did not produce
312 a sufficient amount of hydroxyl radicals to improve AR *E.coli* inactivation compared to
313 solar radiation as standalone process. PAA was almost totally consumed during treatment
314 process (Figure SI2a) and a fluctuation in residual H_2O_2 concentration (1 mg PAA L^{-1}
315 solution) was observed (Figure SI2b).

316 The effect of sunlight/PAA process was also investigated in WW (Figure 2b). WW was not
317 inoculated with the selected AR *E. coli* strain, therefore the inactivation curves refer to the
318 indigenous *E. coli* population resistant to SMX (initial bacterial density $70\text{-}7000 \text{ CFU mL}^{-1}$).
319 In particular, different initial PAA concentrations ($1, 2, 4$ and 10 mg L^{-1}) were
320 investigated and the best performance was observed for 10 mg PAA L^{-1} being the LOD
321 achieved after 2 minutes irradiation ($Q_{\text{UV}} = 0.28 \text{ kJ L}^{-1}$) (Figure 2b). The LOD was
322 achieved for all the investigated conditions, being the sunlight process the slower ($Q_{\text{UV}} =$
323 38.03 kJ L^{-1} after 210 min). In agreement with the results observed in GW experiments,
324 PAA was almost totally consumed during treatment process in WW, for PAA initial
325 concentrations in the range $1\text{-}10 \text{ mg L}^{-1}$, and only when a higher dose (20 mg L^{-1}) was
326 investigated (to evaluate possible effect on CECs degradation) a residual was detected
327 (Figure SI3a). Fluctuation in residual H_2O_2 concentration (1 mg PAA L^{-1} solution) was
328 also observed in WW experiments (Figure SI3b).

329

330 3.2 Degradation of CECs by sunlight/PAA in CPC

331 Typically, when AOPs are investigated in the removal of pollutants from water, an effect
332 of water matrix composition can be observed, with a decreased process efficiency as the
333 complexity of the aqueous matrix increases (e.g., from deionized water solutions to GW
334 and WW). The decreased efficiency can be typically explained by the occurrence of readily

335 oxidized molecules (also known as oxidant demand of the target water matrix) in more
336 complex water matrices compared to less complex ones. Actually, this behaviour was not
337 evident in the removal of CBZ and DCF by sunlight/PAA, while it was evident for SMX,
338 as explained in the subsequent paragraphs.

339

340 3.2.1 Control tests

341 Control experiments to evaluate the effect of PAA and sunlight as standalone processes, on
342 the target CECs were also carried out. In particular, the effect of PAA dose in darkness was
343 investigated at 2 mg L⁻¹ initial concentrations (Figure 3).

344

345 **Figure 3**

346

347 Unlike of CBZ, DCF was effectively oxidized by PAA after 60 minutes (80% removal),
348 while SMX was removed at a lower rate (52% after 300 min) compared to DCF.
349 Photodegradation rate by sunlight as standalone process changed depending on the target
350 CEC: from no degradation for CBZ, to moderate degradation for SMX (43% after 300 min
351 irradiation and 53.7 kJ L⁻¹), to high degradation for DCF (90% after 180 min and 30.2 kJ L⁻¹).
352 1).

353

354 3.2.2 Effect of PAA initial concentration

355 The effect of sunlight/PAA process on CECs was investigated for both water matrices
356 (GW and WW). CBZ was refractory to sunlight/PAA process too. Only when initial PAA

357 concentration was increased to 10 mg L⁻¹ a significant degradation (40%) was observed
358 after 300 min treatment ($Q_{UV} = 55.53 \text{ kJ L}^{-1}$) in GW (Figure 4a).

359

360 **Figure 4**

361

362 Even for DCF, sunlight/PAA process enhanced degradation compared to PAA as
363 standalone process in GW matrix. The best performance was observed with 2 mg PAA L⁻¹
364 that allowed to reach the quantification limit (QL) at $Q_{UV} = 10.23 \text{ kJ L}^{-1}$ (Figure 4b).
365 Interestingly, as PAA concentration was further increased from 4 to 10 mg L⁻¹, DCF
366 degradation rate decreased. Similar behaviour was observed for SMX (Figure 4c). SMX
367 degradation increased as PAA dose was increased from the lower dose (0.075 mg L⁻¹) to 4
368 mg L⁻¹ (the QL was reached after 60 min and $Q_{UV} = 9.49 \text{ kJ L}^{-1}$) then started to decrease,
369 although to a lower rate compared to DCF.

370 Due to the higher oxidant demand of WW, PAA doses lower than 1.0 mg L⁻¹ were not
371 investigated and 20 mg PAA L⁻¹ was added (Figure 5). The behaviour of sunlight/PAA
372 process in WW matrix was quite different compared to GW. As matter of fact, a moderate
373 efficiency in CBZ degradation was also observed at lower PAA doses; for example 2 mg
374 PAA L⁻¹ resulted in 23% CBZ degradation after 300 min ($Q_{UV} = 58.39 \text{ kJ L}^{-1}$) and process
375 efficiency increased as initial PAA concentration was increased to 4 and 10 mg L⁻¹, being
376 the best removal (56%) observed with 10 mg PAA L⁻¹ after 300 minutes ($Q_{UV} = 58.39 \text{ kJ L}^{-1}$)
377 (Figure 5a). But as PAA was further increased (20 mg L⁻¹), process efficiency drastically
378 decreased, thus showing a similar behaviour to DCF and SMX in GW experiments.

379

Figure 5

380

381

382 DCF degradation was drastically affected by aqueous matrix. The best performance in
383 WW was observed with 20 mg PAA L⁻¹ that reached the QL after 120 min ($Q_{UV} = 11.46$ kJ
384 L⁻¹) (Figure 5b). Moreover, aqueous matrix significantly affected process efficiency at
385 lower PAA concentrations; for example, only 32% degradation was achieved with 2 mgL⁻¹
386 of PAA in WW, compared to 99% observed in GW after 60 min treatment ($Q_{UV} = 10.23$ kJ
387 L⁻¹). Similarly to the results observed for GW, SMX degradation by sunlight/PAA
388 increased as PAA concentration was increased (Figure 5c). The QL was achieved for 10
389 mg L⁻¹ of PAA after 240 min ($Q_{UV} = 46.03$ kJ L⁻¹). But a further increase of initial PAA
390 dose to 20 mg L⁻¹ resulted in a decreased degradation efficiency, thus confirming the trend
391 already observed in GW experiments.

392

393 3.3 Inactivation of AR *E. coli* by UV-C/PAA process

394 Really fast inactivation rates were observed in GW for UV-C/PAA process compared to
395 sunlight/PAA (Figure 6). The LOD was achieved for all PAA investigated doses and even
396 for UV-C as standalone process. In particular, total inactivation was achieved in a few
397 minutes for 0.15 mg PAA L⁻¹ (2 min) and 0.2 mg PAA L⁻¹ (4 min).

398

Figure 6

399

400

401 With 0.075 mg L⁻¹ and 0.1 mgL⁻¹ of PAA LOD was reached with a cumulative energy dose
402 of 67.39 kJL⁻¹ (180 min irradiation) and 33.93 kJL⁻¹ (90 min irradiation), respectively.

403 Due to both the higher oxidant demand of WW compared to GW and the total
404 consumption of PAA and H₂O₂ in GW experiments, higher concentrations of PAA (4, 10
405 and 20 mgL⁻¹) were investigated in UV-C/PAA experiments in WW. As matter of fact, the
406 initial AR *E. coli* concentrations were really low (63, 35 and 2 CFU mL⁻¹ for 4, 10 and 20
407 mg PAA L⁻¹ experiments, respectively) and the LOD was achieved in 2 and 15 min for 10
408 and 4 mg PAA L⁻¹ experiments, respectively (data not shown).

409

410 3.4 Degradation of CECs by UV-C/PAA process

411 The effect of PAA dose on the degradation of the target CECs by UV-C/PAA process was
412 investigated in both water matrices (GW and WW). Among the three CECs, CBZ
413 confirmed its lower degradation. No significant differences were observed between UV-C
414 as standalone process (20% degradation after 180 minutes treatment and with an energy
415 requirement of 71.78 kJ L⁻¹) and UV-C/PAA process up to 1.0 mg PAA L⁻¹ in GW (Figure
416 7a). The best performance (77% removal) was obtained with 10 mg PAA L⁻¹ after 150
417 minutes and with a Q_{UVC} of 71.78 kJ L⁻¹. Residual concentrations of PAA and H₂O₂ are
418 available in supplementary information (Figures SI4a and SI4b).

419

420

Figure 7

421

422 For the lower concentration investigated in WW (4 mg PAA L⁻¹) the aqueous matrix effect
423 between GW and WW was not observed (Figure 7b). But when PAA concentration was
424 increased (10 and 20 mg PAA L⁻¹) the difference between the two matrices increased (e.g.,
425 55% CBZ removal in WW compared to 67% in GW for 10 mg PAA L⁻¹ at approximately

426 21 kJ L⁻¹). Interestingly, at the higher investigated dose (20 mg PAA L⁻¹), the residual
427 concentration of PAA is lower than that one for 10 mg PAA L⁻¹ solution, but the
428 corresponding H₂O₂ residual concentration is significantly higher (Figure SI5).

429 The best degradation of DCF in GW was observed for the lower investigated PAA doses
430 (0.075 mg PAA L⁻¹) compared to sunlight/PAA tests (Figure 8a). Even in UV-C/PAA
431 tests, process efficiency started to decrease above a certain concentration (1.0 mg L⁻¹) of
432 PAA, being the worst removal observed for the higher investigated PAA dose (10 mg L⁻¹).
433 The water matrix affected the photo-oxidation process, because no drastic efficiency
434 decrease was observed as PAA was increased (Figure 8b).

435

436 **Figure 8**

437

438 SMX was effectively degraded even with UV-C as stand-alone process in GW (LOD was
439 achieved with Q_{UV}= 5.78 kJ L⁻¹) and WW (LOD observed for Q_{UV}< 4.58 kJ L⁻¹),
440 accordingly PAA addition did not significantly improve process efficiency (for 4 mg PAA
441 L⁻¹ LOD observed for Q_{UV}< 2.4 kJ L⁻¹) (data not shown).

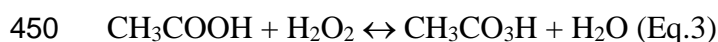
442

443 **4. Discussion**

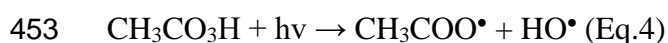
444 *4.1 Photolysis of PAA and effect on PAA and H₂O₂ concentrations*

445 UV/PAA process has been poorly investigated so far, and the previous works have been
446 basically focused on bacteria inactivation (Koivunen and Heinonen-Tanski, 2005; de
447 Souza et al. 2015); only recently its effect on pharmaceuticals has been addressed (Cai et

448 al., 2017). PAA (CH₃CO₃H) aqueous solutions commercially available are an equilibrium
449 mixture of acetic acid (CH₃COOH), H₂O₂, PAA and water, according to the reaction:



451 Photolysis of the O–O bond in the PAA molecule results in the formation of HO•,
452 according to Equation 4 (Caretti and Lubello, 2003):



454 The CH₃COO• molecule will rapidly split in CH₃• and CO₂ (Martin and Gehr, 2007).
455 Moreover, HO• molecules can also recombine to form H₂O₂:



457 The production of PAA (Eq.3) and the recombination of HO• molecules (Eq.5) can explain
458 the fluctuations observed in the measurement of residual H₂O₂ (Figure SI2b and SI3b).

459 According to the results achieved in this work, the mechanisms of bacterial inactivation
460 and CECs degradation in PAA photolysis are possible related to a combination of effects
461 including photolysis, oxidation (by PAA solution) and formation of HO•.

462

463 *4.2 Control tests: effect of radiation and PAA solution on bacteria inactivation and*
464 *CECs degradation*

465 The effect of sunlight and UV-C radiation on bacteria inactivation is evident from figures 2
466 and 6, respectively. To date, all waterborne pathogenic bacteria, among which *E. coli*, have
467 been found to be amenable to sunlight disinfection (McGuigan et al., 2012). Although the
468 UV-A wavelengths are not sufficiently energetic to alter DNA directly, UV-A play an
469 important role in promoting the formation of intracellular reactive oxygen species (e.g.,

470 HO•) which can, in turn, damage DNA. UV-C radiation (200–280 nm germicidal
471 wavelength range, peaks at about 260–265 nm) has a direct effect on bacterial cells
472 because it is absorbed by nucleic acids; cell inactivation can take place through UV-
473 induced damages such as the formation of pyrimidine dimers in their DNA (Kowalski,
474 2009).

475 While CBZ was not (under sunlight in GW) or poorly (under sunlight in WW and under
476 UV-C radiation) photodegraded, confirming its refractory behaviour to direct photolysis
477 (Calisto et al., 2011), SMX and DCF were significantly degraded under irradiation. DCF
478 has an absorbance peak at 275-280 nm and its degradation under sunlight is the result of
479 two mechanisms: direct photolysis and self-sensitization, being direct photolysis the main
480 one (Zhang et al., 2011). SMX absorbance spectrum is characterized by a peak at 257-268
481 nm (depending on solution pH) and tails well over 320 nm, which overlap with the solar
482 spectrum (in the 300–325 nm) and make its photodegradation possible (Trovò et al., 2009;
483 Rizzo et al., 2012).

484 The redox potential of PAA is comparable or even higher than many disinfectants (Zhang
485 et al., 2018), which make it effective in the inactivation of different bacterial populations.
486 Accordingly, our results in terms of AR *E. coli* inactivation under dark conditions (Figure
487 1) are consistent with previous results on *E. coli* inactivation (Antonelli et al., 2009).
488 Moreover, the high redox potential can also explain the high oxidation rate of DCF and
489 SMX (Figure 3).

490

491 4.3 Effect of photo-driven AOPs with PAA on bacteria inactivation and CECs
492 degradation

493 According to Eq.4, sunlight/PAA and UV-C/PAA processes result in the formation of HO[•]
494 species. The role of HO[•] in the inactivation of *E. coli* was previously explained through the
495 support of disinfection photocatalytic experiments (Cho et al. 2004). In subsequent studies,
496 a killing mechanism where HO[•] progressively damages the cell surface structures leading
497 to the release of intracellular material/molecules was proposed (Foster et al., 2011).
498 Inactivation of microorganisms by photo-driven advanced oxidation with PAA has been
499 mainly investigated by using artificial light while, to our knowledge, only one study was
500 specifically focused on sunlight/PAA process (Formisano et al., 2016) and no previous
501 study evaluated the effect on the inactivation of AR *E. coli*. Formisano et al. (2016)
502 observed a total inactivation of *E. coli* by sunlight/PAA (8 mg PAA L⁻¹) process after 120
503 minutes treatment ($Q_{UV} = 7.42 \text{ kJ L}^{-1}$) in WW, with an initial *E. coli* density as high as 10⁵
504 CFU mL⁻¹. These results are different compared to the inactivation rates observed in our
505 work with (i) GW (where the best performance was achieved after 30 minutes with 0.2 mg
506 PAA L⁻¹ and $Q_{UV} = 4.40 \text{ kJ L}^{-1}$) (Figure 2a) and (ii) WW (being the best performance and
507 LOD achieved for 10 mg PAA L⁻¹ after 2 minutes irradiation and $Q_{UV} = 0.28 \text{ kJ L}^{-1}$)
508 (Figure 2b). The different water matrix and *E. coli* population (total Vs AR *E. coli*) in case
509 (i) and the lower initial bacterial density and the different *E. coli* population in case (ii)
510 may explain the different results observed. Inactivation rates in GW drastically increased
511 when UV-C radiation was used (LOD achieved within 2 minutes for 0.15 mg PAA L⁻¹ and
512 4 minutes with 0.2 mg PAA L⁻¹) instead of sunlight. In WW experiments, the initial AR *E.*
513 *coli* concentration was really low and the LOD was achieved for all the PAA doses
514 investigated. In a previous work on wastewater disinfection by UV-C/PAA process, *E. coli*

515 inactivation of 3.6 and 4.5 log units were observed for 2 and 4 mg L⁻¹ of PAA, respectively
516 and an UV-C dose as high as UV dose of 120 mW·s cm⁻² (Lubello et al., 2002).

517 As the effect of photo-driven AOPs with PAA on CECs degradation is of concern, it is
518 worthy to mention that scientific literature is lacking. However, our results are consistent
519 with removal trends of CBZ, DCF and SMX observed in solar driven AOPs (namely
520 photo-Fenton) (Klamerth et al., 2010; Ferro et al., 2015). In our work CBZ was found to be
521 refractory to sunlight/PAA process, according to the results available in the literature for
522 other solar driven AOPs. For example, only 36.9% degradation (same initial CBZ
523 concentration) was observed after 300 minute sunlight/H₂O₂ (20 mg L⁻¹) treatment
524 (Q_{UV}=19.3 kJ L⁻¹) in WW (Ferro et al., 2015). When UV-C radiation was used as light
525 source in UV-C/PAA process, an higher efficiency was observed (77% removal,
526 Q_{UV}=71,78 kJ L⁻¹), but the removal efficiency (22%) observed for 1 mg PAA L⁻¹ is not
527 consistent with previous work (90% removal within 30 min, CBZ initial concentration 1
528 μM) (Cai et al., 2017). Unlike of CBZ, high removal efficiencies were observed for DCF
529 and SMX in sunlight/PAA experiments, with significantly improved removals in UV-
530 C/PAA tests. However, DCF degradation was drastically affected by aqueous matrix, with
531 a remarkable decreased efficiency in WW (Figure 5b) compared to GW (Figure 4b), in
532 particular at lower PAA concentrations. These results can be explained by the higher
533 oxidant demand of WW compared to GW (confirmed by the PAA and H₂O₂ consumption
534 for tests with low concentrations of PAA, Figures SI2 and SI3). Water matrix effect was
535 also observed for SMX degradation by sunlight/PAA and its removal is consistent with
536 previous works with other solar driven AOPs. As matter of fact, Karaolia et al. (2017)
537 observed complete removal of SMX (initial spiked concentration 100 μg L⁻¹) by solar
538 photo-Fenton in urban wastewater in a CPC reactor (50 mg H₂O₂ L⁻¹ and 5 mg Fe²⁺ L⁻¹,
539 119 min of normalized irradiation time (t_{30w,n})).

540 Interestingly, similar removal trends were observed for DCF and SMX in sunlight/PAA
541 experiments, in both water matrices investigated. The removal efficiency first increased as
542 initial PAA was increased, then started to decrease. Possibly, the reduced efficiency may
543 be due to the scavenging effect of PAA on HO^{*} because of the higher PAA concentration
544 (Cai et al., 2017).

545

546 **5. Conclusions**

547 Photo-driven AOP with PAA was investigated as possible tertiary treatment method of
548 urban wastewater by evaluating its efficiency in the inactivation of AR *E. coli* and
549 degradation of a mixture of three CECs under different light sources. Low PAA doses were
550 found to be effective in the inactivation of AR *E. coli*, being UV-C driven process faster
551 (LOD achieved at $Q_{UV}=0.3 \text{ kJ L}^{-1}$ with $0.2 \text{ mg PAA L}^{-1}$) than solar driven one (LOD
552 achieved at $Q_{UV}=4.4 \text{ kJ L}^{-1}$ with $0.2 \text{ mg PAA L}^{-1}$). Higher Q_{UV} and PAA initial doses are
553 necessary to effectively remove the target CECs (being CBZ the more refractory) and,
554 although process efficiency in sunlight tests is lower compared to UV-C radiation, sunlight
555 driven process is still an interesting option for small wastewater treatment plants taking
556 into account that CECs occur at low concentrations (typically in the range ng L^{-1} - fractions
557 of $\mu\text{g L}^{-1}$). However, initial PAA dose should be optimized to find the best compromise
558 between target bacteria inactivation and CECs removal as well as to prevent scavenging
559 effect of PAA on HO^{*} because of high PAA concentration.

560

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716 Figure captions

717 Figure 1. Inactivation of AR *E. coli*: control tests in dark with PAA and sunlight as
718 standalone processes. Q_{UV} values are given between brackets.

719 Figure 2. Inactivation of AR *E. coli* by sunlight/PAA in CPC: effect of initial PAA
720 concentration in GW (a) and WW (b).

721 Figure 3. Degradation of CECs: control tests with PAA and sunlight as standalone
722 processes.

723 Figure 4. Effect of sunlight/PAA process on CECs in GW: CBZ (a), DCF (b) and SMX (c).

724 Figure 5. Effect of sunlight/PAA process on CECs in WW: CBZ (a), DCF (b) and SMX
725 (c).

726 Figure 6. Inactivation of AR *E. coli* by UV-C/PAA process in GW.

727 Figure 7. Effect of UV-C/PAA process on CBZ in GW (a) and WW (b).

728 Figure 8. Effect of UV-C/PAA process on DCF in GW (a) and WW (b).

729

730

Table 1: characteristics of GW and WW samples.

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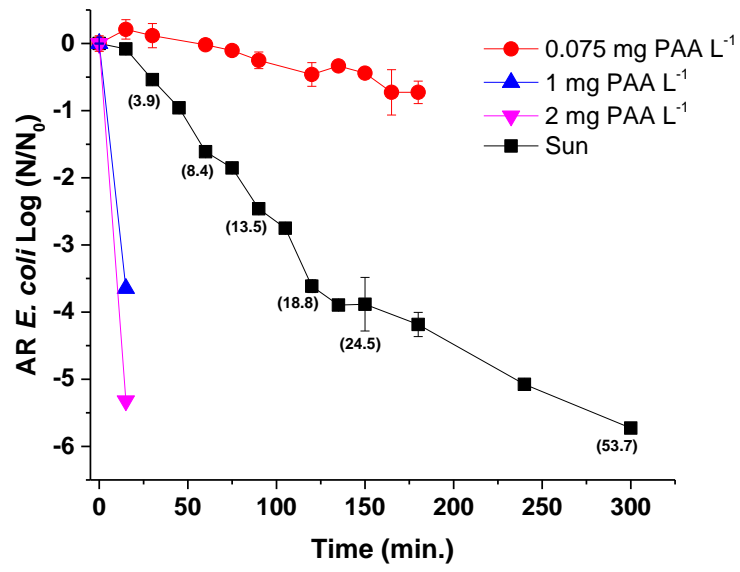
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	GW	WW
Parameters	Av ± SD	Av ± SD
Cl ⁻ (mg L ⁻¹)	337.1 ± 76.7	341.3 ± 16.3
NO ₃ ⁻ (mg L ⁻¹)	12.1 ± 1.2	23.4 ± 5.3
SO ₄ ²⁻ (mg L ⁻¹)	200.9 ± 39.6	84.3 ± 7.7
NH ₄ ⁺ (mg L ⁻¹)	-	23.6 ± 24.2
Na ⁺ (mg L ⁻¹)	517.8 ± 94.1	197.5 ± 2.8
Mg ²⁺ (mg L ⁻¹)	67.2 ± 15.4	31.4 ± 6.9
K ⁺ (mg L ⁻¹)	8.87 ± 1.7	27.1 ± 0.8
Ca ²⁺ (mg L ⁻¹)	71.6 ± 16.8	71.4 ± 11.8
pH	8.2 ± 0.5	7.5 ± 0.1
Conductivity (µS cm ⁻¹)	2396.0 ± 0.10	1921.0 ± 21.4
Turbidity (NTU)	0.6 ± 0.1	6.3 ± 4.4
TOC (mg L ⁻¹)	1.80 ± 1.6	24 ± 1.0
IC (mg L ⁻¹)	170.2 ± 9.3	38 ± 8.1
AR <i>E. Coli</i> (CFU mL ⁻¹)	-	1337 ± 5663



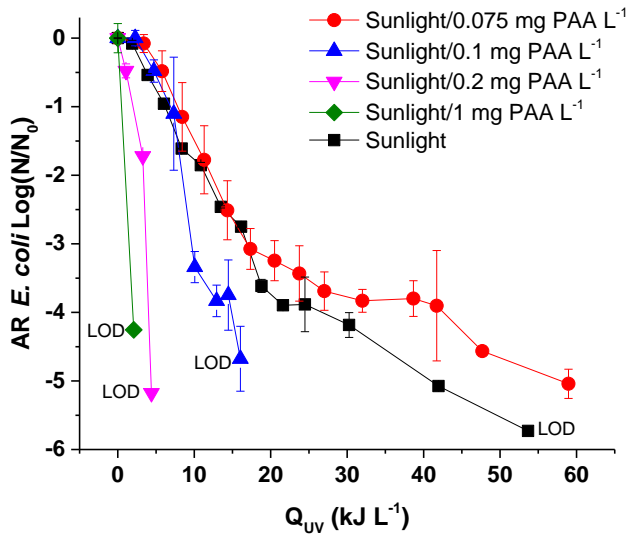
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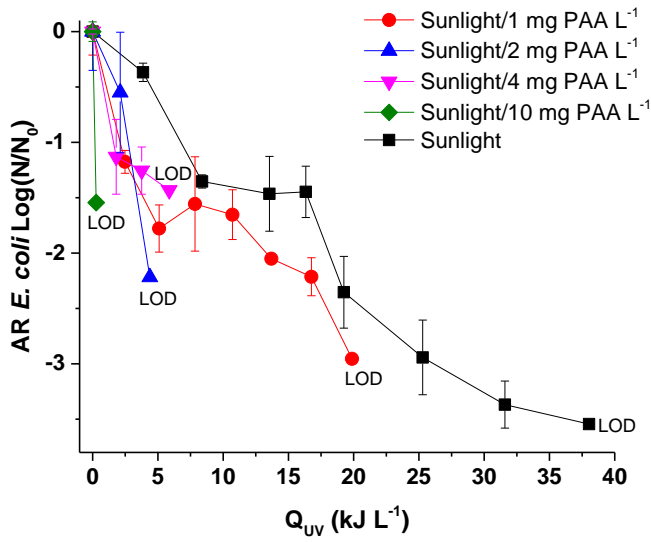
746

Figure 1



747

a)



748

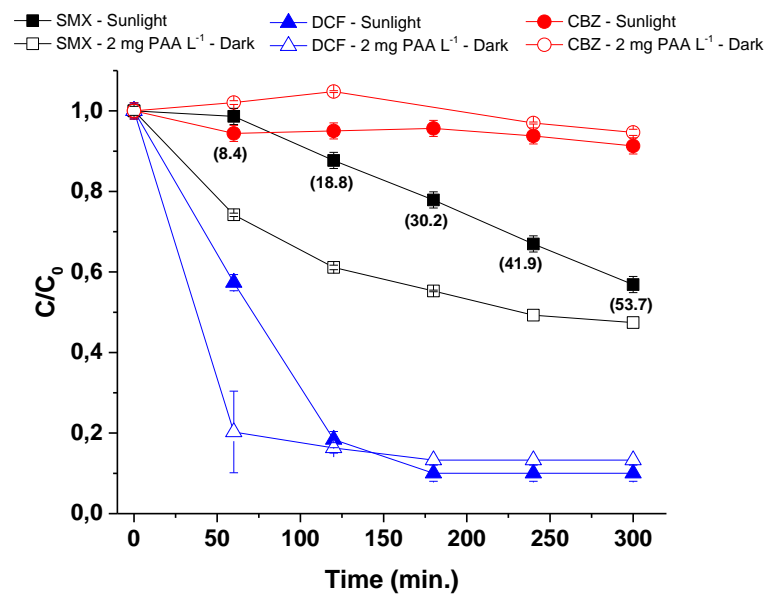
b)

749

750

Figure 2

751

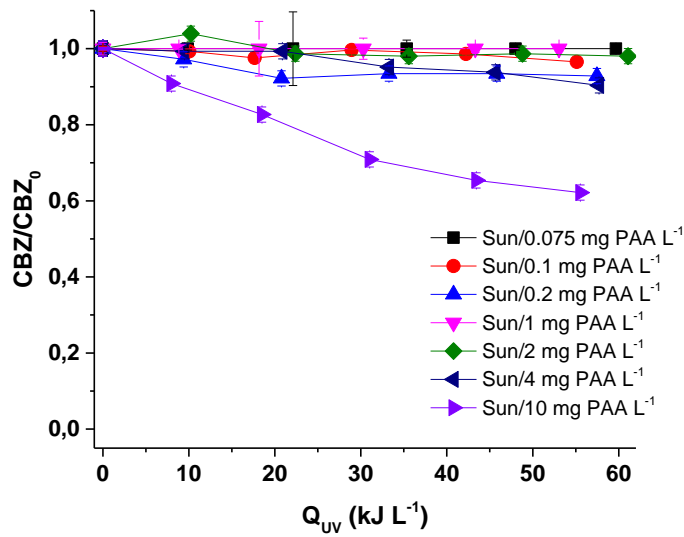


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753

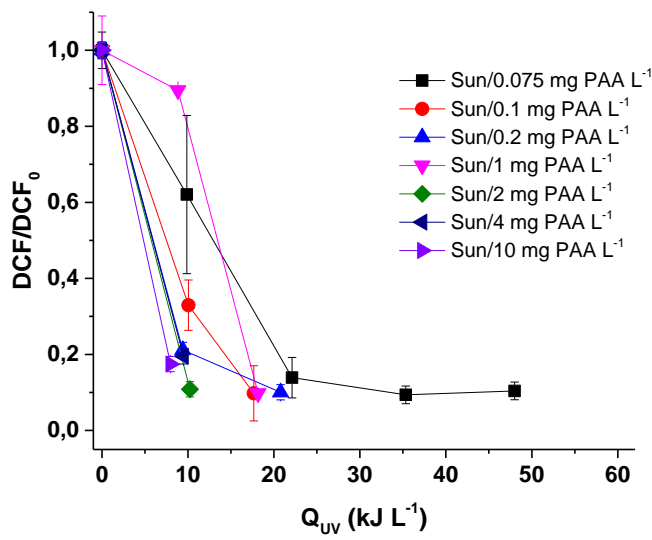
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Figure 3



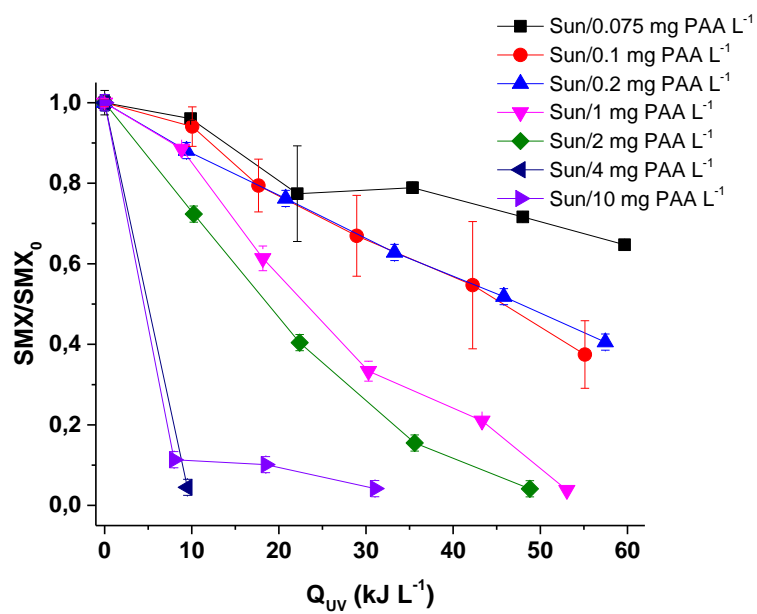
755

a)



756

b)

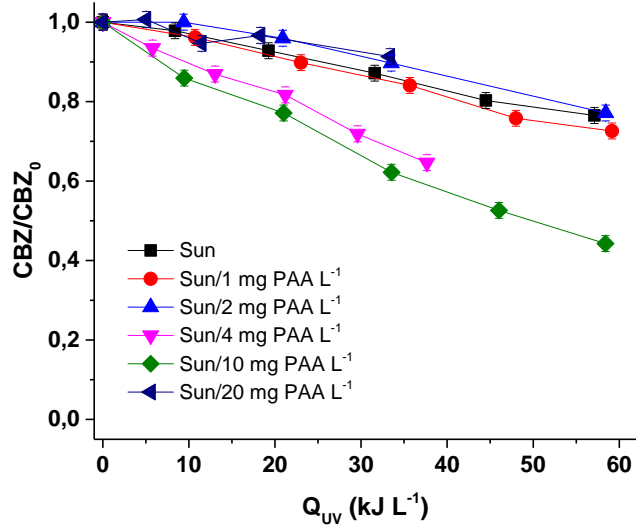


757

c)

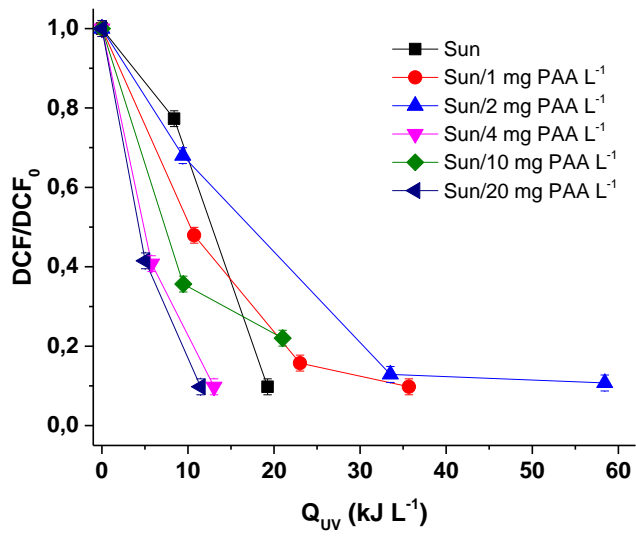
758

Figure 4



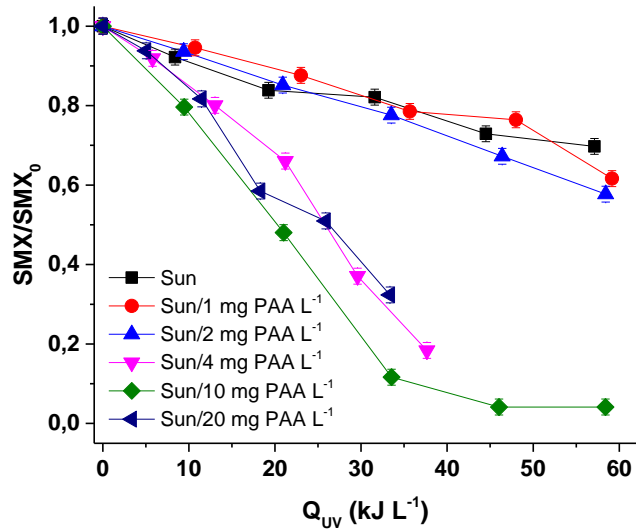
759

a)



760

b)



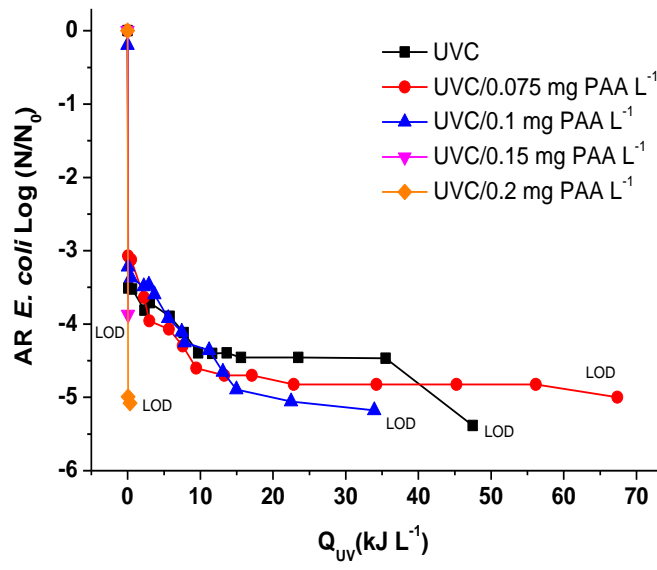
761

c)

762

Figure 5

763



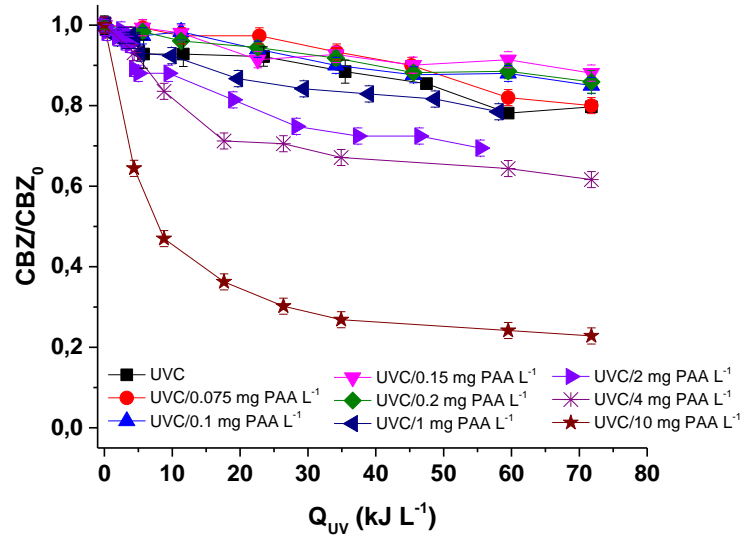
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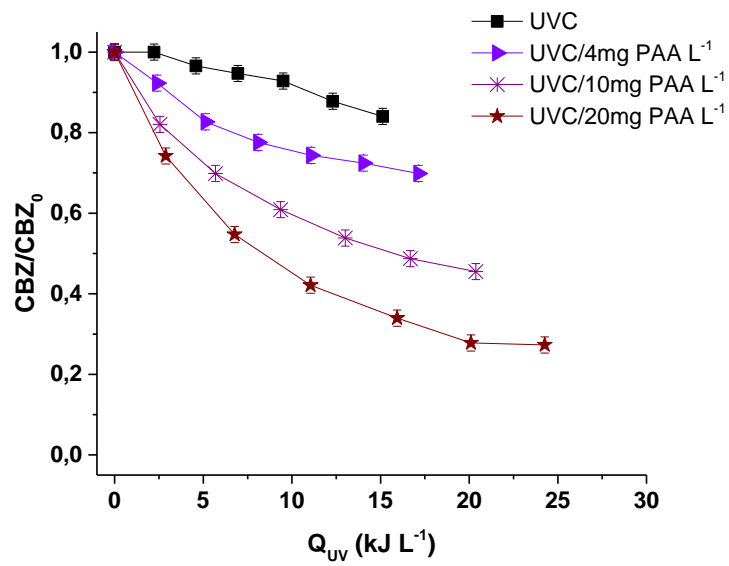
767

Figure 6



768

a)



769

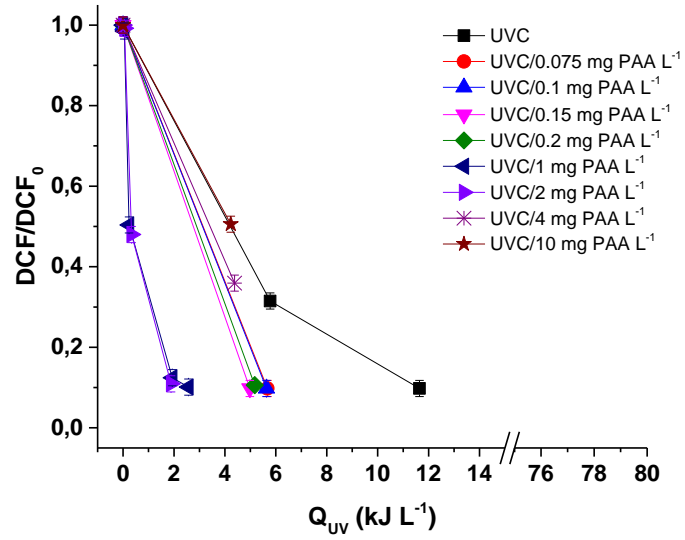
b)

770

771

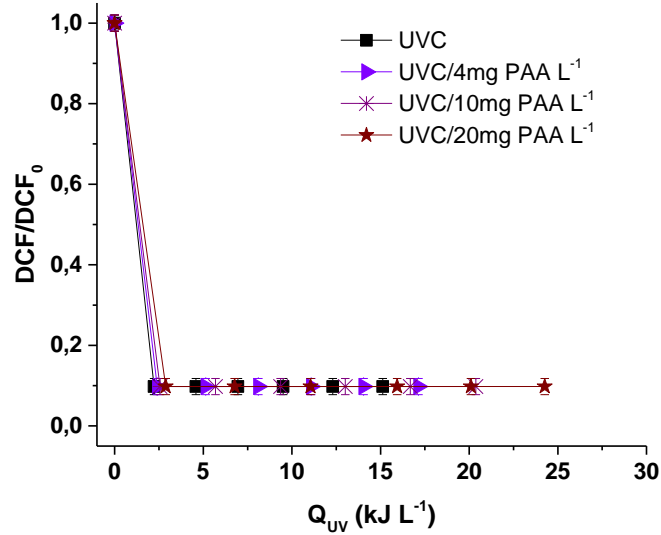
Figure 7

772



773

a)



774

b)

775

776

Figure 8

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