

1     **ANN model for predicting the direct normal irradiance and the global**  
2     **radiation for a solar application to a residential building**

3  
4                     **C. Renno\*, F. Petito, A. Gatto**

5                     Department of Industrial Engineering, University of Salerno,  
6                     Via Giovanni Paolo II, 132, 84084 Fisciano (Salerno), Italy.

7                     \*Corresponding author: [crenno@unisa.it](mailto:crenno@unisa.it), tel. +39 089964327, fax +39 089964037.  
8

9     **Abstract**

10     An accurate solar potential estimation of a specific location is basic for the solar systems  
11     evaluation. Generally, the global solar radiation is determined without considering its  
12     different contributes, but systems as those concentrating solar require an accurate direct  
13     normal irradiance (DNI) evaluation. Solar radiation variability and measurement stations  
14     non-availability for each location require accurate prediction models. In this paper two  
15     Artificial Neural Network (ANN) models are developed to predict daily global radiation  
16     (GR) and hourly direct normal irradiance (DNI). Two heterogeneous set of parameters as  
17     climatic, astronomic and radiometric variables are introduced and the data are obtained by  
18     databases and experimental measurements. For each ANN model a multi layer perceptron  
19     (MLP) is trained and validated investigating nine topological network configurations. The  
20     best ANN configurations for predicting GR and DNI are tested on different new dataset.  
21     MAPE, RMSE and  $R^2$  for the GR model are respectively equal to 4.57%, 160.3 Wh/m<sup>2</sup> and  
22     0.9918, while for the DNI they are equal to 5.57%, 17.7 W/m<sup>2</sup> and 0.994. Hence, the  
23     proposed models show a good correlation both between measured and predicted data and  
24     with the literature. The main results obtained are the DNI and the GR models predicting  
25     which have allowed the evaluation of the electric energy production by means of two  
26     different photovoltaic systems used for a residential building. Hence, the developed ANN  
27     models represent a good tool to support the assessment of the green energy production  
28     evaluation.

29 *Key-words:* solar energy, artificial neural network, direct normal irradiance and global  
30 radiation, photovoltaic systems.

## 31 **1. Introduction**

32 The solar radiation prediction is a basic aspect in the modeling and performance evaluation  
33 of the solar systems (Wild et al., 2015), when the energy demands of different kind of users  
34 have to be satisfied (Meade and Islam, 2015). The solar energy can be used in many  
35 applications as: electrical energy demand balancing in the national grids, environmental  
36 pollution reduction, design and size of integrated energy systems, thermal load analysis in  
37 the buildings, atmospheric energy balance studies (Eicker et al., 2015). Sunlight is  
38 principally composed by the direct and diffuse components. The solar energy analysis takes  
39 usually into account the global solar radiation for a specific location without considering its  
40 different contributes. Although the most applications adopt the global radiation, the  
41 concentrating photovoltaic systems (CPV) require, generally, an accurate evaluation of the  
42 direct normal irradiance (DNI) also for a domestic application (Renno and Petito, 2015). The  
43 solar resource data availability can play a strategic role in the solar systems assessment (Qazi  
44 et al., 2015). Generally, different measurement equipments are adopted in the solar energy  
45 evaluation such as pyranometer, solarimeter and pyroheliometer. The solar radiation  
46 variability and the measurement stations non-availability for each location require accurate  
47 prediction models which include different variables. This is fundamental when the direct  
48 normal irradiance has to be predicted. In particular, the use of an ANN which predicts the  
49 DNI could be also a key factor in order to assess the residential CPV/T systems potential  
50 (Sharaf and Orhan, 2015). Hence, for the assessment, control and optimization of the solar  
51 systems an integrated forecast is necessary, able to consider the different solar radiation  
52 components and a temporal level of the prevision from one hour up to one day or one month  
53 in advance. So, an accurate evaluation of the solar energy potential for different locations is

54 a basic factor in order to configure a solar system. In particular, it allows to support the  
55 evaluation of a cleaner production for different locations, taking into account different  
56 components of the solar source. The solar radiation prediction is complex because affected  
57 by several variables such as meteorological, climatic and radiometric. Several methods have  
58 been developed in order to deal with prediction shortcomings by employing data from  
59 different measurements sites (Lazzaroni et al., 2015). Other models can be employed in order  
60 to ensure an effective forecasting of the solar energy amount for different locations.

61 There are empirical (Loutzenhier et al., 2007), numerical and statistical models (Noorian et  
62 al., 2008) or physical models. In literature there are different examples of physical models  
63 which principally employ several linear equations for the solar radiation prediction. These  
64 works exploit decomposition models (Yao et al., 2015), atmospheric parameters (Polo et al.,  
65 2016) or meteorological analysis (Kambezidis et al.,2016). Anyway they do not always  
66 guarantee an accurate prediction when the solar energy varies hour by hour or day by day.

67 A very interesting solution adopts an artificial intelligence which represents a good tool in  
68 order to solve non-linear problems. The Artificial Neural Network (ANN) models allow to  
69 investigate tasks which depend on many physical phenomena and are also employed for a  
70 large variety of applications such as: classification, data mining, pattern recognition, image  
71 compression, process modeling, etc (Linares-Rodriguez et al., 2013). ANNs adopt long-term  
72 data series, working as a “black box” and obtaining a higher level of reliability in order to  
73 carry out a non-linear mapping. ANN techniques are alternative methods to traditional  
74 models in order to predict the solar energy potential for different locations (Sahin et al.,  
75 2013; Hasni et al., 2012). In particular, the ANN models can estimate the solar radiation and  
76 its components. Hence, the global solar radiation or the direct one can be exploited as  
77 function of the solar system characteristics. The ANN use in renewable energy systems has  
78 initially been reviewed by Kalogirou (Kalogirou, 2001), and then, it has been applied for

79 several energy system analyzes. As for the thermal analysis and heat exchanger (Mohanraj  
80 et al., 2015), for energy analysis of buildings (Kumar et al., 2013) and for solar radiation  
81 prediction (Yadav and Chandel, 2014). Many studies concerning the solar energy prediction  
82 by means of ANNs have been developed involving several parameters as function of the  
83 target application and the data availability; the main literature results are presented in Section  
84 2, focusing on the ANN configuration in terms of selected input and topologic  
85 characterization. In this paper two ANN models have been investigated in order to forecast  
86 the daily global solar radiation (GR) and the hourly DNI for University of Salerno (Fisciano,  
87 40°46'23''N, 14°47'52''E). Different set of heterogeneous parameters such as climatic,  
88 astronomical and radiometric variables have been introduced for the ANNs. The data have  
89 been obtained adopting databases and experimental measurements, then they have been  
90 trained and tested by a multi layer perceptron (MLP) analyzing several kinds of network  
91 topological configurations. In particular, each ANN model has been realized investigating  
92 nine different network configurations. The best topological configuration of the ANN for  
93 predicting daily GR and DNI has been validated with different sets of new data, including  
94 different locations; the results have been compared with different ANN models present in  
95 the literature. The ANN model results have been employed in order to compare two different  
96 photovoltaic systems adopted for a residential building. Hence, the models have been applied  
97 to a residential case study, analyzing their impact in terms of a cleaner energy production of  
98 different renewable systems. The paper is organized as follow; in Section 2 different  
99 literature examples for the prediction of solar radiation by means of ANN are described.  
100 Section 3 shows the methodology used in order to develop the ANNs, while the ANN  
101 configurations for daily GR and hourly DNI are presented in Section 4. In Section 5 the  
102 results for the investigated models are reported showing the selected configurations for each  
103 ANN. Moreover, exploiting the ANN predictions, a comparison between two photovoltaic

104 systems for a residential application is presented. Finally, the conclusions are given in  
105 Section 6.

## 106 **2. ANN literature review for modeling the solar energy potential**

107 ANNs represent a mathematical tool used for a wide tasks variety. The ANN modeling  
108 allows to carry out the required output starting from corresponding input vectors without  
109 considering the assumption of any determinate relationship between the input and output  
110 (Celik and Muneer, 2012). ANNs operate principally adopting the interconnection of a  
111 neurons number which represent localized processing centers between input and output  
112 layers. Hence, they work as “black box” employing distinct features such as: input, hidden  
113 and output layers of neurons, training functions for the learning process from a set of past  
114 data, transfer functions between layers that allow the information flow. There are many types  
115 of connection for the data transfer, the most used is the multi layer perceptron (MLP) (Chen  
116 et al., 2013). It is a feed-forward ANN where data flow from input layer to the output layer  
117 without any feedback.

118 In literature many ANNs have been developed for the GR predicting, while the DNI  
119 estimation has been less investigated (Teke et al., 2015). A significant number of studies  
120 about the GR modeling and forecasting by means of ANNs has been undertaken, offering a  
121 wide range of possibilities which differ for number and type of input variables considered  
122 (Yadav et al., 2014), time level of the analysis and network configuration. In Table 1 a  
123 literature analysis on ANN for predicting solar radiation is reported together with the main  
124 characteristics of the neural networks developed; in particular, for each analyzed paper, a list  
125 of advantages and disadvantages has been reported. Many models for the solar radiation  
126 estimation are developed taking into account a monthly input (Qazi et al., 2015). Azadeh et  
127 al. have developed a multilayer feed-forward network in order to estimate monthly the GR  
128 for six cities in Iran adopting climatic and meteorological data collected for six years

129 (Azadeh et al., 2009). Wang et al. estimate the hourly GR exploiting data of the National  
130 Renewable Energy Laboratory (NREL), collected in four years (Wang et al., 2011). The  
131 transfer functions are respectively, for the hidden and the output layer, hyperbolic tangent  
132 and sigmoid. Khatib et al. employ a MLP that estimates the clearness index in order to  
133 calculate the daily GR and the diffuse solar irradiation for different stations (Khatib et al.,  
134 2012). Data of twenty-eight stations have been collected: twenty-three stations for the  
135 network training and five for its testing. The global solar radiation estimation by means of  
136 ANN models requires the analysis of the input parameters. Yadav et al. have employed the  
137 Rapid Miner technique for input variable selection in order to predict the solar radiation  
138 using different ANN techniques, such as radial basis function (RBF) and generalized  
139 regression ANN (Yadav et al., 2015). Celik et al. evaluate the ANN potential in order to  
140 estimate the global solar radiation from different variation of input parameters in Eastern  
141 Mediterranean Region of Turkey (Celik et al., 2016). Behrang et al. have developed a MLP  
142 and a radial basis function based on six combinations of the inputs reported (Beharang et  
143 al., 2010). The data have been taken between 2002 and 2006 for Dezful city in Iran ( $32^{\circ}16'N$ ,  
144  $48^{\circ}25'E$ ). The measured data between 2002 and 2005 are used to train the neural networks,  
145 while the data from 2006 represent the test set. A RBF is also investigated by Zervas et al.  
146 trying to use the minimum number of inputs such as the weather conditions and the duration  
147 of daylight (Zervas et al., 2008). Gairaa et al. have investigated a combined approach to the  
148 solar radiation prediction coupling Box-Jenkins and ANN models (Gairaa et al., 2016).  
149 Benghanem and Mellit have investigated a MLP and a RBF for predicting the daily GR  
150 (Benghanem and Mellit, 2010). They have used four different input combinations. Data have  
151 been collected by NREL from 1998 to 2002 at Al-Madinah (Saudi Arabia). Yacef et al. have  
152 developed a classical ANN and different Bayesian Neural Networks (BNN) for estimating  
153 the daily GR (Yacef et al., 2012). Amrouche and Privert developed two models that exploit

154 the local forecasting data; hence, the two ANNs can predict the GR for locations where the  
155 measurements are not possible (Amrouche and Privert, 2014). Moreover, Bilgili and  
156 Ozgoren have modeled the daily GR in Adana, city of Turkey, by means of a multi-linear  
157 regression (MLR), a multi-nonlinear regression (MNLr) and a MLP artificial neural  
158 network (Bilgili and Ozgoren, 2011). Finally, the solar potential estimation of western  
159 Himalayan Indian state of Himachal Pradesh is conducted by Yadav and Chadel, employing  
160 the J48 algorithm for the selection of input parameters for ANN model. They have  
161 established that the most relevant input parameters are temperature, altitude and sunshine  
162 hours and developed five ANN models for the GR estimation (Yadav and Chandel, 2015).

163 The ANN can also be used for the DNI prediction in order to assess the solar systems that  
164 operate only with the solar radiation direct component (Renno and De Giacomo, 2014) as  
165 the concentrating photovoltaic systems (Renno, 2014). Yadav and Chandel have reviewed  
166 different ANN techniques for the solar radiation evaluation, but techniques able to estimate  
167 the DNI have been not considered (Yadav and Chadel, 2014). A MLP neural network has  
168 been investigated, by Mellit et al., to evaluate the hourly DNI and to compare it with an  
169 adaptive model (Mellit et al., 2013). A feed-forward ANN has also been applied by Kuashika  
170 et al. for the clearness index evaluation of the DNI, collecting data by eleven stations in India  
171 (Kaushika et al., 2014). Finally, clearness index has also been evaluated with ANN model  
172 by Kheradmand et al. by considering environmental and meteorological factors  
173 (Kheradmand et al., 2016). So, different ANN models for the global radiation prediction  
174 have been developed in literature. They use principally a specific set of input parameters and  
175 don't investigate the different topology solutions. On the other hand, ANN models have been  
176 not implemented specifically for the hourly DNI.

177 In this paper, on the contrary, two neural networks are presented; they allow the GR and  
178 DNI prediction by means of a set of heterogeneous parameters. In particular, astronomical

179 variables, generally not considered, combined to other types of variables, radiometric and  
180 climatic, have been adopted to estimate GR and DNI. Moreover, the DNI is not considered  
181 in many papers that are focused on GR and its different estimation tools (MLP, RBF,  
182 regression models, adaptive models, BNN etc.). In addition, in this paper the part of choice  
183 of the best network is deepened showing the process that allows to realize different  
184 alternatives of the network and the choice. Finally, respect to many papers present in  
185 literature the network results are used for a practical application.. In particular the estimated  
186 data for daily GR and hourly DNI are respectively employed for the energy comparison  
187 between the PV and CPV systems.

### 188 **3. ANN models development method**

189 The ANN modeling for mapping non-linear problems requires the implementation of  
190 different steps. Each phase is characterized by the choice of features which impact both on  
191 previous and subsequent steps (Khatiba et al., 2012). A statistical analysis has been  
192 conducted in order to select the right ANN topological configuration for predicting daily GR  
193 and hourly DNI. The ANN designing involves the definition of inputs, type of network,  
194 topology, training paradigm and transfer functions. In particular, the modeling process can  
195 be basically divided in three steps. The first considers the topology network design taking  
196 into account the input parameters, the ANN type, the number of hidden layers and neurons.  
197 This step also involves the choice of the training algorithm, the transfer functions and the  
198 training and validation samples. The second step constitutes the training phase where the  
199 samples are implemented in the ANN models in order to adjust weights and biases as  
200 function of a predetermined condition. The last step is the validation, the ANN models are  
201 tested with a new set of data and their accuracy can be evaluated by means of statistical  
202 parameters.



203 The developed methodology is based on two main aspects. The first is related to the use of  
 204 a heterogeneous set of unconventional input variables such as meteorological, radiometric,  
 205 astronomical and geographical parameters for the daily GR and hourly DNI prediction. The  
 206 second considers the network architecture; hence, each model has been performed after  
 207 training and validating of nine different topological configurations. Hence, the input  
 208 selection constitutes the first aspects examined, since it allows the successive network  
 209 topological analysis. A statistical analysis, based on the times-series, has been conducted for  
 210 the selection of the type and the number of input variables. The time series is a collection of  
 211 observations ordered in the time, each one recorded at a specific time. In the first  
 212 approximation, a time series model assumes that the past patterns will occur in the future. In  
 213 fact, a time series model could be used only to provide a synthetic time series similar  
 214 statistically to the original one. The modeling of the series begins with the selection of a  
 215 suitable mathematical model for the data. The artificial neural networks are intelligent  
 216 systems that have the capacity to learn, memorize and create relationships among data. They  
 217 could represent a non-linear tool for time series modeling (Voyant et al., 2013). In order to  
 218 determine which of the exogenous and endogenous parameters have to be considered in the  
 219 ANN models a correlation measure is computed for the input variables. The correlation  
 220 between two variables reflects the degree by which the variables are linked. The most  
 221 common correlation measure is the Pearson's correlation. A correlation of +1 (or -1) means  
 222 that there is a perfect positive (or negative) linear relationship between variables and a value  
 223 of 0 implies that there is no linear correlation between the variables. The Pearson correlation  
 224 coefficient (R) between two variables is defined by covariance and variance of the two  
 225 variables. For a series the estimation of R is given by:

$$226 \quad R = \frac{\sum_{k=1}^n (x_k - \bar{x})(y_k - \bar{y})}{\sqrt{\sum_{k=1}^n (x_k - \bar{x})^2 \sum_{k=1}^n (y_k - \bar{y})^2}} \quad (1)$$

227 The significance of each variables  $x$  has been correlated to the output of interest  $y$ : the daily  
228 GR for the first ANN model and the hourly DNI for the second ANN model.  
229 Hence, after choosing the model exogenous and endogenous variables, considering the time-  
230 series analysis, an optimization process, which has evaluated the best network configuration  
231 for the daily GR and the hourly DNI, has been conducted. Generally, the features involved  
232 in the recombination process are the type of transfer functions, the number of hidden layers  
233 and the number of hidden neurons. All these configurations expect a feed-forward network  
234 such as the MLP one. The training, validation and test data have been obtained by  
235 experimental measurements and database for different locations. The models accuracy is  
236 evaluated by means of the validation results for the nine topological network configurations.  
237 The statistical indicators employed for comparison are mean squared error (MSE), root mean  
238 squared error (RMSE), mean absolute percentage error (MAPE), mean absolute error (MAE)  
239 and goodness of fit ( $R^2$ ).  
240 They are given by the following relationships:

$$241 \text{MSE} = \frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2 \quad (2)$$

$$242 \text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2} \quad (3)$$

$$243 \text{MAPE} = \frac{1}{n} \sum_{i=1}^n \frac{|\hat{y}_i - y_i|}{y_i} \quad (4)$$

$$244 \text{MAE} = \frac{1}{n} \sum_{i=1}^n |y_i - \hat{y}_i| \quad (5)$$

$$245 R^2 = \frac{\sum_{i=1}^n (\hat{y}_i - \bar{y})^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad (6)$$

246 where  $n$  is the cardinality of dataset involved in the analysis,  $y_i$  is the variable to estimate,  
247  $\bar{y}$  is the mean value of  $y_i$  and  $\hat{y}_i$  is the value calculated by the model. MAPE determines the  
248 accuracy and RMSE represents the standard deviation between predicted values and actual  
249 values; it is a good parameter to compare the forecasting error of different models related to  
250 the same variable. MAE measures as the predictions are close to observed values, while  $R^2$

251 calculates the ratio between the variation evaluated by a regression model and the sample  
252 data variation;  $R^2$  is an important parameter since it evaluates the general accuracy of a  
253 regression model. The best configurations both for daily GR and hourly DNI are tested with  
254 different set of data and the results are compared with models present in literature. In Figure  
255 1 the main steps of the proposed method for the ANN model development are reported. The  
256 same procedure is applied to estimate daily GR and hourly DNI in order to define, finally,  
257 the solar energy potential for a solar system located at University of Salerno.

#### 258 **4. ANN models for daily GR and hourly DNI**

259 In this section the elements of the ANNs used for the solar energy potential modeling are  
260 described. The main aim is to develop an accurate model for predicting GR and DNI, in  
261 order to evaluate the solar potential for solar systems application located at University of  
262 Salerno (Fisciano, 40°46'23''N, 14°47'52''E). In this paper, the neural network tool of  
263 MATLAB (Matlab, LTD) has been used for the models implementation. For both models  
264 the selected architecture is constituted by a feed-forward neural network trained with  
265 Levenberg – Marquardt (LM) algorithm. The MLP learning rule adopted is the error Back-  
266 Propagation (BP) algorithm. It calculates the gradient of the network error related to its  
267 modifiable weights. The BP learning approach can be implemented considering different  
268 topologies and transfer functions. This typical problem, during the ANN development, is  
269 solved by means of the cross-validation which is a validation technique to estimate how a  
270 model generalizes an independent data set. First of all, the input variables have been selected  
271 exploiting the correlation factor (R) and the time series; then, also the number of input  
272 variables has been evaluated by means of a statistical comparison based on normalized  
273 RMSE. Once defined the input sets, nine different configurations are investigated for both  
274 ANNs in order to choose the configuration that assures the best performances. The network  
275 architecture, the paradigm and the learning algorithm of the ANNs has been fixed thanks to

276 the information of the literature that has clearly indicated MLP, BP and LM, as reported in  
277 Table 1. The values of the transfer function, the number of hidden neurons and the number  
278 of hidden layers have been changed considering a set of plausible values. The different pairs  
279 of transfer functions, for the hidden layer and the output layer, have been chosen referring  
280 to the literature where for the output layer the linear function is often chosen. So, the transfer  
281 function of the hidden layer should necessarily be non-linear and the sigmoid function is  
282 chosen because allows better results respect to tanh as reported in some simulations,  
283 conducted in Matlab, where the networks compared were the same except for the hidden  
284 layer transfer function. The functions tanh-tanh have been chosen because, after some tests  
285 where it only the MSE has been determined, the function tanh, both for the hidden layer and  
286 for the output layer, presents the best results considering two non-linear function instead of  
287 one.

288 Finally, assuming to use one hidden layer or two hidden layers, thanks to the results of the  
289 approximation theorem universal, several combinations of the transfer functions have been  
290 investigated, using two hidden layers, and by means of simulations in Matlab. These  
291 simulations have shown only the MSE trend and its final value, and, at the end, has been  
292 chosen tanh-tanh-linear as a combination of transfer functions that give the best results,  
293 referring respectively to the first hidden layer, the second and the output layer. On the  
294 contrary, as regards the number of hidden neurons, for the network which estimates the GR,  
295 a low number of neurons has been initially considered, 8, until the simulations have given  
296 decreasing values of MSE, using only one hidden layer. In fact, the simulations has been  
297 interrupted when 12 hidden neurons have given worst performance than 10. But for defining  
298 this number of neurons, the performance of the networks and of those for which the increase  
299 of the neurons number seemed to give improvements, or at least results comparable, has  
300 been deepened. The same has been made with the two hidden layers and for the network

301 which estimates the DNI. At the end, combining different values, nine different  
302 configurations have been obtained for both networks. The data have been obtained between  
303 2013, 2014 and 2015 by means of experimental measurements and database, taking into  
304 account different locations. The data are divided in three subsets, the first represents the  
305 training set used to compute the gradient and to update the network weights and biases by  
306 means of the training algorithm. The second is the validation set which calculates the error  
307 avoiding overfitting; the early stopping technique is used in order to improve the network  
308 generalization capability. This technique saves network weights and biases when the  
309 minimum validation error is reached. The validations results allow to select the best  
310 configurations, which are tested by means of the third data set (test set) in order to confirm  
311 the networks predictive power. The data treatment represents a key point in order to build  
312 the ANN models, because a big amount of data of different locations and years has been  
313 employed. They have been obtained from databases and exploiting a set of experimental  
314 measurements. All the employed data have been pre-processed in order to have a training  
315 phase that would lead to a correct generalization as fast as possible. In particular, abnormal  
316 values or outlier have been excluded. This set of data represents values which completely  
317 differ from all other obtained values. Hence, they have been not considered in order to avoid  
318 a wrong influence during the training phase. As suggested by (Muneer , 2004), the outliers  
319 have been excluded calculating the first and third percentile, and evaluating the following  
320 data range:

$$321 \quad [Q_1 - k(Q_3 - Q_1), Q_3 + k(Q_3 - Q_1)] \quad (7)$$

322 where  $Q_i$  represents the percentile and  $k$  is a constant value, set to 1.5. The data not included  
323 in the range obtained by means of the Equation 7, have been excluded because they have  
324 been considered outliers (Muneer, 2004). Then, the data have been normalized in the range  
325  $[-1,1]$ . The normalization represents a good tool when a big set of data without outliers is

326 available. In fact, the presence of outliers could lead to misalignments in the training phase  
327 because most of the data will be concentrated in a small range. The use of the normalization  
328 rather than the standardization allows to avoid the variables measurement units and the range  
329 magnitude influence in the training phase. Moreover, exploiting the sigmoid function during  
330 the training phase, it has been necessary a small range of values because this function already  
331 reaches its asymptote with an input equal to 3 or -3. Hence, when the net input is greater  
332 than three at the beginning of the training process, the gradients will be very small, and the  
333 network training will be very slow. (Azadeh et al. 2008; Wang et al. 2011). Abnormal values  
334 appear for two reasons due to the kind of data obtained. The first reason depends on the  
335 database data which can be affected by the measurement errors. The second reason depends  
336 on the measurement station in Salerno; in fact, for a certain period there have been some  
337 measurement problems from which this abnormal values derive. By means of the Matlab  
338 function “mapminmax”, the normalization interval [-1,1] is defined. At the end of the test  
339 phase, the “mapminmax” function is also used in post-processing with the purpose to put  
340 outputs into original domain.

#### 341 *4.1. ANN implementation for predicting the daily GR*

342 The daily GR prediction has been performed adopting database values of different locations  
343 and measurements for the target site of University of Salerno. In particular, the database  
344 considered includes a mix of climatic and meteorological values obtained by the Agro-  
345 meteorological Regional Center (Campania Region, 2014) referring to four specific  
346 locations: Sessa Aurunca (41°14'N, 13°56'E), Greci (41°15'10''N, 15°10'12''E),  
347 Montemarano (40°54'58''N, 14°59'54''E) and Policastro Bussentino  
348 (40°04'06''N, 15°31'05''E). The experimental measurements, especially employed for the  
349 validation and test set construction, have been obtained by means of a pyranometer, with a  
350 measuring and spectral range respectively equal to 0-2000 W/m<sup>2</sup> and 335-2200 nm, and a

351 platinum thermo-resistance. The target location and its surroundings stations for data  
352 collection are shown in Figure 2.

353 The input set selection constitutes a key factor in the model implementation. The  
354 correlation analysis for the input variables in order to predict the daily GR has been reported  
355 in Table 2. In particular, a set of nine heterogeneous parameters have been considered:  
356 latitude (Lt), longitude (Lg), mean temperature (T), sunshine duration (SD), total  
357 precipitation (P), daylight hours (H) declination angle ( $\delta$ ). wind speed (WS) and humidity  
358 (Hu). As reported in Table 2, the most effectiveness input parameter is sunshine duration,  
359 which is a radiometric parameter and gives an indication about the location cloudiness  
360 (Yadav and Chadel, 2012). SD is defined as the sum of sub-period when the solar irradiance  
361 exceeds  $120 \text{ W/m}^2$  (Benghanem and Joraid, 2007). In order to define a model for the GR in  
362 different locations, geographic variables such as latitude and longitude have been included  
363 also if their correlation is low. Wind speed, humidity and precipitation usually allow to  
364 characterize the meteorological situation (Wang et al., 2011). Anyway, wind speed and  
365 humidity have been found to be the least incident and they have been not considered. Finally,  
366 there are two astronomical variables such as the daylight hours and declination angle. The  
367 first defines the period of the year considered, considering also the important information  
368 about the cloudiness especially if it is compared with the sunshine duration. The second takes  
369 into account the specific day considered. They have been evaluated by means of analytic  
370 expressions (Renno and Petito, 2013). Once defined the input variables, considering the  
371 correlation value, their number has been chosen. Different ANNs have been trained and  
372 validated by changing the number of input variables and evaluating their nRMSE  
373 (normalized root mean squared error) value. In Table 3 all the analyzed combinations for the  
374 daily GR have been reported together with the respective value of nRMSE. It can be noted  
375 that the network with seven input variables (latitude (Lt), longitude (Lg), mean temperature

376 (T), sunshine duration (SD), total precipitation (P), daylight hours (H) and declination angle  
 377 ( $\delta$ ) reaches the best results for the daily GR model. Hence, the daily GR can be written in  
 378 this way:

$$379 \quad GR = f(Lt, Lg, T, SD, P, H, \delta) \quad (8)$$

380 Once defined the input set, different topological network configurations are trained and  
 381 validated varying different features. In particular, for this model a training set of ten months  
 382 has been chosen while the validation set considers three months. Nine configurations are  
 383 investigated from GR1 to GR9 as shown in Table 4. The number of hidden layers, hidden  
 384 neurons and the transfer functions type are changed in order to obtain an accurate predicting  
 385 model. The solutions proposed expects principally one or two hidden layers; the transfer  
 386 functions analyzed are sigmoid, linear and hyperbolic tangent. They describes how the  
 387 information flow both from the input to the hidden layer and from the hidden layer to the  
 388 output. The best configuration, resulting from the statistical analysis, is tested on different  
 389 test sets. A first set considers as testing location the University of Salerno, collecting data  
 390 for March, July and November 2014. In order to prove the good prediction capability of the  
 391 GR model, other test sets are employed. In particular, respect to the first test set, different  
 392 locations and years are considered: the four stations (Sessa Aurunca, Policastro Bussentino,  
 393 Montemarano, Greci) used for training, with data from 2013 to 2014, are selected also in the  
 394 test phase. Data for January, March and June 2015 are introduced for testing the proposed  
 395 ANN. The proposed neural network calculates the outputs as follow:

$$396 \quad y_k = f \left\{ \left[ \sum_{j=1}^Z w_j g \left( \left( \sum_{i=1}^7 p_{ji} x_{ik} \right) + b_j \right) \right] + a \right\} \quad \text{with } |K| = \text{No. patterns} \quad (9)$$

397 where  $f$  and  $g$  are respectively the output layer and the hidden layer transfer functions  
 398 adopted,  $p_{ji}$  is the weights matrix of hidden neurons  $j$  and input neurons  $i$ ,  $w_j$  is the vector of  
 399 weights referred to the hidden neurons  $j$  and the output neuron,  $z$  is the number of hidden



400 neurons,  $x_{ik}$  is the matrix of input,  $b_i$  is the vector of hidden neurons biases,  $a$  is the value of  
401 the output neurons bias,  $y_k$  is the value of the output for  $k$ -th day.

#### 402 4.2. ANN implementation for forecasting the hourly DNI

403 The DNI forecasting represents an important aspect for a full solar energy potential  
404 evaluation. While global radiation measurements are usually obtained in most of the  
405 radiometric stations, the data availability of its components is more limited. Moreover, when  
406 the DNI is measured, there is no extensive data series. Mathematical models are need in  
407 order to establish a typical behavior of the direct solar resources for energy applications. The  
408 DNI analysis for a specific location is often calculated starting from the global irradiance  
409 data registered. It is estimated by means of the decomposition model based on the regression  
410 between two indices: the clearness index  $k_t$  (horizontal global irradiance/horizontal  
411 extraterrestrial irradiance) and the direct solar transmittance  $k_b$  (direct normal  
412 irradiance/extraterrestrial irradiance) (Lopez et al., 2005). Anyway, the DNI evaluation is  
413 affected by the increasing complexity due to relationship non-linearity between the variables  
414 on which it depends (Gueymard et al., 2011). Hence, traditional statistical methods are not  
415 efficient. The ANN can exploit a mix of experimental data and calculated values for the DNI  
416 prediction. An ANN model to evaluate the hourly DNI is introduced investigating different  
417 solutions.

418 To overcome the lack of experimental data, a measurement system has been installed at  
419 University of Salerno. The data have been obtained with a sampling interval of one hour.  
420 The measurements refer to the direct irradiance by means of a pyrheliometer; other necessary  
421 data are related to the global irradiance on a normal plane and air temperature, part of this  
422 data have been also exploited for the global radiation predicting. The training data are  
423 referred to six months, while the validation subset is of two months. As for the GR model,  
424 the DNI model analysis starts with the input set definition. In Table 5, the correlation

425 analysis of four astronomical and radiometric variables: clearness index ( $k_t$ ), declination  
 426 angle ( $\delta$ ), hour angle (HRA) and global normal irradiance ( $G_{ni}$ ) is reported, and it can be  
 427 observed as the global normal irradiance ( $G_{ni}$ ) reaches a values very close to 1.

428 In Table 6, different combinations of these variables have been indicated together with the  
 429 respective value of nRMSE and the better solution is represented by the use of all the  
 430 indicated variables:

$$431 \text{ DNI} = f(k_t, \delta, \text{HRA}, G_{ni}) \quad (10)$$

432 The declination angle and hour angle allow the ANN training considering information about  
 433 the day considered and its sunlight duration. In particular, the HRA influences the optical  
 434 path length through the atmosphere; hence, it can replace the relative air mass. The clearness  
 435 index represents the most relevant factor in the DNI prediction. The clearness index is  
 436 defined as the ratio between the horizontal global irradiance and the horizontal  
 437 extraterrestrial irradiance. It constitutes an indirect measure of the atmosphere filtering  
 438 action. Last variables included is the global normal irradiance which provides information  
 439 about the meteorological and climatic effects in the evaluation process. As in the previous  
 440 case, the LM algorithm has been adopted for the network training, due to its better  
 441 performance (Sfetsos and Coonick, 2000). Nine topologic network configurations (DNT1-  
 442 DNT9) are simulated on validation subset as shown in Table 7. The best configuration is  
 443 finally tested on a test subset of one month. The output of the proposed neural network for  
 444 the prediction of the hourly DNI is calculated as:

$$445 y_k = f \left\{ \left[ \sum_{j=1}^z w_j g \left( \left( \sum_{i=1}^4 p_{ji} x_{ik} \right) + b_j \right) \right] + a \right\} \text{ with } |k| = \text{No. patterns} \quad (11)$$

## 446 **5. Results and discussion**

447 The proposed methodology provides three different levels of analysis. After the input  
 448 selection and the preliminary network configuration, for each ANN model nine topological

449 schemes are trained and validated. The first step allows the best configuration definition as  
450 function of RMSE, MAPE, MAE and  $R^2$ . In the second phase the selected configurations  
451 are tested and their results are evaluated. The third step expects the comparison between the  
452 developed stable models and literature. The models constitute an integrated tool for the solar  
453 energy potential estimation at University of Salerno. Moreover, the ANN model for daily  
454 GR has been implemented taking into account different locations; hence, it allows the GR  
455 estimation for each site. The model of the hourly DNI has been obtained by investigating a  
456 great amount of data and parameters. Anyway, the lack of experimental data for the hourly  
457 direct solar irradiance for other locations different from Salerno, has not allowed a test phase  
458 for other location. So, even if it is limited to the selected location, the DNI prediction by  
459 ANN results more accurate than classical methods based on different equations that do not  
460 take into account some factors such as the cloudiness. Hence, the predicted values are closer  
461 to the measured values than the calculated one allowing a detailed analysis because it is  
462 hourly. The test of the DNI ANN has been conducted taking into account a hourly temporal  
463 level characterized by weather variations; hence it represents a more reliable test that has  
464 shown the accuracy of the model.

465

466

#### 467 *5.1 Selected configurations for ANN models and testing results*

468 The solar energy potential estimation is affected by the networks forecasting capabilities  
469 for daily GR and hourly DNI. The evaluation of the solar energy main components allows  
470 different solar energy system assessment. Hence, it is possible to determine the effectiveness  
471 potential for systems based on the exploitation of the global and direct radiation. The

472 uniqueness of the present ANN modeling approach is that it investigates the network  
473 predicting power analyzing nine topological configurations for each ANN model.

474 Hence, the neural models are initially constructed based on preliminary choices such as the  
475 input set selection and the training algorithm definition, and after the recombination process,  
476 illustrated in Figure 2, they are performed in order to obtain a more accurate prediction. The  
477 main aspects analyzed in the recombination process have concerned the transfer function  
478 type, the number of hidden layers and hidden neurons.

479 The ANN model for daily the GR estimation has been determined implementing three types  
480 of transfer functions for the hidden layer and the output layer: sigmoid, linear and hyperbolic  
481 tangent. Two solutions in term of hidden layers have been investigated: one or two layers.  
482 The hidden neurons number has been varied between a minimum of eight and a maximum  
483 of twelve. In Table 8, the statistical results for the nine topological configurations have been  
484 reported. The selected ANN network is GNT2 which expects one hidden layer, ten hidden  
485 neurons, a sigmoid transfer function for the hidden layer and a linear for the output layer.  
486 This configuration presents the best results in term of RMSE, MAPE and MAE, respectively  
487 equal to  $153.5 \text{ Wh/m}^2$ , 4.46% and  $125.7 \text{ Wh/m}^2$ . Although the  $R^2$  values are not the best in  
488 absolute terms, GNT2 has showed a better overall predicting power, as reported in the  
489 scatterplots of Figure 3. The scatterplots show important indications referring to the  
490 correlation between measured and predicted data. Hence, the good agreements achieved  
491 between previsions and measured values are clearly proved for GNT2 (Figure 3b), other  
492 scatterplots refer respectively to GNT1 (a), GNT6 (c) and GNT7 (d). Hence, a solution with  
493 two hidden layers (GNT7) or 12 hidden neurons (GNT6) shows both RMSE and MAPE  
494 higher than the selected one with only one hidden layer and ten hidden neurons.

495 As for the hourly DNI, the topological solutions have been investigated considering one or  
496 two hidden layers, sigmoid, linear and hyperbolic tangent transfer functions and a number

497 of hidden neurons between four and six. In Table 9 the validation results for hourly DNI  
498 configurations are reported. DNT5 has been found as the best solution for all statistical  
499 parameters adopted. Its RMSE, MAPE, MAE and  $R^2$  values are respectively equal to 17.1  
500  $W/m^2$ , 5.38%, 13.4  $W/m^2$  and 0.9956. The same result can be observed in Figure 4, where  
501 the predicted and measured data have been compared for DNT3 (a), DNT5 (b), DNT6 (c)  
502 and DNT8 (d). In Figures 3 and 4 only four graphs are shown instead of nine since only  
503 some aspects have been displayed. These aspects are three: the effect of the neurons number  
504 increase, the use of two non-linear transfer functions instead of one, and finally use of two  
505 hidden layers. These effects have been outlined, respectively, for the GR, in the transition  
506 between GNT1 and GNT2 and then considering GNT6 (use-tanh tanh) and GNT7 (uses two  
507 hidden layers). The same has been done for the DNI. Hence, it has been chosen only the  
508 number of graphs necessary to show these aspects avoiding to display the other part that has  
509 a similar trend. The proposed ANNs structures for daily GR and hourly DNI are reported in  
510 the Figures 5a and 5b (Gairaa et al., 2016; Yadav et al., 2015; Alsina et al. 2016; Shaddel et  
511 al. 2016). The ANN for DNI presents four input neurons and a hidden layer with five  
512 neurons.

513 The good results obtained by the selected topological configurations for GR model and  
514 DNI model, can be observed also in the Figures 6a and 6b. In particular, these scatterplots  
515 show the regression respect to the target in the training phase. The trend between predicted  
516 and measured values, during the training for the selected configuration (GNT2) of the daily  
517 GR network and for the selected configuration (DNT 5) of the hourly DNI model reflect the  
518 good achievements of the subsequent validation phase.

519 The selected ANN model configurations for GR and DNI have been tested on the respective  
520 test subset previously defined. The test step for daily GR has been developed referring to  
521 different locations and years. In particular, a first test set refers to March, July and November

2014 for the target location of University of Salerno. These months have been selected because they present different climatic conditions. In Figure 7 the comparison between predicted and measures values for the GR have been reported. So, for the different months considered the ANN model estimates with high correlation the global radiation. Based on test set results, MSE, MAPE, MAE,  $R^2$ , RMSE and nRMSE have been used as statistical indicators. These parameters present values higher than the validation results. This result is partially expected because the validation and test data are different; moreover, in order to evaluate the network prediction capability, the test set has been chosen with months characterized by a greater heterogeneity. Anyway, results show good correlation with a MAPE of 4.57%, a RMSE of 160.3 Wh/m<sup>2</sup> and a  $R^2$  of 0.9918. In order to support the good prediction capability of the developed ANN model for daily GR, new test sets, considering different locations, have been employed. In particular, new data for January, March and June 2015 have been considered for the four locations (Sessa Aurunca, Montemarano Policastro, Greci, Bussentino) employed in the training phase with data from 2013 to 2014. In Figure 8, the trend between predicted and measured values for the new locations and months are reported as scatterplot figures. Once again, the results show high correlation as confirmed by the calculated statistical results. In particular, the RMSE, MAPE and  $R^2$  are respectively of 212 Wh/m<sup>2</sup>, 8.1% and 0.9831 for Sessa Aurunca (a); 135 Wh/m<sup>2</sup>, 5.21% and 0.9911 for Montemarano (b); 122 Wh/m<sup>2</sup>, 4.1% and 0.9926 for Greci (c) and 173 Wh/m<sup>2</sup>, 5.71% and 0.9884 for Policastro Bussentino (d).

As for the DNI test step, the trend of the predicted and measured values, referred to April 2014, has been illustrated in Figure 9. The forecast capabilities of the ANN model for the hourly DNI has been confirmed by means of the calculated MAPE, RMSE and  $R^2$ , which are respectively equal to 5.57%, 17.7 W/m<sup>2</sup> and 0.994. These values guarantee correlation and good accuracy to forecast the DNI when astronomical and radiometric variables are

547 adopted. The main aim of this paper concerns the DNI predicting with neural network. The  
548 model allows the direct solar energy potential assessment for the selected location; hence, it  
549 could be useful for the evaluation of a concentrating solar system. The model forecasting  
550 capability for the hourly DNI estimation can be observed in Figure10. The ANN has been  
551 simulated with reference to a summer and winter day with different meteorological  
552 situations. In particular, it can be observed the model availability to predict the DNI, taking  
553 into account the cloudiness as reported by the input set variables. Finally, in Table 10  
554 cumulating data on monthly base, the fraction of direct radiation has been estimated. Table  
555 10 reports the cumulated on a monthly base values of global and direct radiation obtained  
556 by means of the neural network models. Anyway, considering a low value of the albedo  
557 component, the diffuse radiation can also be estimated. Hence, already considering a  
558 monthly basis of analysis, the diffuse radiation has also been indicated in Table 10. It can be  
559 observed in terms of monthly radiation that the percentage of direct radiation increases to  
560 90% in summer period, while it decreases to 80% in winter.

## 561 *5.2 Application of the ANN models to a residential building*

562 The solar radiation prediction, both in term of global radiation and direct normal irradiance,  
563 allows the energy production evaluation of different solar systems. In particular, it can  
564 represent a good tool for the assessment of a system for a cleaner energy production. The  
565 ANNs designed in this paper for a specific Italian location ensure an accurate solar potential  
566 prediction in order to compare different solar solutions for a residential building. In  
567 particular, while many accurate estimations of the solar global radiation are available thanks  
568 to different solar calculators and measurement stations, the data availability of the DNI for  
569 a specific place is more limited. Hence, the ANN model realized in this paper represents a  
570 good tool to estimate the actual solar potential of a specific location and to guarantee a good  
571 assessment for different solar systems. So, a case study represented by the feasibility analysis

572 of a trigenerative CPV/T system adopted in the Southern Italy, is shown. This case study,  
573 even if it is theoretical, represents an important aspect to open new scenarios, also  
574 considering experimental aspect, related to the use of the solar energy.

575 The selected case study is related to a residential building of about 130 m<sup>2</sup>. The analysis is  
576 based on the comparison between a traditional photovoltaic system (PV) and an innovative  
577 concentrating photovoltaic and thermal system (CPV/T), principally in term of electric  
578 energy production. Both systems have been designed in order to meet the electric energy  
579 demand of the residential application. In particular, the CPV/T system allows also to meet  
580 part of the thermal and cooling energy demands of the building. The energy loads of the  
581 residential building considered are reported in Table 11.

582 The traditional PV system has been sized taking into account a total peak power of 3 kW,  
583 typical for a domestic user; hence, twelve silicon modules of 0.250 kW have been used. The  
584 CPV/T system represents an evolution in the photovoltaic field. The main characteristic is  
585 to concentrate sunlight in order to increase the incident direct solar radiation and to decrease  
586 the photovoltaic area. For this purpose, it adopts optical devices able to modify the  
587 concentration factor defined as:

$$588 \quad C = \frac{A_{\text{opt}}}{A_c} \cdot \eta_{\text{opt}} \quad (12)$$

589 where  $A_{\text{opt}}$  and  $A_c$  represent respectively the optics and cell area, and  $\eta_{\text{opt}}$  the optical  
590 efficiency which depends on the optic device adopted. These systems adopt triple junction  
591 cells able to operate at high temperature, and a tracking system since they can work only  
592 with the direct component of the solar radiation.

593 The designed CPV/T system considers a point-focus configuration where each optics,  
594 represented by a small parabolic dish, presents a InGaP/InGaAs/Ge triple-junction solar cell  
595 placed in its focus. The cells are arranged on a pipe where a cooling fluid, usually a water–  
596 glycol solution, flows in order to cool the cells and to obtain simultaneously thermal energy.



597 According to the total electric energy demand, the CPV/T system has been sized considering  
 598 150 Emcore triple junction cells (Emcore, 2012) of 1 cm<sup>2</sup> arranged in three modules of fifty.  
 599 The comparison between the two different photovoltaic systems has been carried out  
 600 adopting the monthly results of the ANN model for the global and direct radiation, as  
 601 reported in Table 10.

602 The monthly electric energy of a traditional PV system can be expressed by:

$$603 E_{el,PV,m} = [(GR_m \cdot \eta_{PV})] \cdot n_{mod} \cdot \eta_{inv} \quad (13)$$

604 where  $GR_m$  is the monthly global radiation presented in Table 6,  $\eta_{PV}$  represents a standard  
 605 efficiency value for a silicon photovoltaic module equal to 13% (Mastrullo and Renno,  
 606 2010),  $n_{mod}$  is the number of modules used and the inverter efficiency ( $\eta_{inv}$ ) is generally  
 607 considered equal to 0.90 (Aprea and Renno, 2009).

608 The CPV/T system monthly electric energy production can be estimated as:

$$609 E_{el,CPV/T,m} = E_{c,m} \cdot n_c \cdot \eta_{mod} \cdot \eta_{inv} \quad (14)$$

610 where the module efficiency ( $\eta_{mod}$ ) until 100 cells is equal to 0.9,  $n_c$  represents the number  
 611 of cells which constitute the module and  $\eta_{inv}$  is the inverter efficiency. The monthly electric  
 612 energy of the cell  $E_{el,c,m}$  can be expressed as:

$$613 E_{el,c,m} = DNI_m \cdot C \cdot A_c \cdot \eta_{opt} \cdot \eta_c \quad (15)$$

614 where  $DNI_m$  represents the monthly direct radiation reported in Table 10;  $C$  is the  
 615 concentration factor while  $\eta_{opt}$  and  $\eta_c$  are respectively the optic and cell efficiency.

616 The CPV/T system presents a concentration factor of 800; the optic efficiency, taking into  
 617 account small parabolic dishes, has been considered equal to 0.865 (Brogen, 2004), while  
 618 the cell efficiency is fixed to 31% according to the cell manufacturer instructions (Emcore,  
 619 2012) and the references values for this type of cell (Green et al., 2014).

620 In Figure 11, the electric energy demand and the monthly electric energy production both  
 621 for PV and CPV/T system are reported. The annual electric energy production of the PV

622 system is equal to 3030 kWh, while the production of the CPV/T system is equal to 2996  
 623 kWh. Hence, both systems allow to meet the electric energy yearly demands of the  
 624 residential building. On the other hand, a CPV/T system allows to obtain also thermal energy  
 625 that can be expressed by:

$$626 \quad E_{th,CPVT} = \{[1 - (\eta_c \cdot \eta_m \cdot \eta_{opt})] \cdot C \cdot DNI \cdot A_c \cdot n_c\} - E_{th,loss} \quad (16)$$

627 where the annual thermal energy production considered takes into account an annual value  
 628 of DNI and a thermal energy loss due to convective and radiative losses included between  
 629 3-5% (Kribus et al., 2006). In Table 11 both the monthly electric and thermal energy  
 630 production of the CPV/T system and the PV system electric production are reported together  
 631 with the residential building energy demand. The CPV/T system allows an annual thermal  
 632 energy production of 10655 kWh<sub>th</sub> that can be employed both for the sanitary hot water  
 633 (SHW) production and the cooling demands. In particular, an absorber heat pump (AHP)  
 634 with a peak power of about 7 kW<sub>coo</sub> has been considered for the summer cooling (Aprea and  
 635 Renno, 1999). Hence, both photovoltaic systems allow a cleaner energy production ensuring  
 636 an important contribution in reducing environmental pollution. The different systems have  
 637 been also evaluated from an economic point of view, considering the systems capital costs  
 638 and the electric and thermal energy savings. The PV system presents a average cost of 5.4  
 639 k€ (Balcombe et al. 2015), with a simple pay-back (SPB) of about 8 years. The CPV/T  
 640 system shows an initial cost of 6.2 k€, with a SPB of about 9 years considering only the  
 641 electric energy savings and the cash flows opportunely evaluated (Renno and Petito, 2015).  
 642 The CPV/T system thermal production meets the SHW needs and the cooling demands  
 643 employing the AHP. Considering an AHP cost of about 350 €/kW<sub>coo</sub> (Eicker and Pietruschka,  
 644 2009), the CPV/T system total cost is equal to 8650 €. Analyzing the thermal and cooling  
 645 energy savings in this new configuration and the respective cash flows, the SPB of the  
 646 CPV/T system decreases to about 7 years. Hence, the CPV/T system results competitive with

647 the PV system and it can represent a trigenerative solution for a residential building  
648 application.

### 649 5.3 Literature comparison

650 In the last years several techniques have been developed for predicting the solar energy  
651 potential. The presented ANN models have been compared with different models present in  
652 literature in terms of statistical indicators. This analysis allows an external validation of the  
653 developed ANNs for predicting daily GR and hourly DNI. In particular, the compared values  
654 for the daily GR model takes into account the statistical results for the test set of Salerno.  
655 The statistical parameter values obtained in correspondence of each GR and DNI prediction  
656 model are summarized in Table 12. Azadeh et al. estimated the monthly GR for six cities in  
657 Iran using climatic and meteorological data collected for six years (Azadeh et al., 2009).  
658 The model presents different values both for each statistical indicator and each city. The best  
659 performances are shown with reference to the city of Bandar Abbas with MAPE,  $R^2$  and  
660 nRMSE respectively equal to 3.00%, 0.980 and 2.60%. The two ANN models for hourly  
661 GR, developed by Wang et al., present  $R^2$  and nRMSE values respectively equal to 0.991  
662 and 3.31% for the first configuration and 0.964 and 4.50% for the second (Wang et al., 2011).

663 In the models investigated by Khatib et al., the best MAPE and nRMSE values for the  
664 predicted GR, between the different networks developed are 5.2% and 7.96%, while the best  
665 RMSE is 342.0 Wh/m<sup>2</sup> (Khatib et al., 2012). The MLP model developed by Behrang et al.  
666 has shown MAPE and  $R^2$  equal respectively to 5.21% and 0.9957, while their RBF for the  
667 same chosen input configuration has reported a MAPE of 5.56% and a  $R^2$  of 0.9952 (Behrang  
668 et al., 2010). The RBF model by Zervas et al. is only compared in term of  $R^2$ , reaching a  
669 value of 0.985 (Zervas et al. 2008). The best results by Benghanem and Mellit, have been  
670 obtained using a RBF model with the day of the year, the sunshine duration and the air  
671 temperature as input parameters (Benghanem and Mellit, 2010). In this case,  $R^2$  is 0.976 and

672 nRMSE is 1.31%. The Bayesian Neural Network (BNN) developed by Yacef et al. in order  
673 to estimate the daily GR shows better results than a classic ANN with  $R^2$  and nRMSE  
674 respectively equal to 0.9299 and 8.42% (Yacef et al., 2012). Bilgili and Ozgoren have  
675 modeled the daily GR with different models. The ANN method has presented better  
676 statistical results: MAE is 278 Wh/m<sup>2</sup>, MAPE is 9.23% while  $R^2$  is 0.9508 (Bilgili and  
677 Ozgoren, 2011). Hence, the comparison between the proposed ANN model for daily GR and  
678 literature model has clearly proved the good accuracy of the developed tool and has validated  
679 the results with good agreement.

680 In literature, the GR estimation in the energy applications is widely investigated by means  
681 of ANNs, while the DNI prediction is not present with the same diffusion. Hence, the ANN  
682 for predicting hourly DNI is only compared with the models presented by Mellit et al. (Mellit  
683 et al., 2013) and Kaushika et al. (Kaushika et al., 2014). In Table 12, the statistical indicators  
684 calculated for the hourly DNI modeling are also reported. The analysis has been developed  
685 comparing the  $R^2$  value with Mellit et al. model and the RMSE achieved with the Kaushika  
686 et al. network. The first has presented a  $R^2$  of 0.967, lower than the proposed model value.  
687 The second according to the  $R^2$  value has showed a feed-forward neural network less  
688 accurate than the proposed one. Hence, both the ANNs presented in this paper have shown  
689 high performances comparable with the outputs presented in literature.

## 690 **6. Conclusions**

691 In this paper a tool based on ANNs has been developed in order to estimate the solar energy  
692 potential of the University of Salerno. Two ANN models have been investigated to predict  
693 the daily GR and the hourly DNI. The proposed ANN development has been subdivided in  
694 different steps. First, the methodology has adopted a feed-forward network with a set of  
695 heterogeneous variables and LM algorithm as training function. Data have been collected  
696 for over two years considering both experimental data and databases. Successively, for both

697 solar components, nine topological network configurations have been validated. The  
698 validation results have been compared in term of RMSE, MAPE, MAE and  $R^2$ . The selected  
699 ANN for the daily GR expects one hidden layer, ten hidden neurons, a sigmoid transfer  
700 function for the hidden layer and a linear function for the output layer. A neural network  
701 with sigmoid and linear transfer functions, one hidden layer and five hidden neurons, has  
702 been chosen for the hourly DNI forecasting. The MLP realized for the GR has been able to  
703 predict the radiation for different locations using radiometric, climatic, meteorological and  
704 astronomical parameters, while the model of the DNI has principally employed radiometric  
705 and astronomical values only for the target site. The GR model considers four locations for  
706 training and it is tested referring to different locations and years. As for the DNI model the  
707 test phase can be realized only for the place where the experimental data have been collected.  
708 The ANN model for the hourly direct irradiance, couldn't be tested on other locations due  
709 to the lack of experimental or database data for the direct irradiance. However, the  
710 methodology for the development of two networks for the GR and DNI prediction is valid  
711 and can represent the basis for subsequent models.

712 Finally, the best configurations selected for each model have been tested on new data and  
713 the results have been compared with the literature. The predictive ability comparison  
714 obtained by means of statistical indicators, however, represents a tool independent of the  
715 conditions and that then has allowed to compare different situations. The evaluation of  
716 different statistical indicators has showed that the ANN models presented can estimate daily  
717 GR and hourly DNI with satisfactory accuracy. In particular, the ANN for the GR has  
718 presented a MAPE of 4.57%, a RMSE of 160.3 Wh/m<sup>2</sup> and a  $R^2$  of 0.9918, which have  
719 guaranteed a good correlation between predicted and measured values. The ANN forecasting  
720 capabilities related to the hourly DNI have been confirmed obtaining the MAPE, RMSE and  
721  $R^2$  values respectively equal to 5.57%, 17.7 W/m<sup>2</sup> and 0.994. The network for predicting the

722 direct irradiance represents an important result, because in literature there are few papers  
723 that determine the DNI. In particular, the use of the heterogeneous inputs set adopted in this  
724 paper has never been presented in literature.

725 The direct irradiance has been evaluated for various climatic conditions characterized by  
726 different levels of cloudiness. Moreover, the direct fraction has been predicted on monthly  
727 base reaching obviously the higher values in the summer period. Finally, the DNI predicting  
728 model has allowed to evaluate for a residential building the energy production of two  
729 different photovoltaic systems. In particular, the CPV/T system is resulted competitive with  
730 the PV system and it can represent an interesting trigenerative solution for a residential  
731 application. Therefore, the developed ANN models could represent a good tool for the  
732 assessment of cleaner energy system, ensuring a correct evaluation of the solar source  
733 potential for different location.

#### 734 **Nomenclature**

- 735 a output layer bias  
736 A area  
737 AHP absorber heat pump  
738 ANN Artificial Neural Network  
739 AV average  
740  $b_j$  vector of hidden layer biases  
741 BP back propagation  
742 c cell  
743 C concentration factor  
744 CPV/T concentrating photovoltaic and thermal  
745 DNI Direct Normal Irradiance ( $W/m^2$ )  
746 E electric energy (kWh)

747	f	output layer transfer function
748	GR	global radiation (Wh/m <sup>2</sup> )
749	g	hidden layer transfer function
750	H	daylight hours (h)
751	Hu	humidity
752	HRA	hour angle (°)
753	k	constant
754	k <sub>b</sub>	direct solar transmittance
755	k <sub>t</sub>	clearness index
756	L <sub>g</sub>	longitude (°)
757	LM	Levenberg-Marquardt
758	L <sub>t</sub>	latitude (°)
759	MAE	mean absolute error
760	MAPE	mean absolute percentage error
761	MLP	multilayer perceptron
762	MLR	multi linear regression
763	MNLR	multi non linear regression
764	MSE	mean squared error
765	NREL	national renewable energy laboratory
766	p <sub>ij</sub>	array of hidden layer weights
767	P	precipitation (mm)
768	PV	photovoltaic
769	Q	percentile
770	R <sup>2</sup>	goodness of fit
771	RMSE	root mean squared error

772	RH	relative humidity
773	RTD	resistance temperature detector
774	SD	sunshine duration (h)
775	SHW	sanitary hot water
776	T	temperature (°C)
777	VP	vapor pressure
778	$w_j$	vector of output layer weights
779	WS	wind speed
780	x	variable of interest
781	$x_i$	input array
782	n	cardinality of dataset
783	y	variable to estimate
784	$\bar{y}$	mean value of the variable to estimate
785	$\hat{y}$	estimated value of the variable to estimate
786	z	number of hidden neurons
787	<b><i>Greek symbol</i></b>	
788	$\delta$	solar declination angle (°)
789	$\eta$	efficiency
790	<b><i>Subscripts</i></b>	
791	CPV/T concentrating photovoltaic and thermal	
792	coo	cooling
793	el	electric
794	inv	inverter
795	m	monthly
796	mod	module



797 ni normal irradiance

798 opt optic

799 PV photovoltaic

800 th thermal

801

## 802 **References**

803 Alsina, E.F., Bortolini, M., Gamberi, M., Regattieri, A., 2016. Artificial neural network  
804 optimisation for monthly average daily global solar radiation prediction. *Energy Conversion  
805 and Management* 120, 320–329.

806 Amrouche, B., Le Privert, X., 2014. Artificial neural network based daily local forecasting  
807 for global solar radiation. *Applied Energy* 130, 333-341.

808 Aprea C., Renno, C., 1999. An air cooled tube-fin evaporator model for an expansion valve  
809 control law. *Mathematical and Computer Modelling* 30, 135-146.

810 Aprea, C., Renno, C., 2009. Experimental model of a variable capacity compressor.  
811 *International Journal of Energy Research* 33, 29-37.

812 Azadeh, A., Ghaderi, S.F., Sohrabkhani, S., 2008. A simulated-based neural network  
813 algorithm for forecasting electrical energy consumption in Iran. *Energy Policy* 36, 2637–  
814 2644

815 Azadeh, A., Maghsoudi, A., Sohrabkhani, S., 2009. An integrated artificial neural networks  
816 approach for predicting global radiation. *Energy Conversion and Management* 50, 1497–  
817 1505.

818 Balcombe, P., Rigby, D., Azapagic, A., 2015. Energy self-sufficiency, grid demand  
819 variability and consumer costs: Integrating solar PV, Stirling engine CHP and battery  
820 storage. *Applied Energy* 155, 393:408.

821 Behrang, M.A., Assareh, E., Ghanbarzadeh, A., Noghrehabadi, A.R., 2010. The potential of  
822 different artificial neural network (ANN) technique in daily global solar radiation modeling  
823 based on meteorological data. *Solar Energy* 84, 1468–1480.

824 Benghanem, M., Joraid, A.A., 2007. A multiple correlation between different solar  
825 parameters in Medina, Saudi Arabia. *Renewable Energy* 14, 2424–2435.

826 Benghanem, M., Mellit, A., 2010. Radial Basis Function Network-based prediction of global  
827 solar radiation data: Application for sizing of a stand-alone photovoltaic system at Al-  
828 Madinah, Saudi Arabia. *Energy* 35, 3751-3762.

829 Bilgili, M., Ozgoren, M., 2011. Daily total global solar radiation modeling from several  
830 meteorological data. *Meteorol. Atmos. Phys.* 112, 125–138.

831 Brogen. M., 2004. Optical Efficiency of Low-Concentrating Solar Energy Systems with  
832 Parabolic Reflectors. *Acta Universitatis Upsaliensis*. Uppsala, Sweden, Ph.D. Thesis.

833 Campania Region Study; Available online; <http://www.sito.regione.campania.it>  
834 /agricoltura/meteo/agrometeo, (Accessed on 16 December 2014).

835 Celik, A.N., Muneer, T., 2012. Neural network based method for conversion of solar  
836 radiation data. *Energy Conversion and Management* 51, 117-124.

837 Celik, O., Teke, A., Basak Yldirim, H., 2016. The optimized artificial neural network model  
838 with LevenbergeMarquardt algorithm for global solar radiation estimation in Eastern  
839 Mediterranean Region of Turkey. *Journal of Cleaner Production* 116, 1-12.

840 Chen, S.X., Gooi, H.B., Wang, M.Q., 2013. Solar radiation forecast based on fuzzy logic  
841 and neural networks. *Renewable Energy* 60, 195-201.

842 Eicker, U., Demir, E., Gürlich, D., 2015. Strategies for cost efficient refurbishment and solar  
843 energy integration in European Case Study buildings. *Energy and Buildings* 102, 237–249.

844 Eicker, U., Pietruschka, D., 2009. Design and performance of solar powered absorption  
845 cooling systems in office buildings. *Energy Build.* 41, 81–91.

846 Green, M., Emery, K., Hishikawa, Y., Warta, W., Dunlop, E.D., 2014. Solar cell efficiency  
847 tables. *Prog. Photovolt: Res. Appl.* 22, 701–710.

848 Gueymard, C.A., Stephen, M., Wilcox, S.M., 2011. Assessment of spatial and temporal  
849 variability in the US solar resource from radiometric measurements and predictions from  
850 models using ground-based or satellite data. *Solar Energy* 85, 1068-1084.

851 Gairaa, K., Khellaf, A., Messlem, Y., Chellali, F., 2016. Estimation of the daily global solar  
852 radiation based on Box–Jenkins and ANN models: A combined approach. *Renewable and*  
853 *Sustainable Energy Reviews* 57, 238-249.

854 Hasni, A., Sehli, A., Draoui, B., Bassou, A., Amieur, B., 2012. Estimating global solar  
855 radiation using artificial neural network and climate data in the south-western region of  
856 Algeria. *Energy Procedia* 18, 531 – 537.

857 Kalogirou, S.A., 2001. Artificial neural networks in renewable energy systems applications:  
858 a review. *Renewable and Sustainable Energy Reviews* 5, 373–401.

859 Kambezidis, H.D., Psiloglou, B.E., Karagiannis, D., Dumka, U.C., Kaskaoutis, D.G., 2016.  
860 Recent improvements of the Meteorological Radiation Model for solar irradiance estimates  
861 under all-sky conditions. *Renewable Energy* 93, 142-158.

862 Kaushika, N.D., Tomar, R.K., Kaushik, S.C., 2014. Artificial neural network model based  
863 on interrelationship of direct, diffuse and global solar radiations. *Solar Energy* 103, 327–  
864 342.

865 Khatib, T., Mohamed, A., Sopian, K., Mahmoud, M., 2012. Solar Energy Prediction for  
866 Malaysia Using Artificial Neural Networks. *International Journal of Photoenergy* 12, 16  
867 pages.

868 Khatiba, T., Mohameda, A., Sopian, K., 2012. A review of solar energy modeling  
869 techniques. *Renewable and Sustainable Energy Reviews* 16, 2864– 2869.

870 Kheradmand, S., Nematollahi, O., Reza Ayoobi, A., 2016. Clearness  
871 index predicting using an integrated artificial neural network (ANN) approach. *Renewable and*  
872 *Sustainable Energy Reviews* 58, 1357-1365.

873 Kribus, A., Kaftori, D., Mittelman, G., Hirshfeld, A., Flitsanov, Y., Dayan, A., 2006. A  
874 miniature concentrating photovoltaic and thermal system. *Energy Conversion and*  
875 *Management* 47, 3582-3590.

876 Kumar, R., Aggarwal, R.K., Sharma, J.D., 2013. Energy analysis of a building using  
877 artificial neural network: A review. *Energy and Buildings* 65, 352–358.

878 Lazzaroni, M., Ferrari, S., Piuri, V., Salman, A., Cristaldi, L., Faifer, M., 2015. Models for  
879 solar radiation prediction based on different measurement sites. *Measurement* 63, 346–363.

880 Linares-Rodriguez, A., Ruiz-Arias, J.A., Pozo-Vazquez, D., Tovar-Pescador, J., 2013. An  
881 artificial neural network ensemble model for estimating global solar radiation from Meteosat  
882 satellite images. *Energy* 61, 636-645.

883 Lopez, G., Batlles, F.J., Tovar-Pescador, J., 2005. Selection of input parameters to model  
884 direct solar irradiance by using artificial neural networks. *Energy* 30, 1675–1684.

885 Loutzenhien, P.G., Manz, H., Felsmann, C., Strachan, P.A., Frank, T., Maxwell, G.M., 2007.  
886 Empirical validation of models to compute solar irradiance on inclined surfaces for building  
887 energy simulation. *Solar Energy* 81, 254–67.

888 Mastrullo, R., Renno, C., 2010. A thermoeconomic model of a photovoltaic heat pump.  
889 *Applied Thermal Engineering* 30, 1959-1966.

890 MATLAB R2007b, 1994–2015 The Math Works, Inc., Massachusetts (United States).

891 Meade, N., Islam, T., 2015. Modelling European usage of renewable energy technologies  
892 for electricity generation. *Technological Forecasting & Social Change* 90, 497–509.

893 Mellit, A., Eleuch, H., Benghanem, M., Elaoun, C., Massi Pavan, A., 2013. An adaptive  
894 model for predicting of global, direct and diffuse hourly solar irradiance. *Energy Conversion*  
895 *and Management* 67, 117–124.

896 Mohanraj, M., Jayaraj, S., Muraleedharan, C., 2015. Applications of artificial neural  
897 networks for thermal analysis of heat exchangers - A review. *International Journal of*  
898 *Thermal Sciences* 90, 150-172.

899 Muneer, T., 2004. *Solar Radiation and Daylight Models*. Second Edition, Elsevier.

900 Noorian, A.M., Moradi, I., Kamali, G.A., 2008. Evaluation of 12 models to estimate hourly  
901 diffuse irradiation on inclined surfaces. *Renewable Energy* 33, 1406–12.

902 Polo, J., Ballestrín, J., Carra, E., 2016. Sensitivity study for modelling atmospheric  
903 attenuation of solar radiation with radiative transfer models and the impact in solar tower  
904 plant production. *Solar Energy* 134, 219–227.

905 Qazi, A., Fayaz, H., Wadi, A., Raj, R.G., Rahim, N.A., Khan, W.A., 2015. The artificial  
906 neural network for solar radiation prediction and designing solar systems: a systematic  
907 literature review. *Journal of Cleaner Production* 104, 1-12.

908 Renno, C., 2014. Optimization of a concentrating photovoltaic thermal (CPV/T) system used  
909 for a domestic application. *Applied Thermal Engineering* 67, 396-408.

910 Renno, C., De Giacomo, M., 2014. Dynamic simulation of a CPV/T system using the finite  
911 element method. *Energies* 7, 7395-7414.

912 Renno, C., Petito, F., 2013. Design and modeling of a concentrating photovoltaic thermal  
913 (CPV/T) system for a domestic application. *Energy and Buildings* 62, 392–402.

914 Renno, C., Petito, F., 2015. Choice model for a modular configuration of a point-focus  
915 CPV/T system. *Energy and Buildings* 92, 55-66.

916 Sahin, M., Kaya, Y., Uyar, M., 2013. Comparison of ANN and MLR models for estimating  
917 solar radiation in Turkey using NOAA/AVHRR data. *Advances in Space Research* 51, 891–  
918 904.

919 Sfetsos, A., Coonick, A.H., 2000 Univariate and multivariate forecasting of hourly solar  
920 radiation with artificial intelligence techniques. *Solar Energy* 68, 169–178.

921 Shaddel, M., Javan, D.S., Baghernia, P. 2016. Estimation of hourly global solar irradiation  
922 on tilted absorbers from horizontal one using Artificial Neural Network for case study of  
923 Mashhad. *Renewable and Sustainable Energy Reviews* 53, 59–67.

924 Sharaf, O.Z., Orhan, M.F., 2015. Concentrated photovoltaic thermal (CPVT) solar collector  
925 systems: Part II - Implemented systems, performance assessment, and future directions.  
926 *Renewable and Sustainable Energy Reviews*, 50, 1566-1633.

927 Teke, A., Yıldırım, H.B., Çelik, Ö., 2012. Evaluation and performance comparison of  
928 different models for the estimation of solar radiation. *Renewable and Sustainable Energy*  
929 *Reviews* 50, 1097–1107.

930 Triple-Junction Solar Cell for Terrestrial Applications, CTJ Photovoltaic Cell – 10 mm x 10  
931 mm, Datasheets Emcore September 2012, Emcore Corporation.

932 Voyant, C., Muselli, M., Paoli, C., Nivet, M.L., 2013. Hybrid methodology for hourly global  
933 radiation forecasting in Mediterranean area. *Renewable Energy* 53, 1-11.

934 Wang, Z., Wang, F., Su, S., 2011. Solar Irradiance Short-Term Prediction Model Based on  
935 BP Neural Network. *Energy Procedia* 12, 488-494.

936 Wild, M., Folini, D., Henschel, F., Fischer, N., Muller, B., 2015. Projections of long-term  
937 changes in solar radiation based on CMIP5 climate models and their influence on energy  
938 yields of photovoltaic systems. *Solar Energy* 116, 12–24.

939 Yacef, R., Benghanem, M., Mellit, A., 2012. Prediction of daily global solar irradiation data  
940 using Bayesian neural network: A comparative study. *Renewable Energy* 48, 146-154.

941 Yadav, A.K., Chandel, S.S., 2012. Artificial neural network based prediction of solar  
942 radiation for Indian stations. *Int. Journal of Computer Applications* 50, 1-4.

943 Yadav, A.K., Chandel, S.S., 2014. Solar radiation prediction using Artificial Neural Network  
944 techniques: A review. *Renewable and Sustainable Energy Reviews* 33, 772–781.

945 Yadav, A.K., Chandel, S.S., 2015. Solar energy potential assessment of western Himalayan  
946 Indian state of Himachal Pradesh using J48 algorithm of WEKA in ANN based prediction  
947 model. *Renewable Energy* 75, 675-693.

948 Yadav, A.K., Malik, H., Chandel, S.S., 2014. Selection of most relevant input parameters  
949 using WEKA for artificial neural network based solar radiation prediction models.  
950 *Renewable and Sustainable Energy Reviews* 31, 509–519.

951 Yadav, A.K., Malik, H., Chandel, S.S., 2015. Application of rapid miner in ANN based  
952 prediction of solar radiation for assessment of solar energy resource potential of 76 sites in  
953 Northwestern India. *Renewable and Sustainable Energy Reviews* 52, 1093-1106.

954 Yao, W., Li, Z., Xiu, T., Lu, Y., Li, X., 2015. New decomposition models to estimate hourly  
955 global solar radiation from the daily value. *Solar Energy* 120, 87-99.

956 Zervas, P.L., Sarimveis, H., Palyvos, J.A., Markatos, N.C.G., 2008. Prediction of daily  
957 global solar irradiance on horizontal surfaces based on neural-network techniques.  
958 *Renewable Energy* 33, 1796–1803.

959

960

961

962

963

964

965

966 **Figure captions**

967 Figure 1 Proposed methodology for ANN models development

968 Figure 2 Target location and its measurement stations for data collection

969 Figure 3 Scatterplots of four different ANN configurations for predicting the daily GR

970 Figure 4 Scatterplots of four different ANN configurations for predicting the daily DNI

971 Figure 5 Structure of the proposed neural networks for daily global radiation (a) and hourly  
972 direct irradiance (b)

973 Figure 6 Regression respect to the target in the training phase: (a) GR model GNT2, (b) DNI  
974 model DNT5

975 Figure 7 Comparison between measured and predicted daily GR values for University of  
976 Salerno

977 Figure 8 Comparison between measured and predicted daily GR values for different  
978 locations: (a) Sessa Aurunca, (b) Montemarano, (c) Greci, (d) Policastro Bussentino

979 Figure 9 Comparison between measured and predicted hourly DNI values

980 Figure 10 Hourly DNI in different climatic conditions

981 Figure 11 Electric energy demand of the residential building and monthly electric energy  
982 production of the PV and CPV/T systems

983 **Table captions**

984 Table 1 ANN characteristics summary of the literature analysis

985 Table 2 Correlation analysis for GR model input

986 Table 3 Number of input and nRMSE for the GR model

987 Table 4 Different topology configurations of the ANN model for daily GR

988 Table 5 Correlation analysis for DNI model input

989 Table 6 Number of input and nRMSE for the DNI model

990 Table 7 Different topology configurations of the ANN model for hourly DNI



- 991 Table 8 Calculated statistical parameters for different network topology in ANN model for  
992 GR
- 993 Table 9 Calculated statistical parameters for different network topology in ANN model for  
994 DNI
- 995 Table 10 Monthly direct fraction of the global radiation
- 996 Table 11 User energy loads and different systems energy production
- 997 Table 12 Literature comparison for proposed ANN models

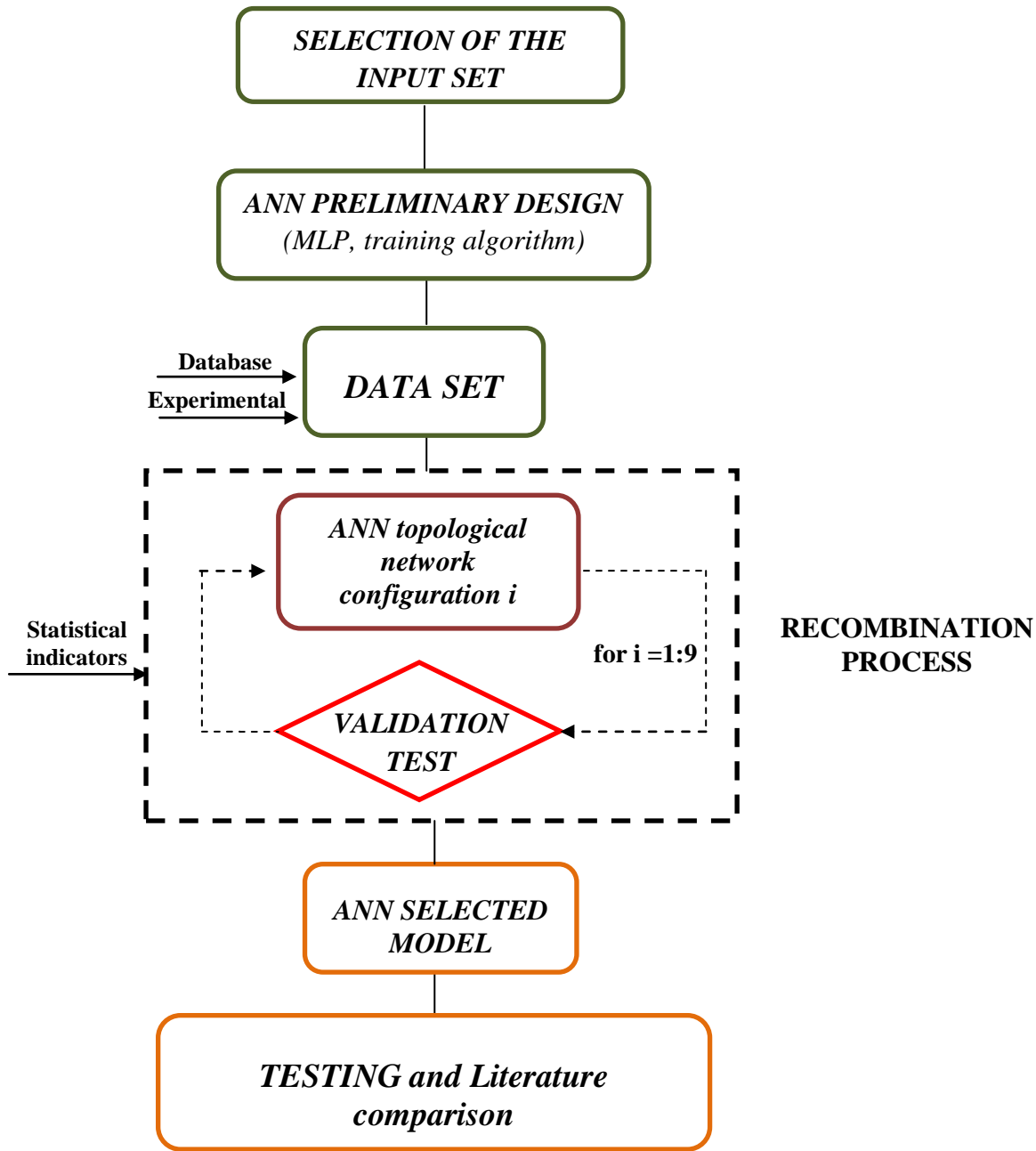


Figure 1 Proposed methodology for ANN models development



Figure 2 Target location and its surrounding measurement stations for data collection

Figure

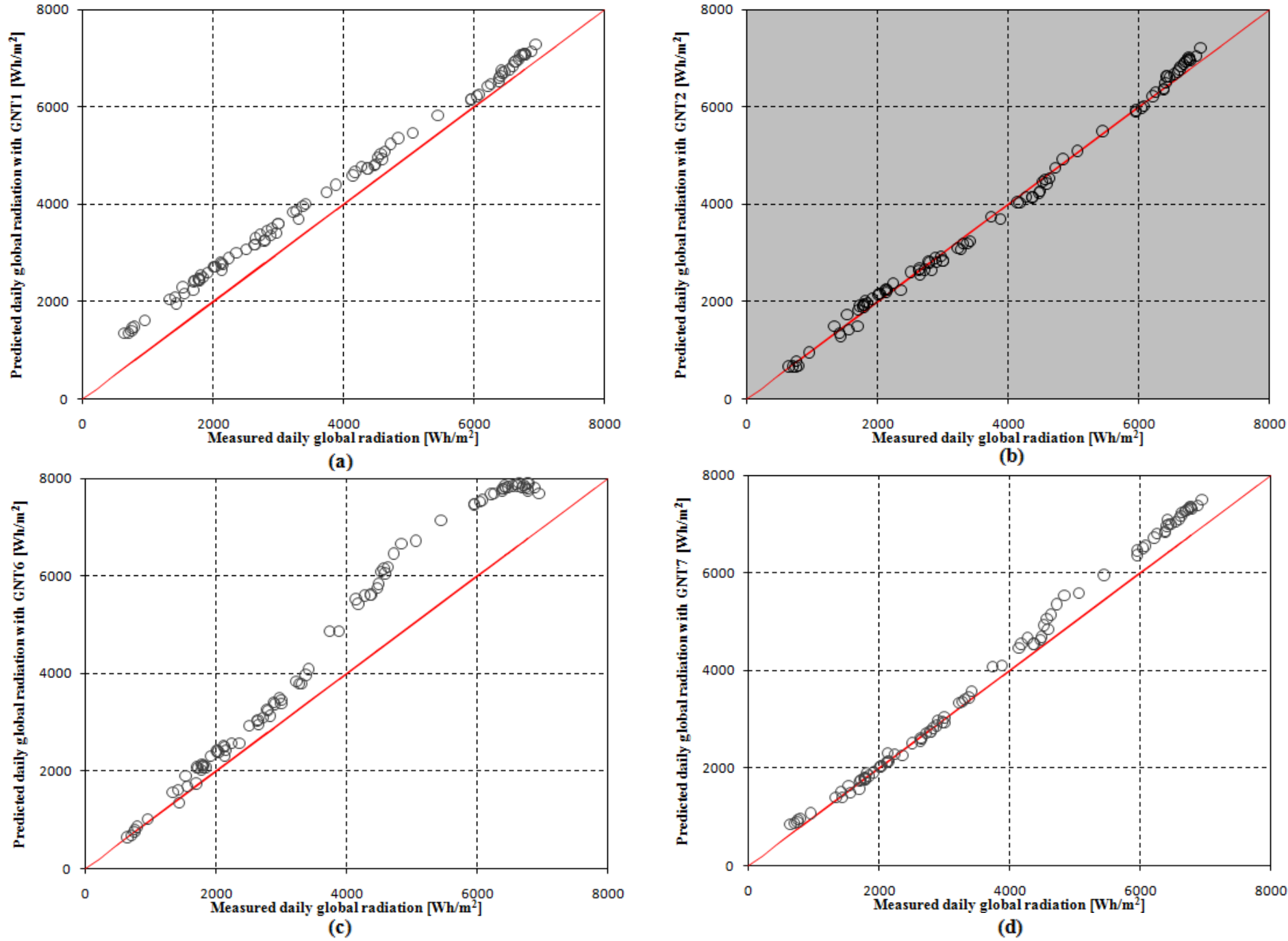


Figure 3 Scatterplots of four different configurations of the ANN for predicting daily GR

Figure

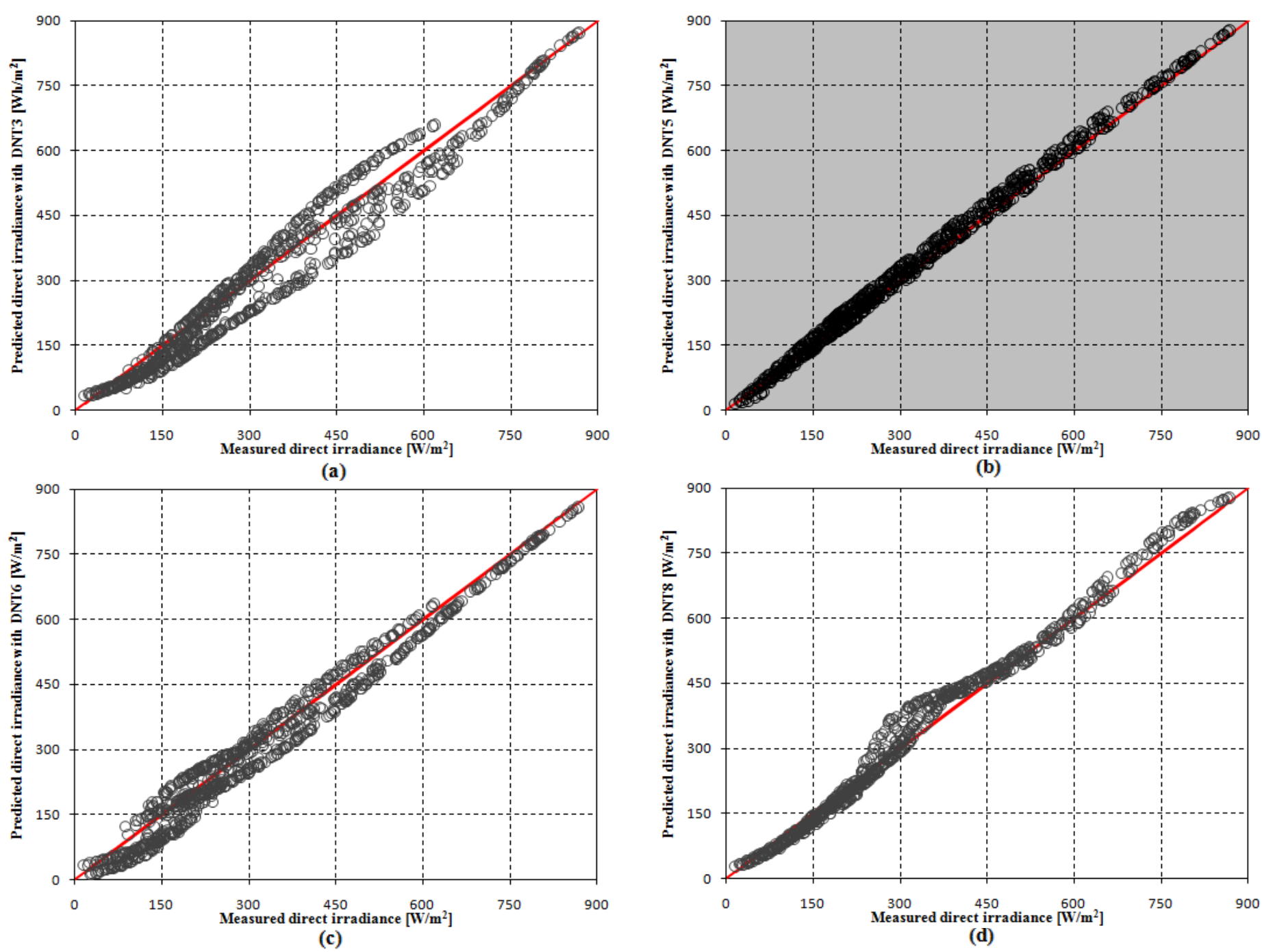


Figure 4 Scatterplots of four different configurations of the ANN for predicting hourly DNI

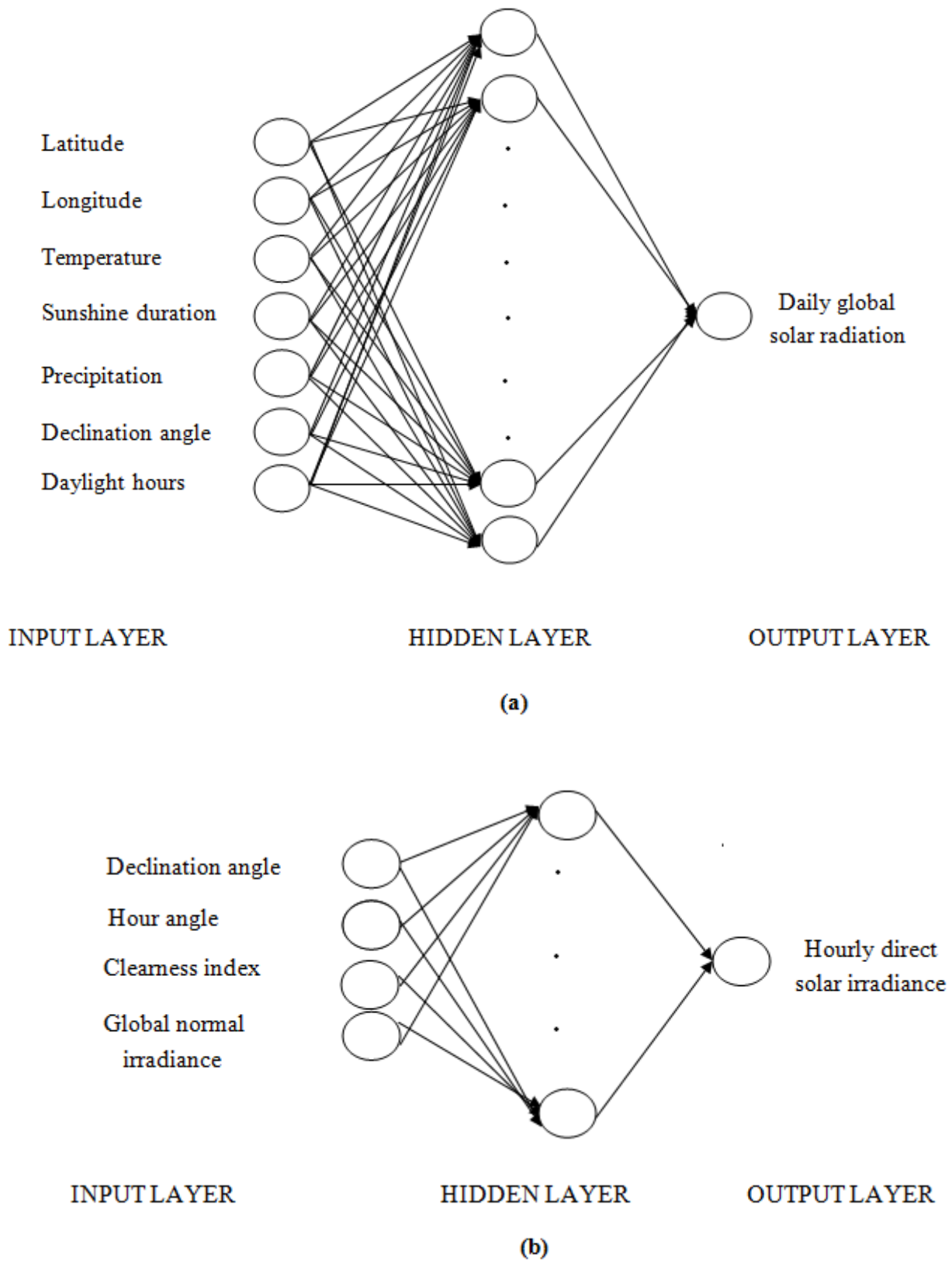


Figure 5 Structure of the proposed neural networks for: (a) daily global radiation, (b) hourly direct irradiance

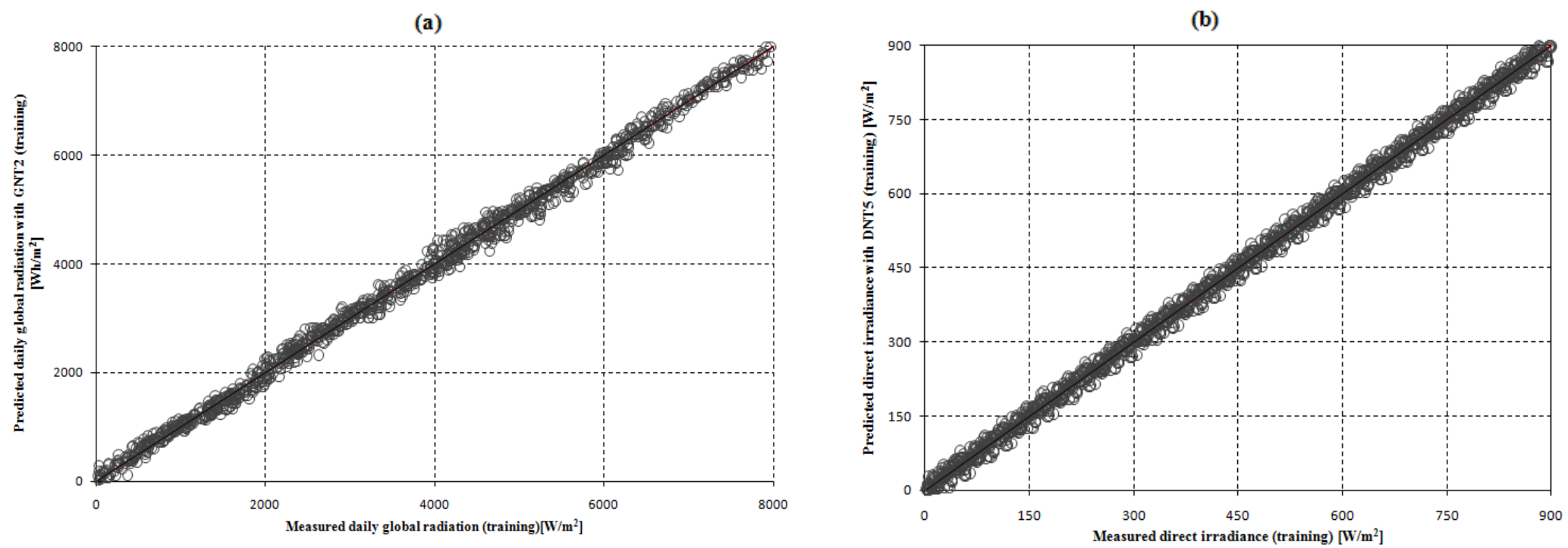


Figure 6 Regression respect to the target in the training phase: (a) GR model GNT2, (b) DNI model DNT5

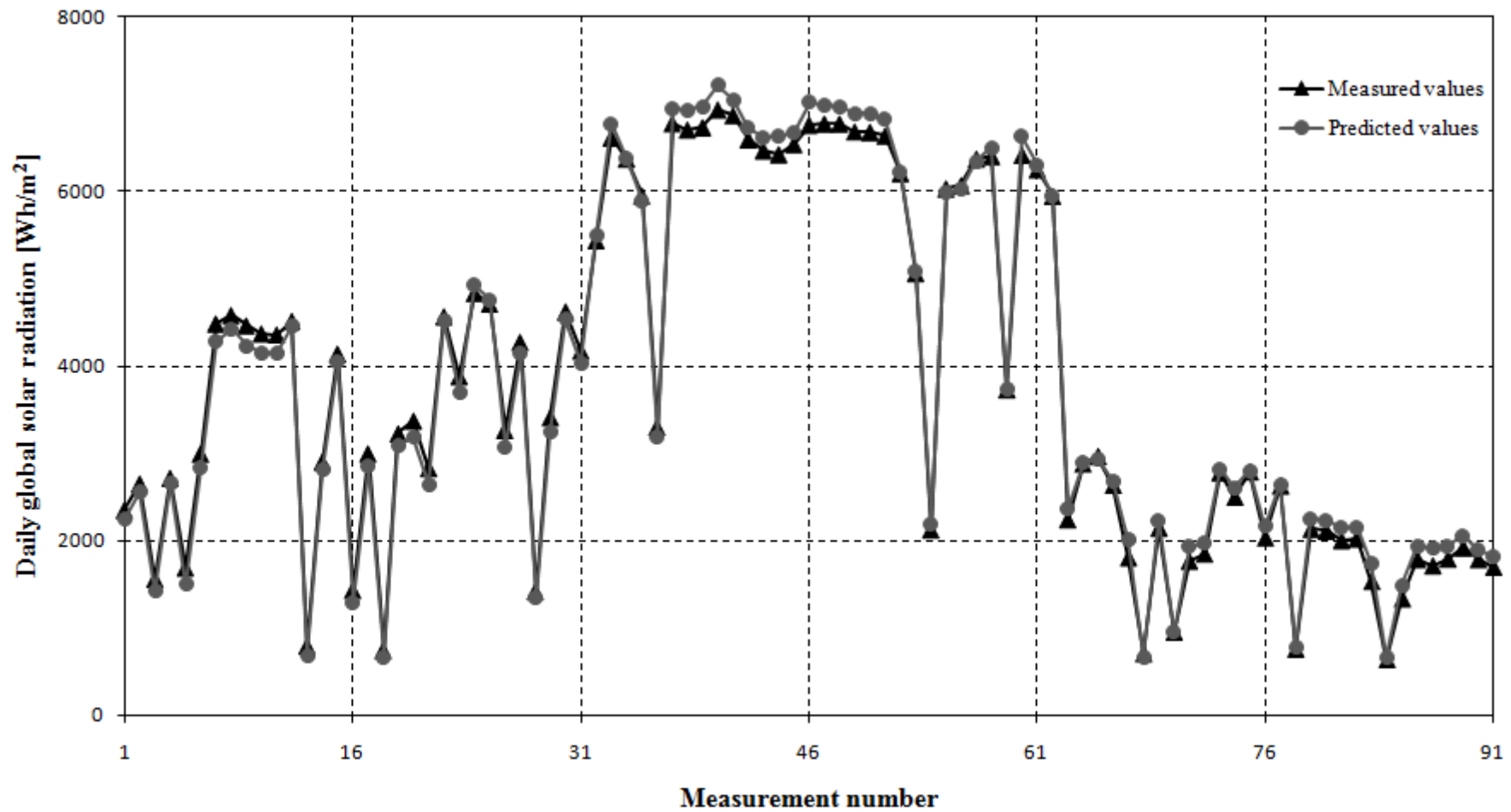
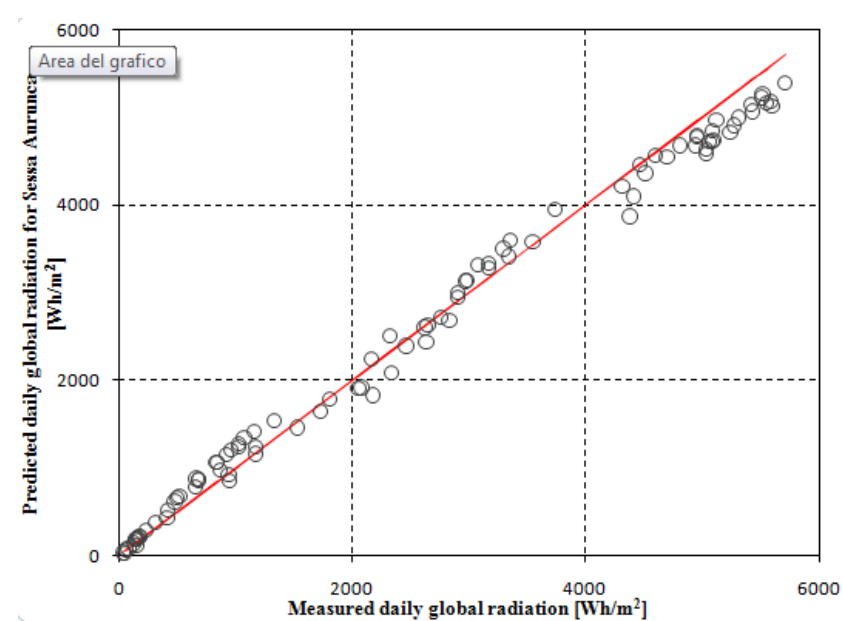


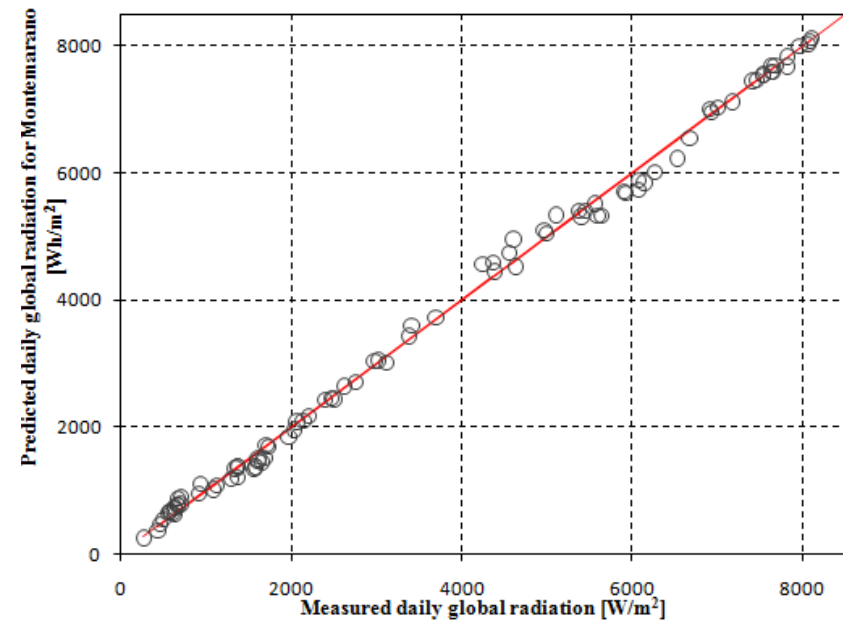
Figure 7 Comparison between measured and predicted daily GR values for University of Salerno



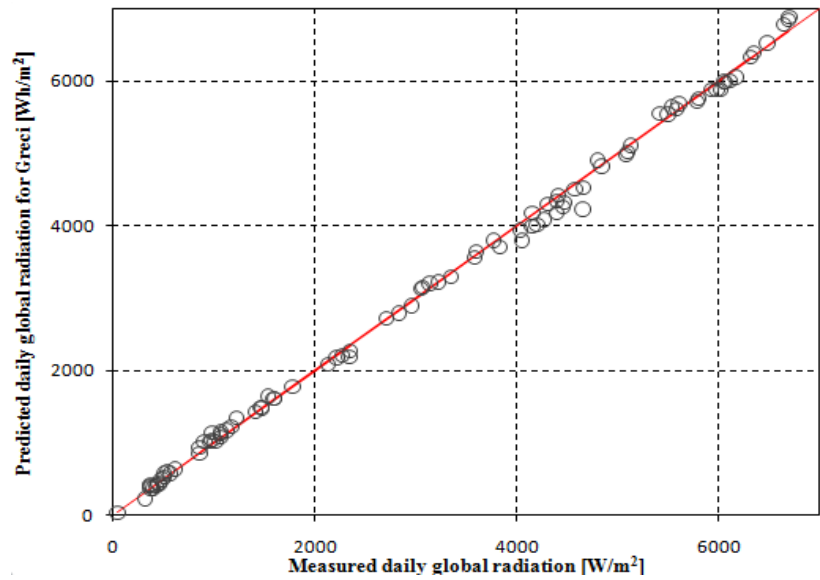
Figure



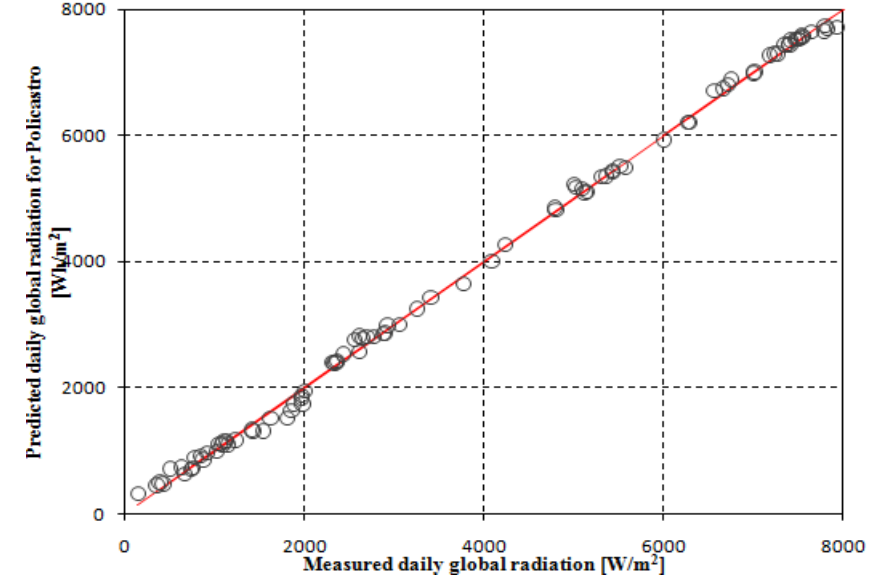
(a)



(b)



(c)



(d)

Figure 8 Comparison between measured and predicted daily GR values for different locations: (a) Sessa Aurunca, (b) Montemarano, (c) Greci, (d) Policastro Bussentino

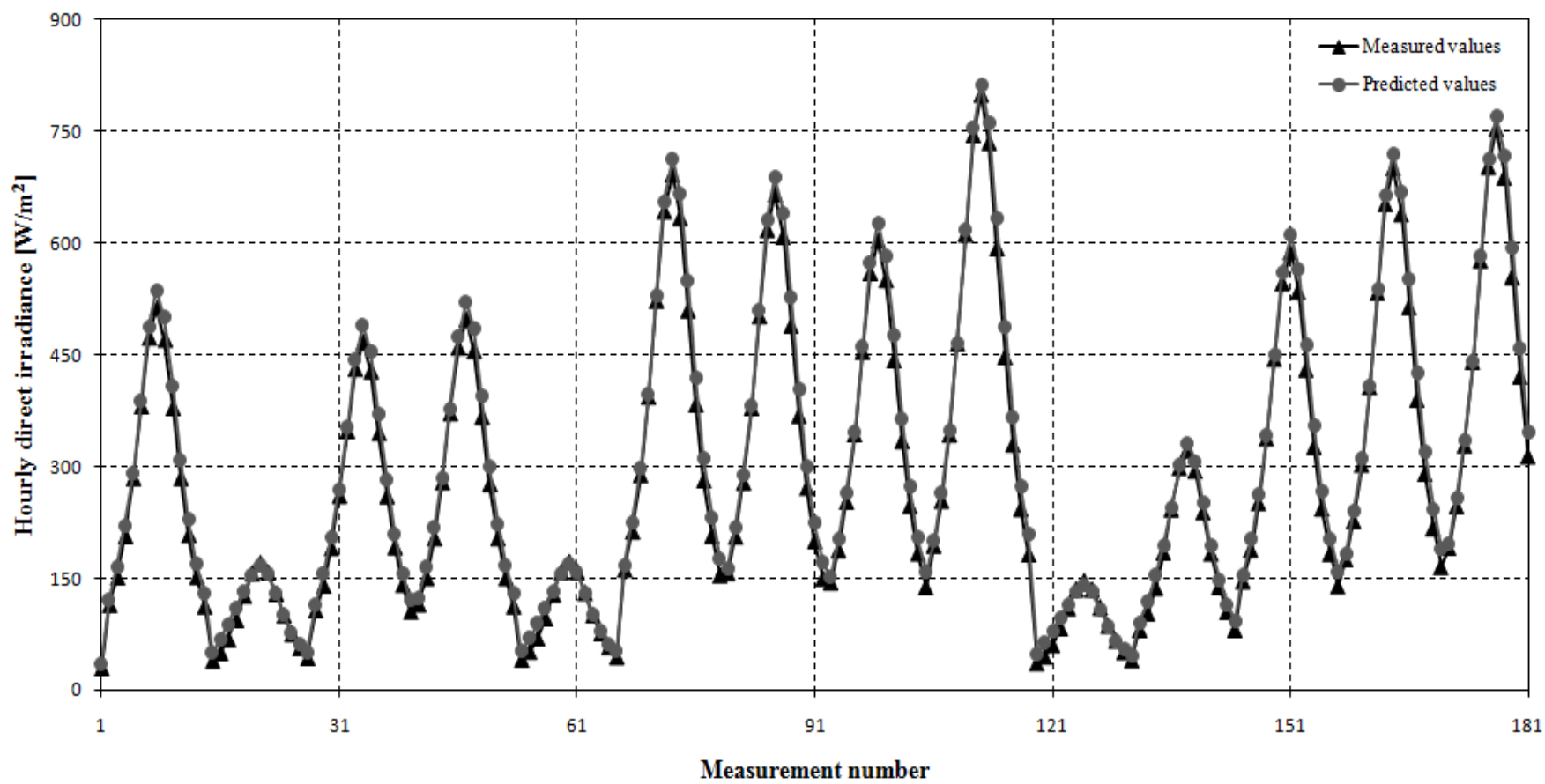


Figure 9 Comparison between measured and predicted hourly DNI values

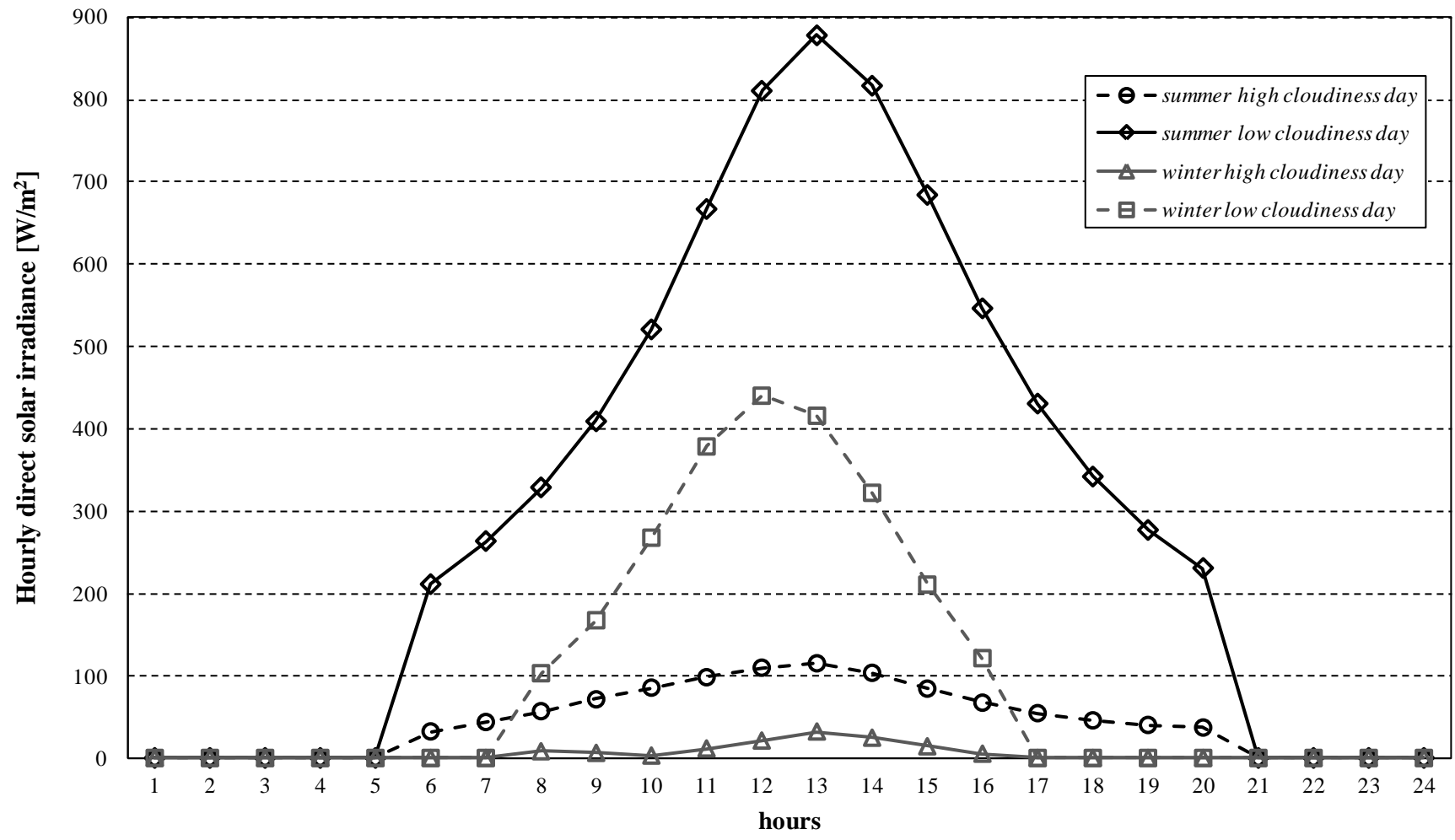


Figure 10 Hourly DNI in different climatic conditions

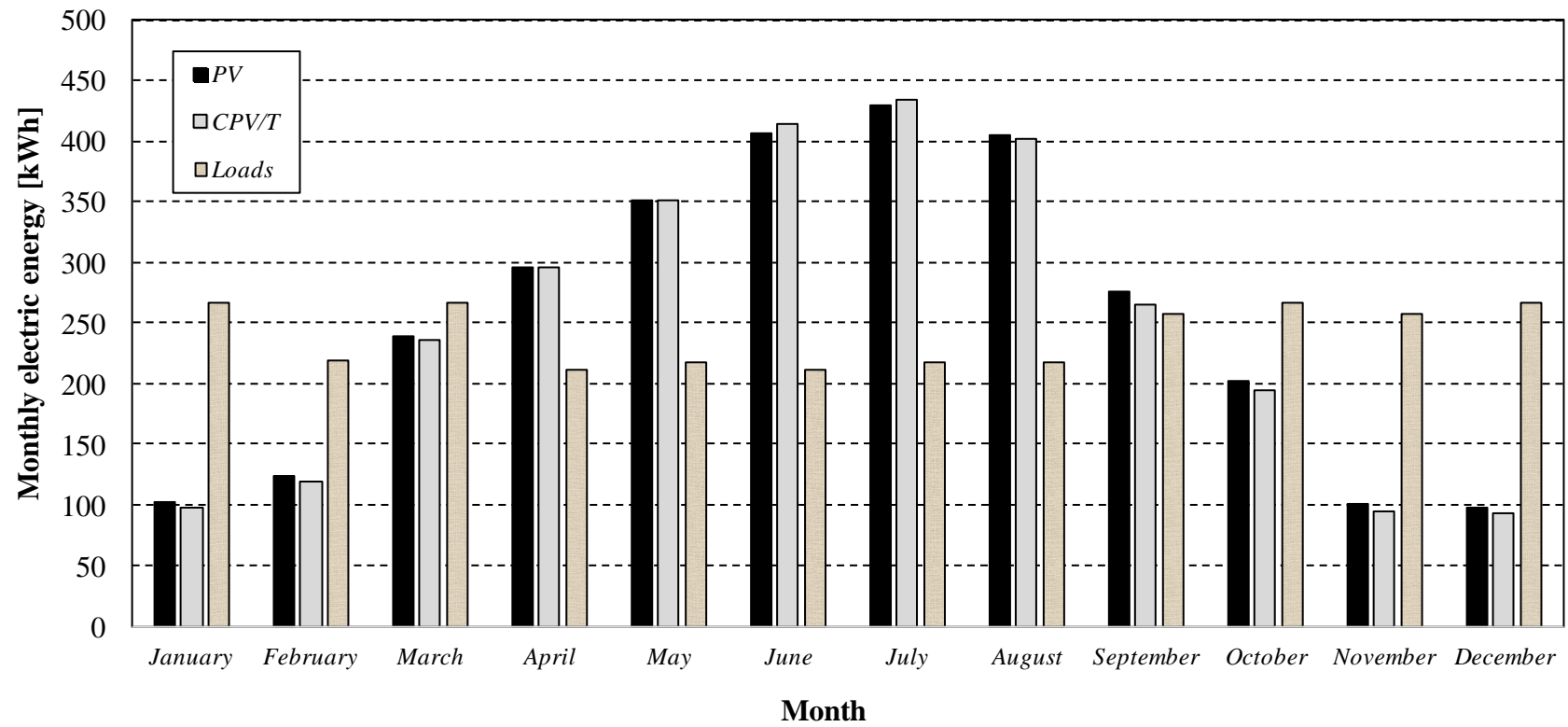


Figure 11 Electric energy demand of the residential building and monthly electric energy production of the PV and CPV/T systems

Table 1 ANN characteristics summary of the literature analysis.

<i>Model</i>	<i>Networks</i>	<i>No. hidden layers</i>	<i>No. hidden neurons</i>	<i>Training algorithm</i>	<i>Variables</i>	<i>Time level</i>	<i>Parameter of interest</i>	<i>Pros</i>	<i>Cons</i>
<i>Azadeh et al. 2009</i>	MLP	1	4	BP with momentum, pruning and weight decay	AV max T, AV min T, mean RH, mean VP, P, mean WS and mean SD	monthly	GR	1. Model tested for six different cities 2. Comparisons with the Angstrom model	1. Only monthly analysis conducted 2. Less performance for some cities
<i>Wang et al. 2011</i>	MLP	2	18 - 13	LM	6:00 am to 8:00 pm solar irradiance	hourly	GR	1. Data pretreatment 2. Error analysis in order to choose the best ANN configuration	1. No application or comparisons 2. Lack of testing for different locations
<i>Khatib et al. 2012</i>	MLP	1	-	BP	L <sub>t</sub> , L <sub>g</sub> , day number and sunshine ratio	daily	GR	1. Low number of input required (4) 2. Data from different location used for training and test	1. Indirect estimation of GR using the clearness index 2. It seems that the prediction is less accurate for very high values (high MSE)
<i>Behrang et al. 2010</i>	MLP and RBF	2, 1	3 - 3, 18	LM	Mean T, RH, SD, evaporation and WS	daily	GR	1. The effect of each meteorological variable is considered using six different input combinations 2. Comparison between different prediction models	1. Model tested only for Dezful (Iran)
<i>Zervas et al. 2008</i>	RBF	1	16	fuzzy proposed by Sarimveis [22]	Weather conditions and the duration of daylight	daily	GR	1. Less input information required 2. Investigation of the correlation between input and output using a Gaussian function	1. Subjective definition of weather condition 2. Model tested only for (37°58'26"N, 23°47'16"E)
<i>Benghanem et al 2010</i>	MLP and RBF	1,1	from 2 to 5, from 4 to 7	least squares approach	Day of the year, T, SD and RH	daily	GR	1. Lower nRMSE 2. Development of an application for estimating the sizing of a stand-alone PV system	1. Model tested only for Al-Madinah (Saudi Arabia) 2. The choice of the topological characteristics is not proved
<i>Yacef et al. 2012</i>	MLP and BNN	1,1	20, 2	LM	T, RH, SD and extraterrestrial irradiation	daily	GR	1. Development of a different ANN (BNN) 2. Comparisons between BNN, MLP and empirical models	1. Lesser model agreement 2. Model tested only for Al-Madinah (Saudi Arabia)
<i>Amrouche et al.2014</i>	two MLP	2	20 - 12	BP	temperature and global horizontal irradiance	daily	GR	1. The models are tested for two locations 2. Trainig phase conducted using	1. No application is provided

								data from different locations (4)	
<i>Bilgili et al. 2011</i>	MLP	1	10	LM	SD, T, WS and date of the year	daily	GR	<ol style="list-style-type: none"> <li>1. Development of different prediction model: MLP, multi linear regression and multi non-linear regression</li> <li>2. Evaluation of the input importance using "Stepwise" method</li> </ol>	<ol style="list-style-type: none"> <li>1. Less model agreement</li> <li>2. Model tested only for Adana (Turkey)</li> </ol>
<i>Mellit et al. 2013</i>	MLP	1	15	LM	Hourly T, RH, SD and irradiance	hourly	DNI	<ol style="list-style-type: none"> <li>1. Models for the prediction of global, direct and diffuse radiation</li> <li>2. Comparison between the feed-forward model and an adaptive model</li> </ol>	<ol style="list-style-type: none"> <li>1. Less value of <math>R^2</math></li> <li>2. Model tested only for Jeddah (Saudi Arabia)</li> </ol>
<i>Kaushika et al. 2014</i>	feed-forward	1	14	-	$L_t$ , $L_g$ , altitude, month, local mean time, monthly mean hourly rainfall, monthly mean hourly HR, monthly mean SD	monthly	DNI	<ol style="list-style-type: none"> <li>1. Very accurate DNI estimation</li> <li>2. For the model development they have been employed data from different stations</li> </ol>	<ol style="list-style-type: none"> <li>1. Indirect estimation of DNI using the clearness index</li> <li>2. High number of input parameter</li> </ol>

Table 2 Correlation analysis for GR model input

<i>Variables</i>	<i>Correlation to GR</i>
<i>Latitude (Lt)</i>	0.241
<i>Longitude (Lg)</i>	0.241
<i>Mean Temperature (T)</i>	0.667
<i>Sunshine Duration (SD)</i>	0.974
<i>Precipitation (P)</i>	-0.767
<i>Declination angle (<math>\delta</math>)</i>	0.788
<i>Daylight hours (H)</i>	0.786
<i>Humidity (Hu)</i>	-0.611
<i>Wind speed (WS)</i>	-0.524

Table 3 Number of input and nRMSE for the GR model

	<i>No. Input</i>	<i>Input</i>	<i>nRMSE</i>
1	5	Lt, Lg, SD, T, P	0.090
2	5	Lt, Lg, SD, T, $\delta$	0.095
3	5	Lt, Lg, SD, T, H	0.106
4	5	Lt, Lg, SD, T, HR	0.143
5	5	Lt, Lg, SD, T, W	0.154
6	6	Lt, Lg, SD, T, P, $\delta$	0.043
7	6	Lt, Lg, SD, T, P, H	0.050
8	6	Lt, Lg, SD, T, P, HR	0.063
9	6	Lt, Lg, SD, T, P, W	0.069
10	6	Lt, Lg, SD, T, $\delta$ , H	0.046
11	6	Lt, Lg, SD, T, $\delta$ , HR	0.066
12	6	Lt, Lg, SD, T, $\delta$ , W	0.092
13	6	Lt, Lg, SD, T, H, HR	0.087
14	6	Lt, Lg, SD, T, H, W	0.101
15	6	Lt, Lg, SD, T, HR, W	0.134
16	7	Lt, Lg, SD, T, P, $\delta$ , H	0.018
17	7	Lt, Lg, SD, T, P, $\delta$ , HR	0.055
18	7	Lt, Lg, SD, T, P, $\delta$ , W	0.089
19	7	Lt, Lg, SD, T, $\delta$ , H, HR	0.072
20	7	Lt, Lg, SD, T, $\delta$ , H, W	0.092
21	7	Lt, Lg, SD, T, H, HR, W	0.105



Table 4 Different topology configurations of the ANN model for daily GR

<i>ANN models for daily global radiation</i>			
<i>Network topology</i>	<i>Transfer functions</i>	<i>Number of hidden layers</i>	<i>Number of hidden neurons</i>
GNT 1	sigmoid - linear	1	8
GNT 2	sigmoid - linear	1	10
GNT 3	sigmoid - linear	1	12
GNT 4	tanh - tanh	1	8
GNT 5	tanh - tanh	1	10
GNT 6	tanh - tanh	1	12
GNT 7	tanh - tanh - linear	2	6 - 4
GNT 8	tanh - tanh - linear	2	5 - 3
GNT 9	tanh - tanh - linear	2	7 - 5

Table 5 Correlation analysis for DNI model input

<i>Variables</i>	<i>Correlation to DNI</i>
<i>Hour angle (HRA)</i>	-0.505
<i>Global normal irradiance (Gni)</i>	0.985
<i>Clearness index (Kt)</i>	0.929
<i>Declination angle (<math>\delta</math>)</i>	-0.657

Table 6 Number of input and nRMSE for the DNI model

	<i>No. Input</i>	<i>Input</i>	<i>nRMSE</i>
<i>1</i>	3	$G_{gi}$ . HRA. $K_t$	0.0458
<i>2</i>	3	$G_{gi}$ . HRA. $\delta$	0.0328
<i>3</i>	3	$G_{gi}$ . $K_t$ . $\delta$	0.0191
<i>4</i>	4	$G_{gi}$ . HRA. $K_t$ . $\delta$	0.00967

Table 7 Different topology configurations of the ANN model for hourly DNI.

<i>ANN models for hourly direct irradiance</i>			
<i>Network topology</i>	<i>Transfer functions</i>	<i>Number of hidden layers</i>	<i>Number of hidden neurons</i>
DNT 1	tanh - tanh	1	4
DNT 2	tanh - tanh	1	5
DNT 3	tanh - tanh	1	6
DNT 4	sigmoid - linear	1	4
DNT 5	sigmoid - linear	1	5
DNT 6	sigmoid - linear	1	6
DNT 7	tanh - tanh - linear	2	4 - 2
DNT 8	tanh - tanh - linear	2	3 - 2
DNT 9	tanh - tanh - linear	2	5 - 3

Table 8 Calculated statistical parameters for different network topology in ANN model for GR

<i>Evaluation of ANN models for global radiation</i>				
Configuration	RMSE [Wh/m <sup>2</sup> ]	MAPE [%]	MAE [Wh/m <sup>2</sup> ]	R <sup>2</sup>
GNT 1	568.0	24.8	501.7	0.9898
GNT 2	153.5	4.46	125.7	0.9923
GNT 3	473.2	21.6	371.2	0.9802
GNT 4	584.8	21.1	471.8	0.9928
GNT 5	341.8	7.59	278.2	0.9970
GNT 6	1033	20.1	847.0	0.9841
GNT 7	348.8	7.49	270.7	0.9926
GNT 8	592.3	12.1	469.3	0.9913
GNT 9	414.1	10.9	336.5	0.9882

Table 9 Calculated statistical parameters for different network topology in ANN model for DNI

<i>Evaluation of ANN models for direct irradiance</i>				
Configuration	RMSE [Wh/m <sup>2</sup> ]	MAPE [%]	MAE [Wh/m <sup>2</sup> ]	R <sup>2</sup>
DNT 1	18.4	8.08	15.6	0.9938
DNT 2	18.9	7.30	16.6	0.9949
DNT 3	45.1	14.1	36.5	0.9563
DNT 4	20.3	8.27	16.8	0.9955
DNT 5	17.1	5.38	13.4	0.9956
DNT 6	34.8	15.0	30.5	0.9745
DNT 7	30.7	10.5	26.2	0.9892
DNT 8	26.0	8.06	20.1	0.9883
DNT 9	49.2	17.3	42.5	0.9574

Table 10 Monthly direct fraction of global radiation.

<i>Month</i>	<i>Monthly Direct Radiation [kWh/m<sup>2</sup>]</i>	<i>Monthly Global Radiation [kWh/m<sup>2</sup>]</i>	<i>Monthly Diffuse Radiation [kWh/m<sup>2</sup>]</i>	<i>Direct fraction [%]</i>
<i>January</i>	35.87	45.22	8.67	79.3%
<i>February</i>	43.73	54.36	9.81	80.5%
<i>March</i>	87.72	104.7	15.5	83.7%
<i>April</i>	110.6	129.9	17.3	85.2%
<i>May</i>	134.2	154.4	17.9	86.9%
<i>June</i>	161.4	178.6	14.6	90.3%
<i>July</i>	169.6	188.2	15.8	90.1%
<i>August</i>	157.4	177.5	17.4	88.7%
<i>September</i>	102.9	121.0	16.2	85.1%
<i>October</i>	74.13	88.85	13.9	83.4%
<i>November</i>	35.39	44.57	8.51	79.4%
<i>December</i>	34.03	43.06	8.39	79.0%

Table 11 User energy loads and different systems energy production.

<i>Month</i>	<i>Energy Loads</i>			<i>PV System Energy</i>	<i>CPV/T System Energy</i>	
	<i>Electric [kWh<sub>e</sub>]</i>	<i>Thermal SHW [kWh<sub>th</sub>]</i>	<i>Cooling [kWh<sub>cool</sub>]</i>	<i>Electric [kWh<sub>el</sub>]</i>	<i>Electric [kWh<sub>el</sub>]</i>	<i>Thermal [kWh<sub>th</sub>]</i>
<i>January</i>	267.2	324.9	0.00	103.0	97.71	331.1
<i>February</i>	219.4	296.3	0.00	123.8	119.1	406.2
<i>March</i>	267.2	324.9	0.00	238.6	235.2	814.7
<i>April</i>	211.6	306.1	0.00	295.8	295.5	1028
<i>May</i>	218.6	304.6	0.00	351.7	351.6	1247
<i>June</i>	211.6	283.4	512	406.9	414.6	1499
<i>July</i>	218.6	284.3	1089	428.7	433.7	1576
<i>August</i>	218.6	281.2	1089	404.2	402.5	1462
<i>September</i>	258.6	275.1	512	275.6	264.5	956.0
<i>October</i>	267.2	292.9	0.00	202.4	194.4	688.5
<i>November</i>	258.6	294.8	0.00	101.5	94.67	328.7
<i>December</i>	267.2	316.3	0.00	98.07	92.56	316.4
<i>Total</i>	2884	3585	3202	3030	2996	10655



Table 12 Literature comparison for proposed ANN models

<i>Literature Comparison (ANN for daily GR)</i>						
<b>Models</b>	<b>MSE [Wh<sup>2</sup>/m<sup>4</sup>]</b>	<b>MAPE [%]</b>	<b>MAE [Wh/m<sup>2</sup>]</b>	<b>R<sup>2</sup></b>	<b>RMSE [Wh/m<sup>2</sup>]</b>	<b>nRMSE [%]</b>
<i>Proposed</i>	25696	4.57%	131.2	0.9918	160.3	3.54%
<i>Azadeh et al. [22]</i>	-	3.00%	-	0.980	-	2.60%
<i>Wang et al. [23]</i>	-	-	-	0.991 ; 0.964	-	3.31% ; 4.50%
<i>Khatib et al. [24]</i>	135719	5.20%	-	-	342.0	7.96%
<i>Behrang et al. [25]</i>	-	5.21% ; 5.56%	-	0.9957 ; 0.9952	-	-
<i>Zervas et al. [26]</i>	-	-	-	0.985	-	-
<i>Benghanem et al. [27]</i>	-	-	-	0.976	-	1.31%
<i>Yacev et al. [28]</i>	-	-	-	0.9299	-	8.42%
<i>Bilgili et al. [30]</i>	-	9.23%	278.0	0.9508	-	-
<i>ANN for hourly DNI</i>						
<b>Model</b>	<b>MAPE [%]</b>	<b>RMSE [W/m<sup>2</sup>]</b>	<b>R<sup>2</sup></b>			
Proposed	5.57	17.7	0.994			
Mellit et al. [33]	-	-	0.967			
Kaushika et al. [34]	-	14.5	-			