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Title: High-Pressure Homogenization treatment to recover bioactive compounds from tomato peels

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Abstract: By-products of tomato processing are rich in bioactive compounds and their recovery might bring significant economic and environmental benefits. High-pressure homogenization (HPH) (1-10 passes at 100 MPa) was used as a disruption method to recover valuable compounds from tomato peels, using solely water as process medium. Micronization of tomato peels suspensions by HPH reduced their size distribution below the visual detection limit, because of the complete disruption of individual plant cells. With respect to high-shear mixing (5 min at 20000 rpm), HPH processing (10 passes) caused an increased release of intracellular compounds, such as proteins (+70.5%), and polyphenols (+32.2%) with a corresponding increase in antioxidant activity (+23.3%) and reduction in oil-water interfacial tension (-15.0%). Remarkably, also the release of water-insoluble lycopene in the aqueous supernatant increased, enabling the recovery of up to 56.1% of the initial peel content, well above what reported when using organic solvents or supercritical CO2.



Manuscript title: "High-Pressure Homogenization treatment to recover bioactive compounds from tomato peels"

Dear Editor,

On behalf of my coauthors, I would like to submit the present article for consideration for possible publication in the *Journal of Food Engineering*.

The manuscript concerns the work that the research groups involved (from the University of Salerno and ProdAl Scarl, from the University of Zagreb and from Unilever R&D Vlaardingen) have carried out in the last years for the valorization of agro-food industrial residues, through the recovery of bioactive compounds.

In particular, this contribution is the continuation and the expansion of the initial work reported in the article "Novel approaches to oil structuring via the addition of high-pressure homogenized agri-food residues and water forming capillary bridges", recently published in the *Journal of Food Engineering* (Journal of Food Engineering 236 (2018) 9-18).

The main contribution of this work, in terms of novelty and originality, is the development of the concept of unlocking the intracellular compounds using a physical disruption, yet mild, technology, such as the high-pressure homogenization. In particular, the results have shown that the recovery of lycopene positively compares with previous literature data, even though only water was used as extraction solvent, rather than mixtures of organic solvents or supercritical CO<sub>2</sub>. This is quite remarkable, considering that lycopene is not soluble in water; a possible explanation, discussed in the manuscript, is the formation of complexes of lycopene with hydrocolloids, which could be exploited as natural delivery systems.

In terms of impact, the results of our study pave the way towards the application in the food industry of a green, sustainable and purely physical process for the valorization of agro-food by-products and residues.

On behalf of the authors, I declare that we have consulted the Guide for Authors in preparing the submitted manuscript, and confirm that we have prepared the manuscript in compliance with the Ethics in Publishing Policy as described in the Guide for Authors. In addition, I also declare no conflict of interest.

Independently on the final evaluation, I would like to thank you for the opportunity of being considered for this Journal, as well as of receiving important suggestions for the improvement of our work.

Best regards,

Francesco Donsì

## Highlights

- Tomato peel suspensions were treated in water by high-pressure homogenization (HPH)
- Water was used as a process medium, without the need for organic solvents
- HPH caused the size reduction of tomato peels below the visual detection limit
- HPH increased the release of intracellular compounds (protein, sugars, bioactives)
- 10 HPH passes extracted in water 56.1% of the total lycopene content of the peels

## 1 High-Pressure Homogenization treatment to recover

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# bioactive compounds from tomato peels

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#### 26 Abstract

By-products of tomato processing are rich in bioactive compounds and their recovery might 27 bring significant economic and environmental benefits. High-pressure homogenization (HPH) 28 (1-10 passes at 100 MPa) was used as a disruption method to recover valuable compounds 29 from tomato peels, using solely water as process medium. Micronization of tomato peels 30 suspensions by HPH reduced their size distribution below the visual detection limit, because 31 32 of the complete disruption of individual plant cells. With respect to high-shear mixing (5 min at 20000 rpm), HPH processing (10 passes) caused an increased release of intracellular 33 compounds, such as proteins (+70.5%), and polyphenols (+32.2%) with a corresponding 34 increase in antioxidant activity (+23.3%) and reduction in oil-water interfacial tension (-35 36 15.0%). Remarkably, also the release of water-insoluble lycopene in the aqueous supernatant increased, enabling the recovery of up to 56.1% of the initial peel content, well above what 37 38 reported when using organic solvents or supercritical CO<sub>2</sub>.

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40 **Keywords**: *High-pressure homogenization*; *Tomato peels*; *Lycopene*; *Bioactive compounds*;

41 Agro-food by-products; Natural functional ingredients

#### 43 **1. Introduction**

Tomato processing by-products are generally used as animal feed or compost, despite they are still rich in high value-added compounds, hence projecting significant economic and environmental benefits from their exploitation as functional food ingredients.

47 On a dry basis, the tomato processing by-products (peels and seeds) are rich in polyphenols (>

48 1000 mg GAE/kg (Nour et al., 2018)), fibers (about 50 wt % (Nour et al., 2018)), proteins

49 (between 10 (Elbadrawy and Sello, 2016) and 18 wt % (Nour et al., 2018)), and carotenoids,

such as β-carotene (about 95 mg/kg (Nour et al., 2018)) and lycopene (about 500-800 mg/kg
(Nobre et al., 2009; Nour et al., 2018)).

Si (10010 et al., 2009, 1001 et al., 2010)).

Lycopene which is the main component of tomato-residues carotenoids is found to have 52 significant beneficial effects on human health (Story et al., 2010). It is accumulated in higher 53 concentrations in tomato peels (33.2 % - 72.3 %) than in the flesh (Zuorro et al., 2011), which 54 is motivating the interest in the valorization of the tomato processing by-products, which 55 consists mainly of tomato peels (Viuda-Martos et al., 2014). However, it is important to 56 remark that lycopene is found predominantly in the chromoplast of plant tissues. During the 57 58 ripening process of tomatoes, chloroplasts undergo transformation to chromoplasts and lycopene biosynthesis increases dramatically (Kirk and Tilney-Bassett, 1978). Lycopene, as a 59 60 highly hydrophobic molecule, is located inside the vesicles generated from the inner membrane of the plastid and is arranged exclusively within the inner part of the lipid bilayer 61 (Fiedor and Burda, 2014). In addition, within the vegetable cells, lycopene is present in a 62 complexed form with proteins (Agarwal et al., 2001a; Erdman et al., 1988). Because of all 63 these reasons, lycopene recovery from tomato peels requires intensive thermal or mechanical 64 treatments and organic solvents. 65

In general, also the other active ingredients of tomato peels are tightly locked inside the plant 66 67 cells, with consequent significant resistances to mass transfer during conventional extraction processes, such as solvent, enzymatic or thermal extraction (Chan et al., 2014; Franco et al., 68 69 2007). Because of that, novel methods are under investigation, for the partial or total disintegration of the vegetable cells. Polysaccharides are predominantly located between the 70 71 primary and secondary cell walls, whereas proteins (with a prevalent amino acid content of glutamic acid, aspartic acid, arginine, leucine, lysine (Elbadrawy and Sello, 2016; Nour et al., 72 2018)), phenols (in particular caffeic, protocatechuic, vanillic, and gallic acid and catechin 73 (Elbadrawy and Sello, 2016)), and other antioxidants (in particular flavonoids, β-carotene and 74

Iycopene (Nour et al., 2018)), along with lipids (in particular, fatty acids (Elbadrawy and Sello, 2016; Nour et al., 2018)), are found in the inner bodies of the cells, i.e. vacuoles and lipid vesicles. Therefore, the permeabilization of the primary cell membranes, such as those induced by Pulsed Electric Fields (PEF) (Pataro et al., 2018a), might not be sufficient, and more intensive or selective processes are needed to open up the secondary membranes (Donsì et al., 2013, 2010).

For example, high-shear mixing (HSM), routinely used to prepare foams, emulsions, and 81 suspensions, is able to mill coarse particles suspended in a fluid, under the strong shear forces 82 83 generated by the high rotation speed of 10,000-20,000 rpm (Chen et al., 2014). However, literature data have shown that this process is able to disaggregate cell lumps, but not to 84 85 efficiently disrupt vegetable cells (Mustafa et al., 2018). High-pressure homogenization (HPH) has been reported to be a fast and effective method to micronize plant tissue in 86 87 suspension and to unlock the bioactive compounds entrapped in cells, with high extraction yields (Mustafa et al., 2018; Pataro et al., 2018b; Shouqin et al., 2004). Moreover, HPH is 88 able to produce a homogeneous size distribution of the vegetable particles suspended in a 89 liquid, by forcing the liquid under the effect of pressure through a specifically designed 90 homogenization valve (Patrignani and Lanciotti, 2016). High-pressure homogenization (HPH) 91 is a technique specifically suitable for industrial applications, because of the ease of operation, 92 scalability, reproducibility, and high throughput (Liedtke et al., 2000; Schultz et al., 2004). 93

94 The main objective of this work is to investigate the potential of HPH processing of 95 suspensions of tomato peels in water to induce high levels of cell disruption and high yields of 96 recovery of intracellular compounds, such as lycopene, total polyphenols, proteins, and 97 polysaccharides. The final goal of this research is, hence, to investigate the possibility of full 98 exploitation of the by-products of the tomato processing industry, achieving the concept of 99 zero residual waste, and developing a green, sustainable process, which uses water as 100 recovery medium.

#### **102 2. Materials and Methods**

HPLC grade methanol, ethanol, acetonitrile, and 2,4,6-tripyridyl-S-triazine (TPTZ) were 103 supplied from Sigma-Aldrich (Steinheim, Germany). Sulphuric acid was purchased from 104 Sigma Aldrich (St. Louis, USA). Analytical grade formic acid was purchased from Riedel-105 106 deHaën (Seelze, Germany). The chemicals for total polyphenols (Folin-Ciocalteau reagent), lycopene standard, bovine serum albumin (A7030) standard, and D-Glucose (G8270) standard 107 were purchased from Sigma-Aldrich (Milan, Italy). Peanut oil (Sagra, Italy) was bought from 108 a local supermarket. Piccadilly tomatoes were purchased from a local market and were 109 110 immediately (fresh) used for this research. Figure 1. depicts the experimental workflow, including sample preparation, treatments carried out and analysis of samples. 111

112

113 [Figure 1 here]

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#### 115 *2.1. Pretreatment of the samples*

Tomatoes were blanched according to conventional methods adopted in a processing factory 116 (FDP s.r.l., Fisciano, Italy) at 95 °C in a steam oven for 3 min (Pataro et al., 2018b). Blanched 117 118 tomatoes were then immediately ice-cooled and manually peeled with a laboratory blade. The pulp was completely removed and fresh tomato peels were ready for further processing. The 119 moisture content of the tomato peels after preparation was 80 wt %. The tomato peels were 120 mechanically milled in a laboratory blender to the size of 1-2 mm and then distilled water was 121 122 added to a final concentration of 10 wt % of tomato peels. The suspension was immediately used for the HSM and HPH treatments. 123

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#### 125 2.2. High-shear mixing and high-pressure homogenization

Tomato peels suspensions (300 mL) were subjected to HSM at 20000 rpm for 5 min with a T-25 Ultra Turrax device (IKA, Germany) equipped with an S25 N18 G rotor. In order to avoid any temperature rises, the treatment was carried out in an ice bath. Additionally, before HPH processing, to prevent the blockage of the homogenization valve, the HSM suspensions were sieved with a mesh size of 600  $\mu$ m as a precaution. Sieving removed only a small fraction of the solids from the suspension (the final concentration always remained > 9.75 wt %). HPH

was carried out using an orifice valve assembly (orifice diameter of 150 µm) at 100 MPa for 132 up to 10 passes. A tube-in-tube heat exchanger was used immediately upstream and 133 downstream of the orifice valve, in order to ensure that the product temperature was always 134 kept below 24 °C. 15 mL of extracts were taken after 1, 3, 5, 7 and 10 passes for further 135 analyses. HSM samples were used as the controls for the corresponding HPH samples. The 136 adopted sample labels are as follows: HSM for high-shear mixing, HPH, followed by a 137 number, for high-pressure homogenization for different passes; for example, HPH 1 indicates 138 one pass, and HPH 10 indicates 10 passes of high-pressure homogenization. 139

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#### 141 2.3. Macro and microscope imaging and particle size measurement

After HSM and HPH treatments, the suspensions (2 mL) were poured in small Petri dishes to 142 acquire photographs with a Nikon Coolpix S7000 camera. Microscopic images were acquired 143 with an inverted optical microscope (Nikon Eclipse TE2000-S) at 100× magnification. The 144 particle size distribution of the obtained suspensions was characterized by light diffraction 145 146 (Malvern Mastersizer 2000, Malvern Instruments Ltd., UK), and expressed in terms of the characteristic diameters d(0.1), d(0.5), d(0.9) as well as of the volume weighted mean 147 diameter D[4,3] and surface weighted mean diameter D[3,2], as previously discussed 148 149 (Mustafa et al., 2018).

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#### 151 2.4. Recovery of aqueous supernatant and pellet fractions from tomato peels suspensions

The tomato peels suspensions, treated by HSM and HPH, were subjected to centrifugation for 10 min at 5 °C and 6500 rpm (PK121R model, ALC International, Cologno Monzese, IT), in order to separate the aqueous supernatant, containing the intracellular compounds released during the treatment, from the cell debris. After centrifugation, the supernatant was filtered through Whatman no. 4 filters under vacuum, to remove residual particles. The obtained aqueous supernatant was then used directly for the analysis of total polyphenols, antioxidant activity, total sugars, total proteins, lycopene and surface activity.

Furthermore, the aqueous supernatant was evaporated in a Büchi Rotavapor R-300 Evaporator
System until dry and was resuspended in the same volume of ethyl lactate for
spectrophotometric analysis, or in acetone for HPLC analysis.

In contrast, the pellet, recovered from centrifugation, was subjected to solvent (acetone or ethyl lactate) extraction for the quantification of residual lycopene. In particular, 1 g of the pellet was extracted with acetone or ethyl lactate (60 mL) under agitation in a thermostated orbital shaker at 180 rpm and 20 °C in the dark. After 1 h extraction, the solvent pellet extracts were subjected to centrifugation for 10 min at 5 °C and 6500 rpm and then filtered through Whatman no. 4 filter paper. Ethyl lactate extracts were used for UV-Vis analyses. Acetone extracts were used for the determination of lycopene by HPLC.

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#### 170 *2.5. Total polyphenols*

The total polyphenols content in the aqueous supernatant was determined by adopting a previously proposed method (Slinkard and Singleton, 1977) with slight modifications. The supernatant (1 mL) was added to a test tube along with 5 mL of Folin Ciocalteu reagent (diluted 1:10 with distilled water). 4 mL of 7 % Na<sub>2</sub>CO<sub>3</sub> was then added, vortexed and left in a dark chamber for 1 h at room temperature. Distilled water was used as a blank. Absorbance was then measured at 765 nm. Gallic acid was used as a calibration standard. Results were expressed as mg Gallic Acid Equivalents (GAE) per volume (L) of the aqueous supernatant.

178

#### 179 2.6. Antioxidant activity - FRAP

180 The antioxidant activity of the aqueous supernatant was evaluated by ferric reducing 181 antioxidant power (FRAP) assay (Benzie and Strain, 1996), modified as described by 182 Bobinaite et al. (Bobinaite et al., 2015). A standard calibration curve was obtained for 183 ascorbic acid so that the FRAP values were expressed as µmol of ascorbic acid equivalents 184 (µmol AA) per volume (L) of the aqueous supernatant.

185

#### 186 *2.7. Total proteins*

187 The total water-soluble protein concentration in the aqueous supernatant was determined 188 using the Lowry method (Lowry et al., 1951) with some changes. Briefly, The Folin-189 Ciocalteau reagent was initially diluted in distilled water (1:2, v/v) then 0.5 mL of the diluted 190 reagent was added to 1 mL of supernatant. Previously, supernatant was mixed with 5 mL of 191 the reactive C – 50 volumes of reagent A – 2 % (w/v) Na<sub>2</sub>CO<sub>3</sub> + 0.1 mol dm<sup>-3</sup> NaOH and with 192 1 mL of reactive B –  $\frac{1}{2}$  volume of 0.5 % (w/v) CuSO<sub>4</sub>·5H<sub>2</sub>O +  $\frac{1}{2}$  volume of 1 % 193 KNaC<sub>4</sub>H<sub>4</sub>O<sub>6</sub>·4H<sub>2</sub>O). 35 minutes after the start of the chemical reaction, absorbance was 194 measured at 750 nm against a blank (5 mL reactive C + 1 mL of distilled water + 0.5 mL 195 Folin-Ciocalteau reagent) using a V-650 Spectrophotometer (Jasco Inc. Easton, MD, USA). 196 Bovine serum albumin (BSA) standard was used for the calibration curve so that the results 197 were expressed as mg equivalent of BSA per volume (L) of the aqueous supernatant.

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#### 199 2.8. Total sugars

The total sugars concentration in the aqueous supernatants were determined by the DuBois method (Dubois et al., 1956). Briefly, 0.2 mL of 5 wt % phenol and 1 mL of concentrated sulfuric acid was added to 0.2 mL of previously diluted supernatant in the test tube. Samples were incubated at 25 °C for 30 minutes in the dark before reading the absorbance at 490 nm against a blank (distilled water). D-Glucose was used as a calibration standard, and the results were expressed as mg of equivalent to D-Glucose per L of the aqueous supernatant.

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#### 207 2.9. Oil-water interfacial tension measurements

The pendant drop method was used to measure the interfacial tension of the aqueous fraction of the tomato peels suspensions treated by HSM or HPH against peanut oil. The supernatant was recovered from the samples subjected to centrifugation for 10 min at 5 °C and 6500 rpm and then filtered through a Whatman no. 4 filter paper. Details are given elsewhere (Donsì et al., 2012). The interfacial tension was measured in dynamic mode, during 1000 s, over 200 frames, using a CAM200 apparatus (KSV Instruments, Finland) equipped with an image analyzer software (CAM 101, KSV Instruments).

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#### 216 2.10. UV-Vis spectrometry and HPLC lycopene analyses

UV-Vis spectra analysis was performed for a wavelength range between 200 and 700 nm for tomato peel water aqueous supernatant, and the pellet extracts in ethyl lactate, as obtained from the centrifugation of the tomato peels aqueous suspensions treated by HSM (control) and HPH (1, 3, 5, 7 and 10 passes). Lycopene in ethyl lactate was quantified from UV-Vis spectrophotometer (Jasco Inc., Easton, USA) reading at 472 nm, upon obtaining a calibration curve for lycopene standard in ethyl lactate and is expressed as mg of lycopene per L of extract. Lycopene in the aqueous supernatant was determined from UV-Vis spectrophotometer (Jasco Inc., Easton, USA) reading at 350 nm, in correspondence of the absorbance maxima observed for complexes of lycopene with bovine serum albumin (Galdón et al., 2013).

The lycopene content in the acetone extracts of the dried aqueous supernatant or of the pellet 227 obtained from the centrifugation of the tomato peel suspensions was measured by reversed-228 phase high-performance liquid chromatography using isocratic elution and UV detection at 229 472 nm (Waters, Belgium). A carotenoid C30 reversed-phase column (250 × 4.6 ID, 3 μm) 230 from YMC Corporation (Waters, Belgium) was used with MeOH/isopropyl alcohol/THF 231 232 (30:30:35) containing 250 ppm BHT and 0.05% TEA as a mobile phase. The flow rate was 1 mL/min, column temperature was 35 °C and the injection volume of 20 µL, according to a 233 234 previously described method (Cucu et al., 2012).

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#### 236 2.13. Statistical analysis

All the experiments were carried out in triplicate. The obtained dataset was analyzed with 237 XLSTAT add-on for Microsoft Office 2016. The data are represented as means with standard 238 deviations. One-way analysis of variance (ANOVA) was used for determination of whether 239 the means between samples differ significantly from each other. The significance (p < 0.05) 240 was established using the posthoc t-tests with Bonferroni adjustment. All of the data are 241 expressed as mean  $\pm$  SD of the values. Correlation analysis was also performed using the 242 same statistical package. Percentage of bioactive compounds and antioxidant activity change 243 relative to the control (HSM) was calculated with relative change% =  $\frac{HPHX'-HSM}{HSM} \times 100$ 244 formula, where x' is the number of passes. Agglomerative hierarchical clustering (AHC) with 245 Euclidean distance Dissimilarity and Agglomeration Ward's method was performed. 246

247

#### 249 3. Results and Discussion

#### 250 *3.1. Physical characteristics of tomato peel suspensions*

The HSM and HPH treatments induce a measurable disruption of the peels, which became more evident at increasing the number of HPH passes. Preliminary tests, carried out to characterize the particle size distribution of tomato peels suspensions treated at different HPH passes, showed that after 10 passes no significant changes occurred. Therefore, 10 passes were set as the limiting number of passes of HPH treatments.

Visually, as shown in Figure 2, the tomato peels suspension became progressively more 256 homogeneous in appearance, as the number of passes is increased. These observations can be 257 correlated with the microscopical observations, reported in Figure 3, which shows that HSM 258 treatment caused only the fragmentation of the peel tissue into smaller cell aggregates, with 259 negligible effects on cell integrity. As HPH treatment was applied, the tomato peels were 260 261 further fragmented, and, at the same time, the individual cells were progressively disrupted, as suggested by the large fraction of filamentous debris appearing in the suspension, likely as the 262 results of cell wall breakage. 263

264

265 [Figure 2 here]

266 [Figure 3 here]

267

The particle size of the tomato peels suspension is described in Table 1, through the characteristic diameters d(0.1), d(0.5), d(0.9), D[4,3], and D[3,2] as a function of treatment intensity. The results confirm that, when increasing the number of passes, a decrease of the characteristic diameters of the tomato peels suspension is observed. However, after 5 passes, only modest changes in size occurred.

Remarkably, the results of Table 1 and Figure 3 clearly show that HSM treatment is not able
to destroy individual plant cells, whereas HPH treatment does. In particular, after 10 passes,
the plant cells are completely disrupted, with most of the intracellular content being released
in the suspension.

HPH passes	d(0.1)	d(0.5)	d(0.9)	D[4,3]	D[3,2]
0 (HSM)	$101.2 \pm 3.2$	$330.9\pm24.4$	$1006.5\pm42.4$	443.6 ± 11.3	$150.8 \pm 11.6$
1	$57.4 \pm 1.6$	$298.1\pm6.6$	$967.3\pm18.0$	$429.9 \pm 16.6$	$110.0\pm8.3$
3	$19.2 \pm 1.8$	$165.0\pm52.2$	$679.4 \pm 53.1$	$272.9\pm27.2$	$38.9\pm4.3$
5	$12.5\pm0.1$	$57.4\pm0.6$	$491.7\pm6.7$	$165.0\pm1.1$	$23.8\pm0.5$
7	$10.5\pm0.2$	42.1 ± 3.3	$297.6\pm38.4$	$104.1\pm9.3$	$18.8\pm0.8$
10	$9.3\pm0.6$	$30.9 \pm 1.8$	$111.2 \pm 2.4$	$49.7\pm0.8$	$16.1 \pm 0.8$

Table 1. Characteristic diameters ( $\mu$ m) of the particle size distribution of the tomato peels aqueous suspensions treated by HSM (control) and HPH (1, 3, 5, 7 and 10 passes).

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A recent study about the consumer perception of tomato purees enriched with dietary fibers 281 and polyphenols revealed that most of the preferences went to the puree containing particles 282 in the size range 250 µm - 500 µm, which was associated to sensations of fresh tomato, as 283 well as crispiness, granularity and vegetable notes (Torri et al., 2015), which are, instead, not 284 perceived in a lower size range. Therefore, in the perspective of using the tomato peels 285 suspension as a food ingredient in juices/sauces/purees, a minimum number of passes of 5 is 286 recommended to obtain a particle distribution within the suggested range (d(0.9) of HPH 5 is)287 491.7 µm), which, in addition to providing a grainy texture are also visible by naked eye, 288 whereas more intense conditions (HPH 7 and HPH 10) exhibit a size distribution below such 289 290 range. In the case of addition to products with a smoother texture, a smaller size distribution (> 5 passes) would, instead, be preferable. 291

292

#### *3.2. Total polyphenols and antioxidant activity*

After centrifugation, the water supernatant was subjected to further analyses of total polyphenols, antioxidant activity, total proteins, and total sugars. These results are presented in Table 2, where it can be observed that, when the number of HPH passes increased, the concentration of total polyphenols recovered in the supernatant increased. In particular, the suspensions treated by HPH exhibited an increasing antioxidant activity in comparison with

HSM suspensions. For example, after 10 HPH passes, the antioxidant activity, as measured by 299 the FRAP method, increased of 23.3% with respect to HSM suspension, from 220.7  $\pm$  0.6 300  $\mu$ mol AA/L to 272.2  $\pm$  0.8  $\mu$ mol AA/L, which corresponds to an increase of total polyphenols 301 of 32.2%. Moreover, total polyphenol concentration could be well correlated with the 302 antioxidant activity in the supernatant (r = 0.997), as determined by FRAP assay. 303 Furthermore, both polyphenol concentration and antioxidant activity could be correlated with 304 the concentration of total proteins in the supernatant ( $r_{TPC} = 0.993$ ,  $r_{FRAP} = 0.989$ ), because 305 the HPH technique is non-selective, and the release of intracellular compounds depend mainly 306 on the extent of cell disruption. Previous studies about the microfluidization of aqueous 307 suspensions of corn and wheat bran exhibited similar trends for the antioxidant activity (Wang 308 et al., 2014, 2013). The authors related this observation with the increased release of bioactive 309 310 compounds in water upon micronization treatment, which also induced an enhanced bioaccessibility of the antioxidant compounds, which are generally contained inside the cells. 311 It is likely that the HPH process substantially loosened the tightly packed architecture of the 312 plant tissue, disrupted and opened the plant cells, thus making the antioxidant compounds, 313 bound to the cell structure, to become accessible to the molecules present in the surrounding 314 liquid phase. 315

317	Table 2. The	concentration of	total polypher	ools (TPC), antio	xidant activi	Table 2. The concentration of total polyphenols (TPC), antioxidant activity (FRAP), and concentration of total proteins and sugars in the	ncentration of	total proteins a	nd sugars in the
318	supernatant ot	stained from the	centrifugation	of the tomato pe	el aqueous s	supernatant obtained from the centrifugation of the tomato peel aqueous suspensions treated by HSM (control) and HPH (1, 3, 5, 7 and 10	by HSM (conti	rol) and HPH (]	l, 3, 5, 7 and 10
319	passes). In add	lition, also the per	rcentage variati	on (%) with respe	ct to the HSN	passes). In addition, also the percentage variation (%) with respect to the HSM treatment (control) is reported	) is reported.		
	HPH passes	TPC (mg GAE/L)	5/ <b>L</b> )	FRAP (µmol AA/L)	AA/L)	Total proteins (mg BSA/L)	ng BSA/L)	Total sugars	Total sugars (mg D-Glu/L)
		Absolute	Relative	Absolute	Relative	-	Relative	Absolute	Relative
		value	change*	value	change*	Absolute value	change*	value	change*
	(HSH) 0	$38.9\pm3.1^{\mathrm{a-c}}$	·	$220.7\pm0.6^{\text{a-c}}$	ı	$386.4\pm22.9^{\mathrm{a-c}}$		$2.4 \pm 0.1$	
	1	$44.2\pm0.5^{\rm d,e,f}$	+13.6%	$242.3 + 2.0^{a,f-h}$	+9.8%	$548.3 \pm 13.6^{a,f,g}$	+41.9%	$2.4 \pm 0.3$	-0.8%
	ε	$47.7 \pm 1.4$	+22.7%	$261.1 \pm 4.2^{b}$	+18.3%	$585.9 \pm 07.6^{b,h}$	+51.6%	$2.6 \pm 0.1$	+7.0%
	S	$50.1\pm0.5^{\rm a,d}$	+28.7%	$267.8\pm3.5^{\rm c,f}$	+21.4%	$643.8 \pm 05.5^{\rm c,h}$	+66.6%	$2.5\pm0.2$	+4.1%
	7	$50.8\pm1.1^{\text{b,e}}$	+30.5%	$270.1\pm1.1^{d,\text{g}}$	+22.4%	$651.7 \pm 18.5^{\rm d,f}$	+68.7%	$2.5 \pm 0.1$	+1.2%
	10	$51.4\pm1.0^{\rm c,f}$	+32.2%	$272.2\pm0.8^{e,h}$	+23.3%	$658.8\pm31.7^{\rm e,g}$	+70.5%	$2.7\pm0.2$	+11.5%
320	*relative change	*relative change (%) with respect to HSM treatment (control)	to HSM treatmen	it (control).					
321	Values superscr	ipted with the same	e letter within a c	solumn are significa	antly different	Values superscripted with the same letter within a column are significantly different according to the posthoc t-test with Bonferroni adjustment (p<0.05)	noc t-test with B	onferroni adjustm	ent ( <i>p</i> <0.05)

#### 322 *3.3. Proteins and sugars*

The release of proteins in the supernatant was found to be in correlation with the total 323 polyphenols content and antioxidant activity, likely due to the ability of polyphenols to 324 associate with proteins (Siebert et al., 1996). The lowest total protein concentration in the 325 326 HSM suspension (386.4 mg BSA/L) was significantly different from all of the HPH suspensions (1 to 10 passes). Furthermore, compared to the control, the total released proteins 327 after only 1 pass increased of 41.9% with respect to control, whereas after 10 passes they 328 increased by 70.5%. However, in comparison to the total amount of proteins typically 329 contained in the peels (10-18 wt % of the dry weight (Elbadrawy and Sello, 2016; Nour et al., 330 2018)), the maximum amount recovered in the supernatant is less than 3 wt % of the dry 331 332 weight of the used peels.

In the case of total sugars, HPH caused only a moderate, and non-statistically significant, increase (about +11%) with respect to the control (HSM sample), with the highest concentration measured in the supernatant after 10 HPH passes (2.7 mg D-Glu/L).

336

#### 337 *3.4. Interfacial activity*

The release of intracellular compounds, such as proteins and polysaccharides, affected also the interfacial tension of the aqueous phase of tomato peel suspensions, recovered as supernatant after centrifugation. The results of oil-water interfacial tension measurements on the supernatant of suspensions treated by HSM (control) and HPH (1-10 passes), measured by the pendant-drop method, are presented in Figure 4.

When increasing the number of passes, it is clearly visible a drop in the interfacial tension of the supernatant. The HSM suspension exhibited the highest interfacial tension values, while as the number of HPH passes increased, the interfacial tension of the treated suspension gradually decreased: the lowest value of the asymptotic interfacial tension, of 14.1 mN/m, was observed after HPH 10 passes, in comparison with a value of 15.9 mN/m for HSM. The drop in the interfacial tension can be ascribed to the release of surface active molecules, such as proteins, from the intracellular space to the aqueous extract.

350

351 [Figure 4 here]

#### 352

#### 353 *3.5. Lycopene*

The release of the intracellular compounds, especially carotenoids, from tomato peel cells in 354 the aqueous phase, by HSM and HPH treatments has been further investigated by UV-Vis 355 spectra analysis of the supernatant, in a wavelength range between 200 and 700 nm. Figure 5a 356 shows the UV-Vis spectra of the aqueous supernatants obtained from the tomato peel 357 suspensions. The aqueous supernatant spectra clearly reveal that the highest peaks are 358 achieved after 5 to 10 HPH passes, while the lowest peaks are observed for the sample treated 359 only by HSM, suggesting an increased release of intracellular materials, as the treatment 360 intensity is increased. In addition, the UV-Vis spectroscopy analyses in Figure 5b show the 361 visible absorption spectrum of the ethyl lactate-extracted lycopene from the pellet. Ethyl 362 363 lactate was used because of its efficiency in solubilizing lycopene (Silva et al., 2018; Strati and Oreopoulou, 2011). The data of Figure 5b clearly show that a significant fraction of 364 carotenoids (and in particular lycopene) is still trapped inside the tomato peel cells, but this 365 fraction is reduced as the HPH passes are increased, in accordance with what observed from 366 Figure 5a. 367

368

369 [Figure 5 here]

370

The residual content of lycopene in the pellet was quantified by extraction of the pellet with ethyl lactate, using a calibration curve made with different working standard solutions of lycopene (Figure 6).

374

375 [Figure 6 here]

376

Interestingly, the residual content of lycopene in the pellets decreased from an initial value of 4.1 mg/g (wet basis), as characterized for the peels prior to any treatment (balance curve, at 0 HPH passes), to 3.3 mg/g (wet basis) after HSM, and to 1.9 mg/g (wet basis) after 3 passes. Additional processing after 3 passes caused only a marginal additional release of lycopene, as 381 shown by the residual value of 1.6 mg/g (wet basis) in the pellet after 10 passes, which 382 corresponds to a residual content of lycopene in the pellet of 39.2%.

The evaluation of lycopene in the supernatant was more difficult, because lycopene, which is 383 insoluble in the aqueous phase, is likely to be complexed/associated with hydrocolloids 384 385 present in tomato (e.g. proteins, pectin) that help to stabilize the lycopene suspension (Jazaeri et al., 2018). The solubility of these stabilizing hydrocolloids, however, is affected by organic 386 solvents and they precipitate in the presence of ethyl lactate, which in turn may significantly 387 decrease the measured concentration of lycopene if strongly trapped in the precipitated 388 389 hydrocolloid. Previous studies reported similar absorption spectra, with absorbance maxima at 275 nm and 350 nm (this last wavelength was used in the present work) of complexes of 390 391 lycopene with bovine serum albumin (Galdón et al., 2013). Therefore, the concentration of lycopene in the supernatant was determined directly from the UV-Vis spectra of the aqueous 392 393 supernatant, calibrating the concentration in the supernatant of the HSH sample with the 394 initial measured content of lycopene in the peels. As shown in Figure 6, this approach is validated by the closing mass balance on lycopene for all the remaining samples. In addition, 395 the HPLC spectra, reported in section 3.6, qualitatively confirm these results. 396

Based on this assumption, the maximum amount of lycopene recovered in the supernatant corresponded to 56.1% of total initial lycopene (2.3 mg/g of peels on a wet basis).

This is in agreement with the observation that the size-reduction induced by high-pressure microfluidization of tomato ketchup increased the detectable lycopene levels of the ketchup samples (Mert, 2012).

402

#### 403 *3.6. Carotenoids in the supernatant*

The HPLC analysis of the pellet and of the dried supernatant extracted by acetone, reported in 404 Figure 7, shows that lycopene is the main carotenoid, both in the supernatant and the pellets 405 after centrifugation of the treated tomato peel suspensions. In addition, Figure 7 also confirms 406 407 the results from the UV-Vis analysis: (a) the pellet from HSM suspensions contains significantly higher amounts of lycopene than the pellet from the suspensions treated by 5 408 409 HPH passes; coherently, (b) the supernatant from the suspensions treated by 5 HPH passes 410 contain a significantly higher amount of lycopene than the supernatant from the HSM 411 suspensions.

The HPLC analysis also confirmed the quantitative results obtained by UV-Vis analysis. This is particularly relevant, considering that the lycopene content of the analyzed tomato peels (3.86 mg/g on a wet basis, corresponding to 19.3 mg/g on a dry basis) is significantly higher than the values typically reported in literature (about 0.5-0.8 mg/g on a dry basis (Nobre et al., 2009; Nour et al., 2018)).

When taking into account the dietary factors and food properties, the bioavailability of 417 418 lycopene is the lowest from raw sources, whereas mild processed foods show slightly better 419 bioavailability, and thermally processed foods the highest bioavailability (Honest et al., 2011). 420 Therefore, the lycopene uptake in the human body is more favorable when consuming variously processed tomato products (Böhm and Bitsch, 1999; Gärtner et al., 1997; Goñi et 421 422 al., 2006; Granado-Lorencio et al., 2007; Porrini et al., 1998; Rao and Agarwal, 1998; Richelle et al., 2002). Thermal and mechanical food processing improves lycopene 423 424 bioavailability by disrupting the cell walls and weakening the chemical bonds between 425 lycopene and the raw tissue matrix, hence making lycopene more accessible (Agarwal et al., 2001b; Shi and Le Maguer, 2000). According to these studies, our results show that a 426 significant release of lycopene from the rigid tomato peel structure into the aqueous phase can 427 be achieved by intense high-pressure processing, with a potential increase of its 428 bioavailability. 429

430

431 [Figure 7 here]

432

#### 433 *3.7. Dendrogram of sample groupings*

434 The dendrogram analysis of the influence of the different treatments performed on the dissimilarities between the samples is represented in Figure 8. The dendrogram analysis is 435 based on the results of particle size distribution d(0.1), d(0.5), d(0.9), D[4,3], D[3,2], total 436 polyphenols, total proteins, total sugars, antioxidant activity and interfacial tension of the 437 438 supernatant, as well as lycopene content in the pellet and supernatant from tomato peels suspensions treated by HSM and HPH. The analysis reveals the formation of three main 439 440 clusters. In the first cluster, HSM (control) and HPH 1 suspensions are grouped together, 441 because they are more statistically similar than the other treatments. HPH 5 and HPH 7 442 suspensions were also found to be grouped together with significant similarities, alongside the

HPH 3, which is slightly more dissimilar, forming the third cluster. HPH 10 is isolated as a
single separate cluster, because it is significantly different from HSM and HPH 1 samples,
and only slightly more similar to the other samples in terms of the measured parameters.

446

447 [Figure 8 here]

448

#### 449 4. Discussion

450 The reported results clearly show that not only water-soluble compounds were released in significant concentrations in the aqueous phase, but also hydrophobic molecules, among 451 452 which the most abundant in tomato peels is lycopene. In particular, lycopene concentration in the aqueous supernatant reached the value of about 8.5 mg/L, which is remarkable because 453 454 lycopene is insoluble in water. Therefore, it can be hypothesized that HPH is able to completely open the vegetable cells and release hydrophobic lycopene and stabilizing it in the 455 456 aqueous phase by complexation with the extracted proteins in colloidal particles. This is clearly visible from Figure 9, where the different experimental stages followed for the 457 isolation of lycopene are shown. Initially, the aqueous extract is obtained as supernatant after 458 the centrifugation of the HPH-processed peel suspension. At this stage, a fraction of lycopene 459 remains in the pellet, bound to the centrifuged cell debris, while another fraction (up to 56%) 460 is suspended in the aqueous phase. Subsequently, the supernatant is dried in a rotavapor, and 461 the residual solids redispersed in acetone. The addition of acetone causes the precipitation of 462 proteins and polysaccharides, while lycopene is completely dissolved. At this stage, it can be 463 supposed that the complexes are broken, and, consequently, pure lycopene can be recovered 464 465 upon filtration of the acetone solution. This is confirmed by the typical lycopene color appearing in the acetone solution, as well as by its identification by HPLC (Figure 7). 466 467 Ongoing work in our laboratory is addressed to verify this hypothesis, to identify the type of complex and investigate the bioaccessibility of lycopene in complexed form, which could be 468 469 exploited as an all-natural delivery system in aqueous products for lycopene.

470

471 [Figure 9 here]

From the processing point of view, it is interesting to compare the yield of extraction of the current work with the literature data, using different solvents and different technologies. Figure 10 reports, comparatively, the amount (in mg) of lycopene extracted per gram of dry weight of peels, considering a moisture content of 75%, when the value was not explicitly reported.

478

- 479 [Figure 10 here]
- 480

481 Interestingly, the data of Figure 10 show that the yields of extraction of lycopene observed in this work are comparable with the results obtained through solvent extraction assisted by 482 pectinase and cellulase enzymes (Choudhari and Ananthanarayan, 2007) or ultrasounds (US) 483 (Eh and Teoh, 2012; Kumcuoglu et al., 2014; Silva et al., 2018). The lycopene yields 484 achieved in this work were also significantly higher than what obtained by PEF-assisted 485 486 solvent extraction (Luengo et al., 2014), supercritical CO<sub>2</sub> extraction (Hatami et al., 2019; Rubashvili et al., 2018), and conventional solvent extraction from dried peels using different 487 488 combinations of hexane, acetone and ethanol or methanol (Kaur et al., 2008; Luengo et al., 489 2014; Rao et al., 1998).

However, it must be highlighted that, as mentioned in section 3.7, the initial content of lycopene in the peels used in this work is significantly higher than the typical value reported in the literature, and differences in lycopene yields of Figure 10 are also likely to depend on the biological variability of the tomatoes.

In addition to the advantages in terms of lycopene recovery, the use of water as an extraction solvent is intrinsically environmentally benign especially because it prevents the need for organic solvents, which are generally toxic and require their complete removal from the exhaust material before its disposal or further use (i.e. animal feed or compost).

Remarkably, in the case of the proposed technology, it is possible to obtain by means of only physical processing in water a tomato peel suspension, which is very rich in lycopene (up to 56.1% of the initial content of tomato peels). This suspension can be used as it is, as a food ingredient or the aqueous phase can be separated by centrifugation, still carrying a significant concentration of lycopene (up to 39.2% of the initial content, at the optimal processing conditions). The pellet (exhaust material) can be disposed of exactly as the initial residue, as 504 no chemicals were involved in its processing, which adds a significant benefit to the proposed 505 technology (Naviglio et al., 2008). Therefore, even in the case where pure lycopene is the 506 desired product, rather than a total extract rich in lycopene, the solvent extraction can be 507 carried out directly on the aqueous supernatant, drastically reducing the exhaust material to be 508 disposed of.

#### 509 **5.** Conclusions

The use of high-pressure homogenization (HPH) for the treatment of agro-industrial by-510 products is able to cause the complete disruption of the plant cells, and the release of 511 intracellular material. In this work, we applied the HPH treatment to tomato peels, 512 513 preliminary dispersed as aqueous suspensions. The HPH suspensions of tomato peels represent a by-product with high added value because the cell aggregates are reduced in size 514 below the perception of the naked eye, with better properties than the simply highly sheared 515 suspensions, because richer in polyphenols, proteins and lycopene. In particular, at increasing 516 517 the number of HPH passes, a higher amount of total proteins, total polyphenols are released as well as the greater antioxidant power of the suspensions can be observed. In particular, the 518 519 HPH treatment enables the release of lycopene from the rigid structure of tomato peel cells to the aqueous phase, without the need of any organic solvent, significantly improving its 520 521 recovery through a green, sustainable and purely physical process, to make a product ready 522 for human consumption. The obtained suspensions could be exploited as functional foods or can be added back to the transformed peeled tomato products, to enrich their bioactive 523 potential. 524

525

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530

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### **Figure Captions**

Figure 1. Experimental workflow for sample preparation, treatment, and analysis.

Figure 2. Images of tomato peel aqueous suspensions after HSM (control) and HPH (1, 3, 5, 7 and 10 passes) treatments.

Figure 3. Micrographs of tomato peel aqueous suspensions after HSM (control) and HPH (1, 3, 5, 7 and 10 passes) treatments. Scale bars correspond to  $100 \mu m$ .

Figure 4. Interfacial tension of the supernatant of the suspensions treated by HSM (control) and HPH (1, 3, 5, 7 and 10 passes), as a function of the measurement time.

Figure 5. UV-Vis spectra of (a) the aqueous supernatant, and of (b) ethyl lactate extracts from the pellet, as obtained from the centrifugation of the tomato peels aqueous suspensions treated by HSM and HPH 1-10.

Figure 6. Lycopene content (reported per wet mass of peels) evaluated by ethyl lactate extraction from the supernatant and the pellet obtained from the centrifugation of the tomato peels aqueous suspensions treated by HSM (control, 0 HPH passes) and HPH (1-10 passes). Values superscripted with the same letter are significantly different according to the posthoc t-test with Bonferroni adjustment (p<0.05).

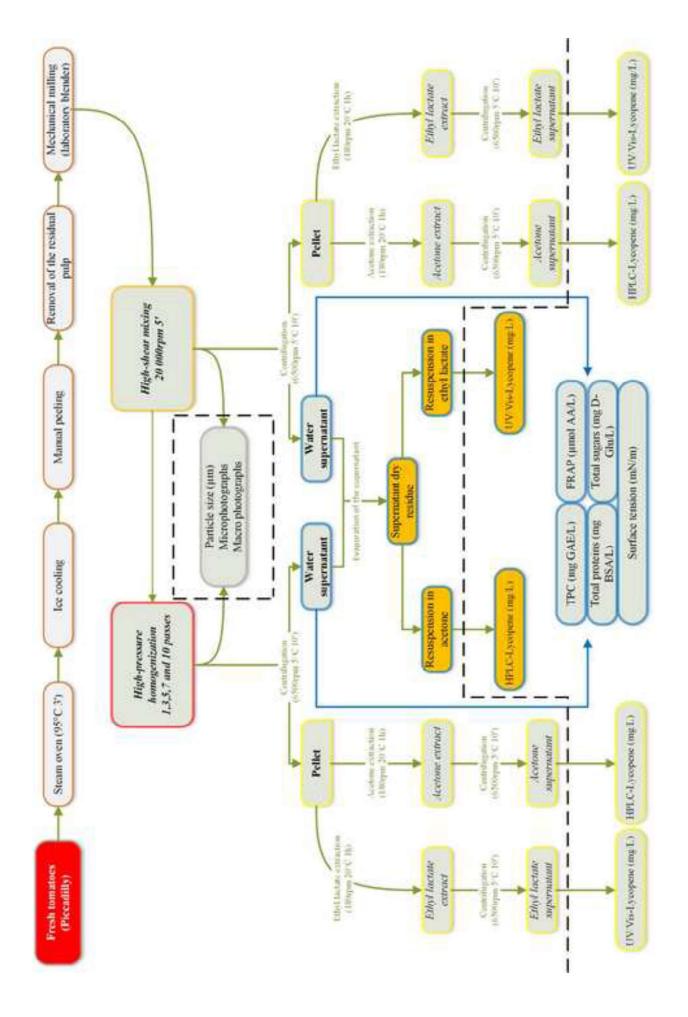
Figure 7. HPLC spectra of (a, b) the pellet resuspended in acetone and of (c, d) the dried supernatant resuspended in acetone, obtained from the centrifugation of the tomato peels aqueous suspensions treated by HSM (a, c) and 5 HPH passes (b, d).

Figure 8. Dendrogram of treatment influence on dissimilarities between the samples based on obtained results of particle size distribution d(0.1), d(0.5), d(0.9), D[4,3], D[3,2], total polyphenols, total proteins, total sugars, antioxidant activity, interfacial tension of the supernatant and lycopene content in the pellet and supernatant from tomato peels suspensions treated by HSM and HPH.

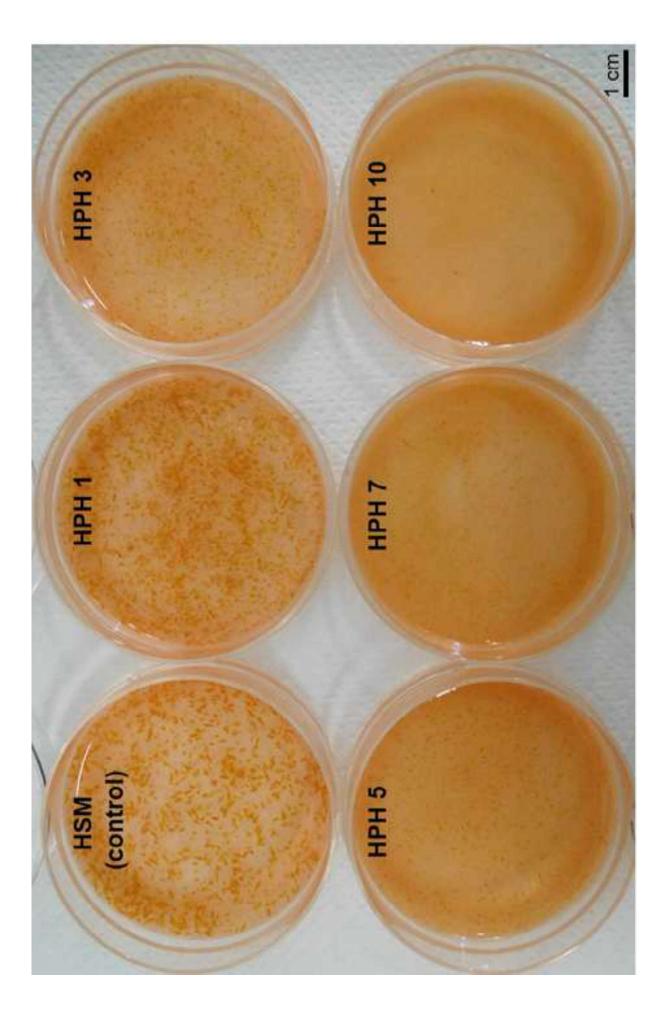
Figure 9. Pictures of (a) the tomato peel aqueous suspension processed by HPH after centrifugation, and of (b) the supernatant after removal of water and resuspension in the same volume of acetone, and (c) after filtration to remove suspended solids.

Figure 10. Comparison of the amount of lycopene recovered from tomato peels by HPH treatment in water (this work) with literature data, where different technologies and solvents were used.



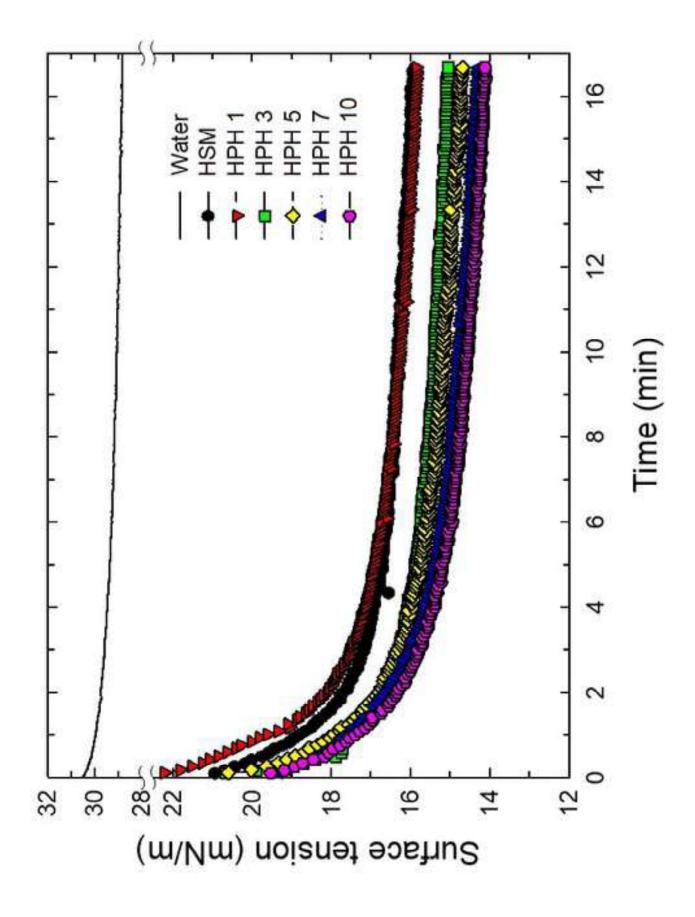




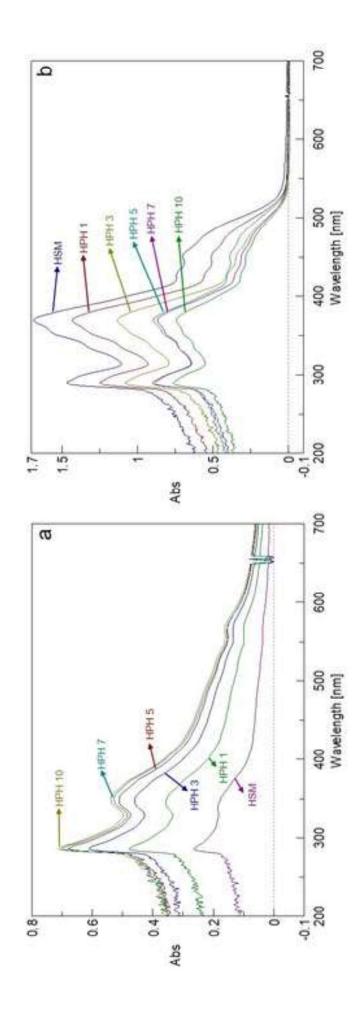


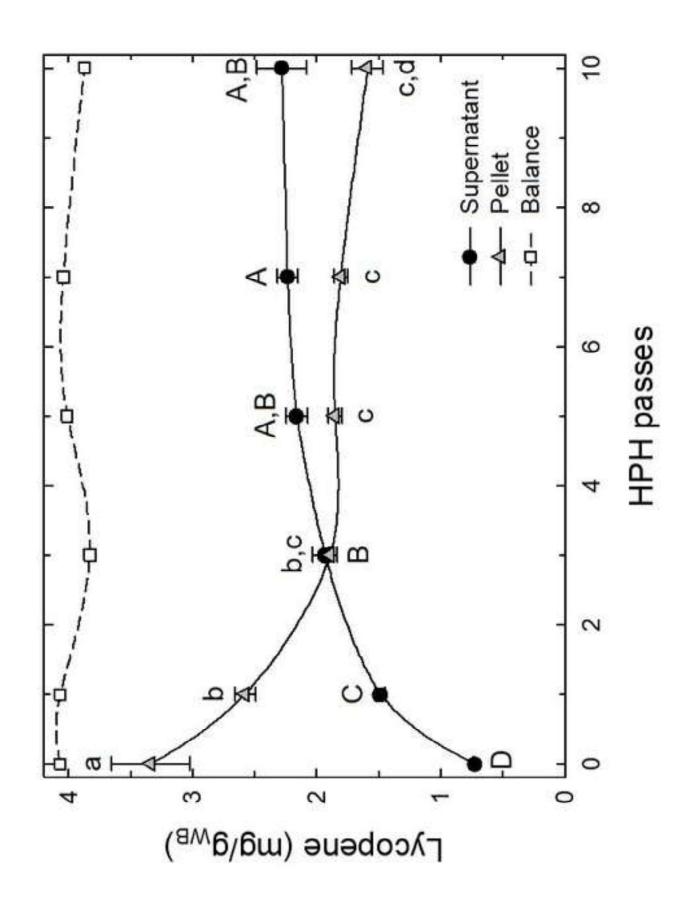
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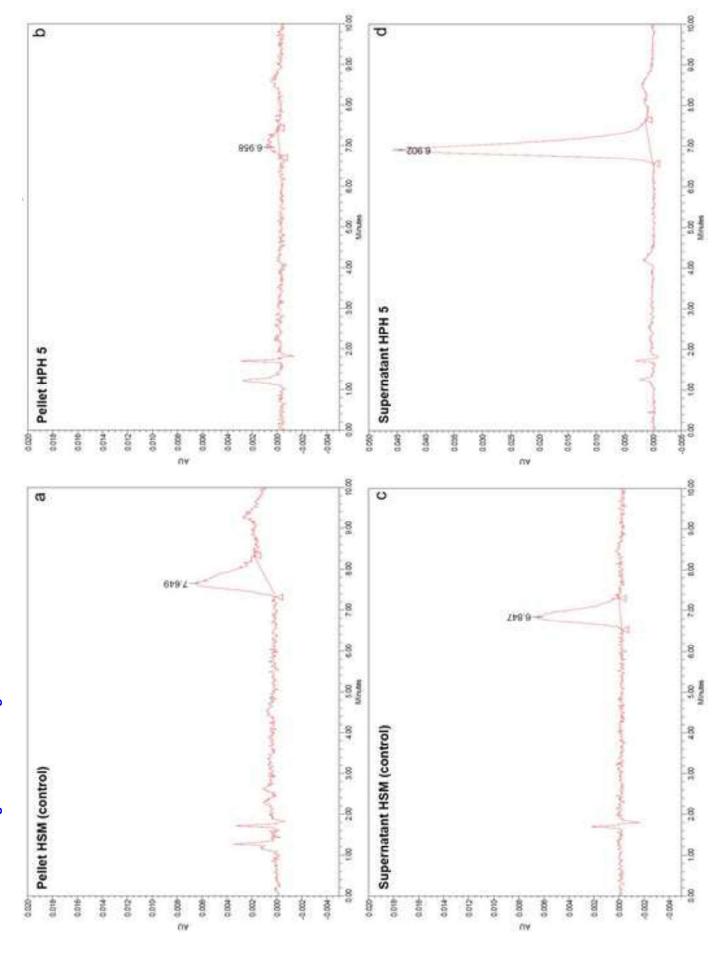








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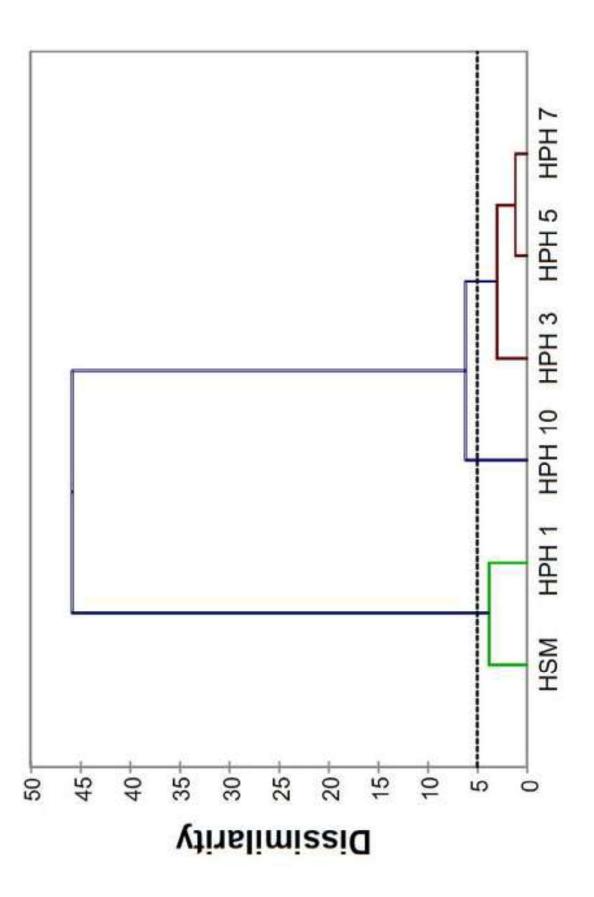




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	HPH-assisted water extraction (this work)	
	Pectinase-assisted solvent extraction: petroleum ether:acetone (1:1) (Choudhari and Ananthanarayan, 2007)	
	Cellulase-assisted solvent extraction: petroleum ether:acetone (1:1) (Choudhari and Ananthanarayan, 2007)	
etate (7:3)	US-assisted solvent extraction: hexane:acetone:ethanol (2:1:1) (Eh and Teoh, 2012)	
noi (2:1:1)	US-assisted solvent extraction: ethyl lactate:ethyl acetate (7:3) (Silva et al., 2018)	
	US-assisted solvent extraction: hexane:acetone:ethanol (2:1:1) (Kumcuoglu et al., 2014)	
	US-assisted solvent extraction: acetone (Rubashvili et al., 2018)	Column actraction
	PEF-assisted solvent extraction: hexane:acetone:ethanol (2:1:1) (Luengo et al., 2014)	
	Supercritical CO <sub>2</sub> (Rubashvili et al., 2018)	US-assisted
	Supercritical CO <sub>2</sub> (Hatami et al., 2019)	HPH-assisted (this work)
	Solvent extraction: hexane:acetone:methanol (2:1:1) (Rao et al., 1998)	
(2:1:1)	Solvent extraction: hexane:acetone:ethanol (2:1:1) (Luengo et al., 2014)	
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0.001 0.01 0.1 1 10	0.0	10