

1 **Effect of Pulsed Light Treatment on Structural and Functional Properties of Whey Protein**  
2 **Isolates**

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4 Md Abu Bakar Siddique<sup>a</sup>, Paola Maresca<sup>b</sup>, Gianpiero Pataro<sup>a\*</sup>, Giovanna Ferrari<sup>a,b</sup>

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7 *<sup>a</sup>Department of Industrial Engineering, University of Salerno, 84084 Fisciano (SA), Italy*

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*<sup>b</sup>ProdAl S.c.ar.l., 84084 Fisciano (SA), Italy*

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## 12 **Abstract**

13 This work aimed at investigating the effects of Pulsed Light (PL) processing at different energy  
14 doses (from 4 to 16 J/cm<sup>2</sup>) on the structure and functional properties of Whey Protein Isolate  
15 (WPI) solutions. The determination of the free and total sulfhydryl (SH) groups was used to  
16 detect the variation of WPI tertiary and quaternary structure. Additionally, PL-induced changes  
17 in secondary structure were determined by FT-IR spectroscopy and the differential scanning  
18 calorimetry (DSC), and primary structure by carbonyl content.

19 The experimental data demonstrated that PL treatments increased the concentration of total and  
20 free sulfhydryl groups and protein carbonyls. A decrease of the denaturation temperature and  
21 enthalpy ratio with increasing the intensity of PL treatments was observed in DSC  
22 measurements. Small but significant changes in the secondary structure of PL treated WPI  
23 solutions were also taking place and detected. The extent of whey protein structure modifications  
24 was energy dose dependent. The results of this investigation demonstrated the potential of PL  
25 treatments to induce dissociation and partial unfolding of WPI, thus improving some of their  
26 functional properties, such as solubility and foaming ability.

27

28 **Keywords:** *Pulsed light, whey protein, unfolding, solubility, foaming properties, conformational*  
29 *structure.*

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## 32 **1. Introduction**

33 Proteins are the main structural and functional components of many food systems, e.g., meat,  
34 cheese, gelatine, egg white and most of the cereals. In addition, proteins are being increasingly  
35 used to facilitate the engineering and fabricate new food products, such as protein beverages and  
36 extruded foods. These applications depend upon the physicochemical properties of protein  
37 ingredients, collectively referred to as their functional properties (Kinsella, 1982). The  
38 effectiveness of the use of proteins in food processing depends on their functional characteristics,  
39 which can be tailored to meet the complex needs of food products manufacturers (Chobert,  
40 2003). Among other components, dried whey powders, a major by-product of cheese and casein  
41 manufacturing, have become a well-known ingredient of the food industry due to the low price,  
42 versatile functionality, and high nutritional value (Kim et al., 1981).

43 Whey proteins (WP) are a mixture of  $\beta$ -lactoglobulin,  $\alpha$ -lactalbumin, Bovine serum albumin,  
44 Immunoglobulins, proteose-peptones, and other minor proteins. In their native form they exist as  
45 compact, globular proteins (Lee et al., 1992). Although the normal functionality of WP mainly  
46 depends on the behaviour of  $\beta$ -lactoglobulin, the most abundant protein in whey (Verheul et al.,  
47 1998), the overall functionality depends on the combined properties of all WP components.

48 In general, several factors affect the functional properties of food proteins, namely intrinsic  
49 factors such as amino acid sequence and composition, secondary and tertiary structures,  
50 hydrophilic/hydrophobic character of the protein surface, net charge and charge distribution and  
51 molecular rigidity/flexibility of the protein and extrinsic factors such as pH, ionic strength,  
52 temperature and interactions with other food components (Zhu & Damodaran, 1994).

53 Food processing can affect the functionality of proteins since it may modify the native structure  
54 of the protein reversibly (unfolding) or irreversibly (denaturation) depending on the technology  
55 used and the processing conditions applied. Food, chemical and pharmaceutical industries, which  
56 utilize WP as technological ingredients in their productions, are interested to control proteins  
57 functional properties with the aim of improving the stability of the formulations or developing  
58 novel foods (McClements, 1999). To this purpose, in the current literature several papers have  
59 focused on the application of different treatments based on chemical, physical, or enzymatic  
60 methods, to induce the desired structural modifications of proteins, thus improving their  
61 functional properties (Davis, Doucet, & Foegeding, 2005; Dissanayake & Vasiljevic, 2009;  
62 Enomoto et al., 2007; Nicorescu et al., 2009).

63 Recently, researchers of the R&D departments of the food industry and of research institutions  
64 proposed the utilization of non-thermal processing technologies to selectively modify the  
65 structural and functional properties of proteins in order to obtain protein derivatives with targeted  
66 functionality appropriate for different formulated foods (Dissanayake, Ramchandran, Piyadasa,  
67 & Vasiljevic, 2013). High pressure processing, ultrasounds, pulsed electric fields, UV light and  
68 ozone have been used to modify milk proteins conformational structure (Bouaouina, Desrumaux,  
69 Loisel, & Legrand, 2006; Chandrapala, Zisu, Palmer, Kentish, & Ashokkumar, 2011; Kristo,  
70 Hazizaj, & Corredig, 2012; Segat et al., 2014, Xiang, Ngadi, Ochoa-Martinez, & Simpson,  
71 2009).

72 Among non-thermal technologies, Pulsed Light (PL) has drawn considerable attention as gentle  
73 but targeted processing technique, which can be used, as an alternative to chemical and thermal  
74 methods, to decontaminate foods and food contact surfaces with minimal losses of nutrients and  
75 flavour (Oms-Oliu, Martin-Belloso & Soliva-Fortuny, 2010). PL process consists of the  
76 exposure of food product to a series of short (100 ns-1ms), high-intensity pulses (flashes) of

77 polychromatic light (200 nm – 1100 nm) including UV (180-400 nm), visible (400-700 nm) and  
78 near infrared region (700-1100 nm) produced by a xenon flash lamp (Wekhof, 2000). Although  
79 this technology has been successful applied to inactivate a number of pathogenic and spoilage  
80 microorganisms in vitro or in food products (Oms-Oliu et al., 2010), only very few data have  
81 been published discussing the effects of PL on proteins structure. Fernández et al. (2012)  
82 reported that PL treatments can improve surface and foaming properties of  $\beta$ -lactoglobulin, while  
83 Elmnasser et al. (2008) described the effects of PL treatment on milk proteins and lipids  
84 observing minor changes in proteins structure. However, the impact of PL treatments on the  
85 structure as well as on the functional properties of WP has not been investigated yet.  
86 This work aimed to investigate the effects of PL treatments on some key functional properties of  
87 Whey Protein Isolate (WPI) and understand and elucidate the mechanism of interaction between  
88 PL and WPI structure. Different PL energy doses (fluences) were utilized to induce WPI  
89 unfolding. The proteins unfolding was assessed determining the total and free sulfhydryl (SH)  
90 groups, solubility and the foaming ability. The changes of the secondary structure were  
91 determined by FT-IR spectroscopy and differential scanning calorimetry (DSC), while the effect  
92 on primary structure was assessed by the determination of the carbonyl content.

93 **2. Materials and Methods**

94 **2.1 Materials and sample preparation**

95 Powder of WPI (UltraWhey 90 instant) derived from sweet cheese whey was purchased from  
96 Volac International Ltd. (Orwell, UK) and stored at 4 °C until used. The weight composition of  
97 the powder was as follows: 90% proteins, 1.0% fat, 2.0 % lactose, 2.2% ash, and 4.0% moisture.  
98 The protein fraction included  $\beta$ -lactoglobulin (43-48%),  $\alpha$ -lactalbumin (14-18%), Bovine serum  
99 albumin (1-2%), Immunoglobulin G (1-3%), and Lactoferrin (<1%).

100 All chemicals used in this study were from Sigma Aldrich (Milan, Italy) unless otherwise stated.  
101 MilliQ water was used to dilute samples and prepare all reagents and buffers.

102

103 **2.2 Sample preparation**

104 Before undergoing PL treatments, WPI powder was dissolved in Sodium phosphate buffer (50  
105 mM; pH=7.5) and maintained under gentle mixing in a water-ice bath in order to obtain a  
106 homogenous solution. The WPI solution with a final concentration of 1% (w/v) was then stored  
107 at 4 °C until use. The pH of the protein solution was measured with a pH-meter (S400 Seven  
108 Excellence, Mettler Toledo International Inc.).

109

110 **2.3 PL treatments**

111 PL treatments were performed in a bench-top RS-3000C SteriPulse-XL system (Xenon Corp.,  
112 Wilmington, Mass., USA), described in details in Pataro, Sinik, Capitoli, Donsi, & Ferrari  
113 (2015). In brief, the system included a power/control module, a rectangular parallelepiped  
114 treatment chamber made in stainless steel, and a lamp housing placed on the top of the chamber  
115 and containing a linear 16" xenon flash lamp and a forced air cooling system. A quartz window,  
116 placed at 5.8 cm from the lamp source, was used to separate the lamp housing from the treatment  
117 chamber. The system generated high intensity pulses of polychromatic light in the wavelength  
118 range between 200 and 1100 nm at a pulse rate of 3 pulses/s and 360  $\mu$ s duration. An adjustable  
119 stainless steel tray in the treatment chamber allowed changing the vertical distance between the  
120 sample and the quartz window surface from 1.9 to 16.5 cm.

121 Before PL treatment, 2 mL of the refrigerated (~4 °C) WPI solution were poured in a Petri dish  
122 (3.5 cm in diameter) to cover the entire dish surface to a depth of 2 mm. Afterwards, the Petri

123 dish was placed in the centre of the tray into another Petri dish (9.5 cm in diameter) containing  
124 ice flakes which allowed to minimize the temperature increase of the sample during the light  
125 treatment. The experiments were carried out by placing the samples at a vertical distance of  
126 10.62 cm from the quartz window, at which correspond an energy dose per pulse of  $0.43 \text{ J/cm}^2$ ,  
127 for an input voltage of 3800 V, as per manufacturer specification. Samples were exposed to  
128 irradiation with PL for 3.1, 6.2, 9.3 and 12.4 s, corresponding to total energy doses (fluences)  
129 applied of 4, 8, 12 and  $16 \text{ J/cm}^2$ , respectively.

130 After treatment, samples were collected in plastic tubes wrapped in aluminium foil. Untreated  
131 samples were also taken and used as control. The samples were, then, immediately placed in ice  
132 until analyses.

133 In all experiments, the initial temperature of the samples was  $4 \pm 2^\circ\text{C}$ . The temperature increase  
134 of the samples exposed to PL treatments was monitored utilizing a 0.5 mm K-type thermocouple  
135 submerged into the treated solution. With increasing the energy dose at 4, 8, 12, and  $16 \text{ J/cm}^2$ ,  
136 the temperature of the samples increased gradually of 10.2, 12.3, 14.2, and  $14.7^\circ\text{C}$ , respectively.

137

## 138 **2.4. Samples analyses**

139 The analytical protocols utilized to detect the changes of the structural and functional properties  
140 induced by PL irradiation in WPI samples are reported in the following.

141

### 142 **2.4.2 Determination of free SH-groups**

143 The concentration of total and free sulfhydryl (SH) groups in the untreated and PL treated WPI  
144 solutions, was determined by the modified Ellman's assay method (Ellman, 1959), previously  
145 described by Kehoe, Remondett, Subirade, Morris, & Brodkorb (2008), with some slight  
146 modifications. For each assay, WPI solution was diluted up to a concentration of 2 g/L protein  
147 in a 50 mM Tris-HCl buffer (pH 7.0) for the determination of free SH-groups or in a 50 mM  
148 Tris-HCl (pH 7.0) with the addition of 8 M urea for the determination of total SH-groups. Then,  
149 2.75 mL of each diluted solution were placed into a centrifuge tube and added to 0.25 mL of a 1  
150 g/L DTNB solution in 50 mM Tris-HCl buffer. The solutions were incubated in the dark at room  
151 temperature. After 30 min, absorbance was measured at 412 nm by a UV/VIS spectrophotometer  
152 (V-650, Jasco Europe Srl, Italy), and the concentration of sulfhydryl groups (in  $\mu\text{mol SH/g WPI}$ )

153 was calculated according to the formulae reported by Segat et al. (2014) using a molar  
154 absorptivity constant equal to  $1.36 \times 10^4 \text{ M}^{-1}\text{cm}^{-1}$ .

155

### 156 **2.4.3 FT-IR measurements**

157 Attenuated total reflection infrared (ATR FT -IR) spectra of untreated and PL treated WPI  
158 solutions were measured according to the protocol described by Yang, Kong, Dong, & Yu,  
159 (2015) utilizing a Jasco FTIR-4100 series spectrophotometer (Maryland, United States) equipped  
160 with a highly sensitive and stable DLATGS detector. A specially designed vibration-proof  
161 mounting of the optical bench eliminates completely the interferences due to external vibrations.  
162 The spectra were measured at the maximum resolution of the instrument ( $0.9 \text{ cm}^{-1}$ ) in the  
163 wavenumber range between 650 and  $4000 \text{ cm}^{-1}$  in the double-sided, forward-backward mode.  
164 Background spectra were collected and used to remove spectral signals that originated from air,  
165 moisture (water vapour) and coating materials on reflecting mirrors along the IR radiation path  
166 from the spectra of protein and buffer in order to subtract the background noise. Data analysis  
167 was initiated by collecting IR absorbance spectra and subtracting reference from the protein  
168 spectra to remove water contribution using a double-subtraction procedure. Baseline correction  
169 was done as a pre-processing step to account for and correct noise and sloping baseline effects.  
170 The region of interest for Amide I was selected from  $1700\text{-}1600 \text{ cm}^{-1}$ . Band narrowing of the  
171 spectra was done by performing second-derivative analysis. The spectra were processed by  
172 Origin Pro 8 software (OriginLab Corporation, Northampton, USA).

173

### 174 **2.4.4 Differential scanning calorimetry (DSC) analysis**

175 Thermal analysis of untreated and PL treated WPI samples was carried out using a differential  
176 scanning calorimeter (NETZSCH DSC 204 *FI Phoenix, US*) equipped with NETZSCH Proteus  
177 Software. 20  $\mu\text{L}$  of WPI solutions were weighed into aluminium pans and hermetically sealed.  
178 An empty pan of equal weight was used as reference. The scanning temperature was raised from  
179 25 to  $100 \text{ }^\circ\text{C}$  at a rate of  $10 \text{ }^\circ\text{C}/\text{min}$ .

180 The onset ( $T_{\text{onset}}$ ) and the offset ( $T_{\text{offset}}$ ) temperatures are defined as the intersection of the  
181 tangents of the peak with the extrapolated baseline, and the peak denaturation temperature ( $T_d$ ) is  
182 defined as the temperature at the maximum/minimum of the thermal event. These temperatures  
183 were read from the corresponding thermogram, while the denaturation enthalpy ( $\Delta H$ ) was

184 obtained from the integration of the thermogram. Denaturation enthalpy ratio ( $\Delta H_{ratio}$ ) was also  
185 calculated as the ratio between the enthalpy of PL treated and untreated WPI sample. The  
186 analyses were carried out in duplicate.

187

#### 188 **2.4.5 Measurement of protein carbonyls**

189 Protein carbonyls content of untreated and PL treated WPI samples was determined according to  
190 the protocols reported by Levine et al. (1990) with some modifications. An aliquot of WPI  
191 solution (corresponding to 2 mg of protein) was incubated with 10 mM 2, 4-  
192 Dinitrophenylhydrazin (DNPH) in 2M HCl (1mL), for 30 min at room temperature (25 °C). A  
193 10% (w/v) trichloroacetic acid (final concentration) was used to precipitate proteins, which were  
194 recovered by centrifugation at  $6,500 \times g$  for 5min (ALC PK130 refrigerated centrifuge, Cologno  
195 Monzese (MI), Italy). Protein pellets were washed three times with 1 mL of ethanol/ethyl acetate  
196 50:50 (v/v) to remove residual unreacted molecules of DNPH and dissolved in 1 mL of 6M urea  
197 (pH=2.3). The concentration of protein carbonyls, were determined by an UV/VIS  
198 spectrophotometer at 370 nm using an extinction coefficient of  $2.2 \times 10^4 M^{-1}cm^{-1}$  (Scheidegger,  
199 Pecora, Radici, & Kivatinitz, 2010). The results were expressed as nmol of carbonyl/ mg of  
200 protein.

201

#### 202 **2.4.6 Protein Solubility**

203 Untreated and PL-treated WPI solutions (10 g/L) were diluted to reach a final concentration of 2  
204 g/L and centrifuged at 6000 rpm for 15 min at 4 °C. Soluble protein content in the supernatant  
205 was determined at room temperature (25 °C) by the modified method of Lowry, Rosebrough,  
206 Lewis, and Randall (1951), using Bovine serum albumin (BSA) (Sigma Chemical Co., Louis,  
207 MO) as standard. The percentage reduction of protein solubility was calculated according to  
208 Eq.1:

$$209 \text{ Solubility}(\%) = \frac{\text{Protein in the supernatant}}{\text{total protein}} \times 100 \quad (1)$$

210 The analyses were performed in duplicate.

211

#### 212 **2.4.7 Foaming Properties**



213 Foaming capacity and stability of untreated and PL treated WPI solutions were determined as  
214 previously reported by Segat et al. (2014), with slight modifications. 10 mL of WPI solutions  
215 were homogenized at 12,000 rpm for 2 min using an IKA Ultra TurraxT25 digital homogenizer  
216 (IKA®-Werke GmbH & Co. KG) in graduated tubes. Foaming capacity (FC) and foam stability  
217 (FS) were calculated according to Eq.2 and Eq.3 as follows:

$$218 \quad FC(\%) = \frac{V_{F0} - V_L}{V_L} \times 100 \quad (2)$$

$$219 \quad FS(\%) = \frac{V_{F30}}{V_{F0}} \times 100 \quad (3)$$

220 where  $V_L$ ,  $V_{F0}$ , and  $V_{F30}$  represent the volume of non-whipped WPI solution and the volumes of  
221 the foam immediately after whipping (time 0) or after standing at room temperature for 30 min,  
222 respectively.

223

## 224 **2.5. Statistical analysis**

225 Every PL treatment was performed twice and all analyses were repeated three times unless  
226 otherwise specified. The mean values and standard deviations (SD) of the experimental data  
227 were calculated. Differences among mean values were analysed by means of one-way ANOVA  
228 test in order to determine whether different values of PL energy dose led to a significant  
229 difference in the conformational and functional properties of the samples. When significant  
230 differences were detected, the Tukey test was performed to determine which particular means  
231 were significantly different ( $p \leq 0.05$ ). The Pearson product-moment correlation coefficient was  
232 used to measure the strength of the linear relationship between two variables. Statistical analyses  
233 were carried out using IBM SPSS statistics 20 software.

234

## 235 **3. Results and Discussion**

### 236 **3.1. Changes in the conformational structure of WPI**

#### 237 **3.1.1 Determination of SH-groups**

238 In WPI,  $\beta$ -lactoglobulin is known to have 1 free –SH group (Cys121) and 2 S–S bonds (Cys66–  
239 Cys160 and Cys106–Cys119) (Hambling, McAlpine, & Sawyer, 1992), while  $\alpha$ -lactalbumin  
240 does not contain any free–SH groups but has 4 S–S bonds (Cys6–Cys120, Cys28–Cys111,  
241 Cys61–Cys77, and Cys73–Cys91) (Brew, 2003).

242 The analysis of the free and total SH-groups may be considered as an indication of protein  
243 unfolding and/or denaturation. In fact, it is known that disulphide bonds can stabilize the folded  
244 conformation and decrease the conformational entropy of proteins, thus improving their  
245 thermodynamic stability (Sun, 2010). Generally, SH groups in the native proteins are located in  
246 poorly accessible regions of the polypeptide chain, thus, are masked to the attack by Ellman's  
247 reagents used in the analytical assay. If an external stress is able to modify the conformational  
248 structure of a protein, an increased content of SH groups may be detected according to the  
249 protocol of the Ellman's reaction (Beveridge, Toma, & Nakai, 1974; Hardham, 1981).

250 The effect of PL treatments at different energy doses on the concentration of total and free SH-  
251 groups of untreated ( $0 \text{ J/cm}^2$ ) and PL treated samples, is shown in Fig. 1.

252 In our experiments WPI samples showed an initial content of free SH-groups of  $0.6 \text{ }\mu\text{mol/g}$  of  
253 protein, while the concentration of total SH-group was  $3.7 \text{ }\mu\text{mol/g}$  of protein (Fig. 1). The  
254 exposure to PL treatments at different energy doses (from 4 to  $16 \text{ J/cm}^2$ ) significantly ( $p \leq 0.05$ )  
255 increased the concentration of both total (from 4.5 to  $6.2 \text{ }\mu\text{mol/g}$ ) and free SH-groups (from 1.6  
256 to  $3.9 \text{ }\mu\text{mol/g}$ ), as compared with the control sample.

257 The results obtained demonstrate that, similarly to thermal (Hoffmann & van Mil, 1999) and  
258 high hydrostatic pressure treatments (Qin et al., 2012), PL processing is able to induce protein  
259 unfolding, which allows the masked SH groups to be exposed and consequently detected.

260 Our experimental data are in agreement with those of Neves-Petersen et al. (2002) and  
261 Permyakov et al. (2003). Neves-Petersen et al. (2002) investigated the reaction mechanism  
262 behind the UV light-induced reactions on cutinase samples. The authors observed an electron  
263 ejection from the side chains of aromatic residues, namely tryptophan, upon UV illumination of  
264 aromatic amino acids, and the transfer from an excited tryptophan amino acid to a neighbouring  
265 disulphide bond. Because disulphide bridges are excellent quenchers of the excited state of  
266 aromatic residues, a cleavage of the disulphide bridge can be hypothesized, thus leading,  
267 consistently, to the detection of an increasing content of free thiol groups with increasing the  
268 energy dose. Similarly, Permyakov et al. (2003) also stated that UV-induced changes in the  
269 properties of  $\alpha$ -lactalbumin resulted from the reduction of disulphide bonds as a consequence of  
270 transfer of energy from the nearby excited tryptophan chromophores.

271 Moreover, as reported by Hoffmann and van Mil (1999), reaction of DTNB with the thiol groups  
272 (SH-groups) in Ellman's protocols can be distinguished in two steps: (1) diffusion of DTNB into  
273 the thiol group and (2) reaction of DTNB with the thiolate anion. However, at neutral pH, thiol

274 group in native  $\beta$ -lactoglobulin is shielded by other groups, thus DTNB needs to diffuse into the  
275 molecule before the reaction can occur. Thus, considering the experimental data reported in Fig.  
276 1, it is likely that PL treatments were able to modify the conformational structure of the treated  
277 WPI samples, enhancing the diffusion of DTNB and promoting its reaction with SH-groups.

278 Moreover, it should be also considered that the unmasked SH groups (dissociation and initiation  
279 step) are more reactive and could disappear because of sulfhydryl-disulphide bond exchange  
280 reactions, which are especially promoted at alkaline pH causing a decrease in free SH content  
281 due to the oxidation of the thiols and the formation of disulphide bonds.

282 In contrast, our results show that, within the range of energy doses investigated, after PL  
283 treatments an increasing concentration of SH-groups was detected. It has been previously stated  
284 that the placement of SH-groups in hydrophobic regions can occur either by folding of a single  
285 protein molecule or by the aggregation of subunits as into dimmers, tetramers etc. (Ustunol,  
286 2015). Therefore, these results have suggested that PL induced the partial unfolding of WPI,  
287 leading to, consequently, the exposure of the internal SH-groups buried inside to the surface.

288 Further PL treatments, utilizing a range of energy doses wider than that investigated in this  
289 work, should be carried out in order to verify the existence of a threshold value of the energy  
290 dose, above which the content of SH-groups decreases, leading to protein aggregation. This has  
291 been already observed for other non-thermal technologies. For example, Qin et al. (2012)  
292 utilized high hydrostatic pressure to process protein, and detected a higher number of SH groups  
293 when the treatment was carried out in the pressure range between 300-400 MPa, while a  
294 significant decrease of SH groups was observed when the proteins were processed in the  
295 pressure range of 400-500 MPa. A similar trend was also observed by Li, Chen, & Mo (2007)  
296 when soybean protein isolates were exposed to pulsed electric fields treatments.

297

## 298 **3.2 Effect of PL on the secondary structure of whey proteins**

### 299 **3.2.1 FT-IR analysis**

300 PL induced changes of protein secondary structure was determined by FT-IR spectra  
301 measurements performed at room temperature. This technique, in fact, is known to provide  
302 highly accurate information on the secondary structure of proteins in water solutions as well as in  
303 deuterated forms and dried states (Byler & Susi, 1986). Differences among the spectra of the  
304 samples analysed were identified by band narrowing approach of the second derivative

305 spectroscopy. Fig. 2 shows the FT-IR spectra of untreated and PL treated WPI samples in the  
306 Amide I ( $1700$  to  $1600\text{ cm}^{-1}$ ) region. Generally, protein structural units are able to absorb  
307 specific wavelengths of radiation in the infrared region, giving rise to a characteristic set of nine  
308 absorption bands, namely Amide A, B and Amide I-VII. Among them, Amide I and II represent  
309 the vibrational bands of the protein backbone and are sensitive to the secondary structure of a  
310 protein. In particular, the absorption associated with the Amide I band represents the stretching  
311 vibrations of the C=O bond (approximately 80%) of the amide groups, while, according to  
312 several studies reported in the literature, Amide II band is not as good a predictor for quantifying  
313 the secondary structure of proteins (Fabian & Mäntele, 2002; Krimm & Bandekar, 1986;  
314 Surewicz & Mantsch, 1987).

315 The spectrum of Amide I band typically consists of a band contour which is composed of several  
316 overlapping bands due to various protein segments with different secondary structure (Surewicz  
317 & Mantsch, 1987).

318 The second derivative of the FT-IR spectra in Amide I region, allowed to identify seven major  
319 peaks corresponding to  $\alpha$ -helix ( $1648$ - $1657\text{ cm}^{-1}$ ), unordered ( $1642$ - $1675\text{ cm}^{-1}$ ),  $\beta$ -sheet ( $1620$ -  
320  $1610\text{ cm}^{-1}$ ),  $\beta$ -turn ( $1690$ - $1670\text{ cm}^{-1}$ ), and  $\beta$ -antiparallel sheet ( $\sim 1690$  and  $1641$ - $1623\text{ cm}^{-1}$ )  
321 according to the assignments by Barth (2007). Parris, Purcell, and Ptashkin (1991) also reported  
322 assignments of the seven bands in the Amide I region for  $\beta$ -lactoglobulin.

323 According to the experimental data plotted in Fig. 2, variations in the shape and area of bands  
324 were observed due to PL effect on the WPI samples. Amide I band of untreated whey protein  
325 exhibited an absorbance peak, which corresponded to a wavenumber of at  $1651\text{ cm}^{-1}$  and could  
326 be attributed to  $\alpha$ -helix. A slightly narrow peak at  $1635\text{ cm}^{-1}$  could be associated with the  
327 formation of intra-molecular  $\beta$ -sheet. With increasing PL energy from  $4$  to  $12\text{ J/cm}^2$ , the peak  
328 intensity at  $1651\text{ cm}^{-1}$  increased at a higher rate in comparison to the peak intensity at  $1636\text{ cm}^{-1}$ .  
329 A further increase of the energy dose up to  $16\text{ J/cm}^2$  caused the disappearance of the peak at  
330  $1658\text{ cm}^{-1}$  and the formation of a broad peak at  $1663\text{ cm}^{-1}$ . Moreover, gradual shifting of the  
331 peak at  $1675\text{ cm}^{-1}$  to lower wavenumber ( $1673\text{ cm}^{-1}$ ) was also observed with increasing the  
332 energy doses. These changes clearly indicated that PL affected the secondary structure of WPI  
333 samples.

334 Furthermore, upon PL treatments,  $\beta$ -sheet structures (peak at  $1616$  and  $1628\text{ cm}^{-1}$ ) were replaced  
335 by an unordered (random coil) structure corresponding to the peak at  $1644\text{ cm}^{-1}$ . Similarly, Zhu  
336 and Damodaran (1994) described a progressive decrease in  $\beta$ -sheets along with an increase in

337 unordered structures while studying heat-induced conformational changes in WPI with different  
338 heating times.

339 Results of Fig. 2 also show that another noticeable peak was formed at  $1613\text{ cm}^{-1}$  for the samples  
340 processed at higher energy doses in the investigated range. This peak is mainly associated with  
341 intermolecular  $\beta$ -sheet structure resulting from aggregation before gelation (Byler & Purcell,  
342 1989).

343 In conclusion, the findings deriving from the FT-IR analysis seem to confirm the assumption of  
344 partial unfolding of protein structure induced by PL treatments, which could promote the  
345 formation of aggregates.

346

### 347 **3.2.2 DSC analysis**

348 DSC characteristics have been extensively used to study the thermodynamic and kinetics of  
349 protein denaturation. Changes in thermodynamic properties of the proteins, such as denaturation  
350 temperature ( $T_d$ ) and enthalpy ( $\Delta H$ ) during thermally induced protein denaturation can reflect the  
351 unfolding and denaturation of proteins (Boye & Alli, 2000).

352 Fig. 3 shows the DSC thermograms of native and PL treated WPI solutions heated from 40 to  
353  $100\text{ }^\circ\text{C}$ . Untreated WPI exhibited an endothermic peak at about  $77\text{ }^\circ\text{C}$ . In agreement with the  
354 findings of Paulsson and Dejmek (1990), this peak represents the denaturation temperature of  $\beta$ -  
355 lactoglobulin, the major fraction of whey protein, and is associated to an endothermic process  
356 since denaturation involves the dissociation of intramolecular bonds (non-covalent and in some  
357 cases, covalent (disulphide) bonds).

358 The differences detected between the DSC profiles of untreated and PL treated samples suggest  
359 that PL treatment altered the thermal behaviour of WPI. Table 1 and Fig. 4 present DSC  
360 characteristic temperatures and denaturation enthalpy ratio of untreated and PL-treated WPI  
361 samples, as a function of the energy dose. Results show that no significant ( $p>0.05$ ) changes in  
362 the onset temperature ( $58.05\text{-}56.49\text{ }^\circ\text{C}$ ), the peak temperature ( $77.55\text{-}76.64\text{ }^\circ\text{C}$ ), and offset  
363 temperature ( $91.30\text{-}92.24\text{ }^\circ\text{C}$ ) values and denaturation enthalpy ratio of the WPI samples were  
364 observed after PL treatment at  $4\text{ J/cm}^2$ , as compared with the untreated samples. Instead, a  
365 significant ( $p\leq 0.05$ ) decrease was observed after PL treatment at  $12\text{ J/cm}^2$  for  $T_{\text{offset}}$  and  $T_d$ , and  
366 at  $8\text{ J/cm}^2$  for  $T_{\text{onset}}$  and  $\Delta H_{\text{ratio}}$ . Further increase of the energy dose up to  $16\text{ J/cm}^2$  led to not  
367 significant ( $p>0.05$ ) changes of the characteristics temperatures values ( $T_{\text{offset}}$ ,  $T_d$ ,  $T_{\text{onset}}$ ), while a

368 significant ( $p \leq 0.05$ ) decrease of  $\Delta H_{ratio}$  was detected when the energy dose was increased from 8  
369 to 12 J/cm<sup>2</sup>. This is likely to be attributed to the destruction of the hydrophobic bonds resulting  
370 in protein denaturation. Changes in denaturation enthalpies could be associated with changes in  
371 the bonding pattern, where a protein conformational state with fewer or weaker bonds would  
372 require less energy to unfold the molecule, which is consistent with the observed reduction of  
373 enthalpy. Decreasing of the area under the curve due UV irradiation suggested that UV  
374 irradiation decreased the portion of native protein (Muranov et al., 2011). The slight but not  
375 significant ( $p > 0.05$ ) increase of denaturation enthalpy ratio of WPI samples processed with PL  
376 treatments at 16 J/cm<sup>2</sup> could be correlated to a possible reformation of intra and intermolecular  
377 hydrophobic bonds in the resulting disordered protein molecules (Chandrapala et al., 2011).

378 In conclusion, the DSC graphs show combination of endothermic reactions, such as the  
379 disruption of hydrogen bonds, and exothermic processes, such as the disruption of hydrophobic  
380 interactions (Van der Plancken, Van Loey, Hendrickx, 2006). According to these observations,  
381 the DSC analyses of the WPI samples clearly demonstrate that the modification of protein  
382 structure and the structure loss are induced by PL treatments.

383

### 384 **3.3 Changes in the primary structure: determination of carbonyl groups**

385 Carbonyls could result from oxidative scission of peptides, which are transformed into carbonyl  
386 groups during protein oxidation (Stadtman, 1993). Therefore, the detection of carbonyl  
387 concentration may be used as an indirect measurement of irreversible modification of protein  
388 primary structure (Fenaille et al., 2006).

389 Fig. 5 shows the carbonyls concentrations of untreated and PL treated WPI samples as a function  
390 of the energy dose. The untreated WPI samples contained 3.94 nmol carbonyls/ mg proteins. The  
391 exposure to PL treatments at 4, 8, 12, and 16 J/cm<sup>2</sup> significantly ( $p \leq 0.05$ ) increased the protein  
392 carbonyl content compared with the untreated sample, which rose up to 4.39, 4.99, 5.13 and 5.39  
393 nmol/mg, respectively. It is known that amino acids with NH or NH<sub>2</sub> groups on their side chains  
394 are very sensitive to oxidation, and these groups are transformed in carbonyl groups during  
395 protein oxidation (Sante-Lhoutellier, Aubry, Gatellier, 2007). Therefore, when WPI samples  
396 were irradiated by PL, carbonyls were formed due to the modification of covalent bonds. These  
397 findings are consistent with those previously observed on the formation of protein carbonyls by  
398 photo-oxidation or thermal treatments in dairy product processing. Scheidegger et al. (2010)  
399 found that protein carbonyl content increased as a function of UV or Fluorescent irradiation time

400 for both whole and skim milk due to photo-oxidation. In addition, Viljanen, Kylli, Hubbermann,  
401 Schwarz and Heinonen (2005) also reported that photo-oxidation affects the primary structure of  
402 proteins producing changes in individual amino acids as carbonyl formation and loss of aromatic  
403 amino acids for several complex food matrices.

404

### 405 **3.4 Changes in protein functionality**

#### 406 **3.4.1 Protein Solubility**

407 Solubility is an important characteristic for the utilization of proteins in food systems and a  
408 prerequisite for derived functional properties like emulsification, foaming and gelation (Pearce &  
409 Kinsella, 1978).

410 The solubility of untreated and PL irradiated WPI samples as a function of the energy doses is  
411 shown in Fig. 6. While the solubility of samples processed at 4 J/cm<sup>2</sup> was not significantly  
412 ( $p>0.05$ ) different from that of the untreated sample, a significant ( $p\leq 0.05$ ) increase of protein  
413 solubility was observed instead for the samples treated at energy doses from 8 to 16 J/cm<sup>2</sup>. The  
414 solubility reached its maximum value for samples treated at 16 J/cm<sup>2</sup> (92%). These results are  
415 consistent with the previous observations of the effects of PL treatments on proteins structures.

416 In fact, due to the exposure to PL treatments, WPI samples were partially unfolded and the  
417 unfolding enhanced the release of hydrophilic amino acid residues to the protein surface and  
418 promoted the interactions between protein molecules and solute and, consequently, increased the  
419 solubility. Similarly, increasing solubility of soybean protein isolates with increasing pulsed  
420 electric field strength due to partial unfolding has been reported by Li, Chen, and Mo (2007).  
421 Jambrak, Mason, Lelas, Herceg, and Herceg (2008) stated that an increased solubility of whey  
422 protein detected after exposure to pulsed electric fields could be attributed to the changes in  
423 three-dimensional structures of globular protein, which resulted in an increase of the number of  
424 charged groups (NH<sub>4</sub><sup>+</sup>, COO<sup>-</sup>). As a result, the electrical conductivity of the treated sample was  
425 higher compared to that of the control, which would increase protein-water interactions due to  
426 the higher electrostatic forces. Elmnasser et al. (2008) also observed an increase of the polarity  
427 of  $\beta$ -lactoglobulin protein as well as of tryptophanyl residue microenvironment exposed to UV  
428 light, which was attributed to light-induced folding changes or to the occurrence of protein  
429 aggregation by disulphide bonds.

#### 430 **3.4.2 Foaming properties**

431 Foaming properties of native and PL treated WPI samples at different energy doses, were studied  
432 by evaluating the foaming capacity and foaming stability and the results are reported in Fig. 7.  
433 Untreated samples showed a foaming capacity and foaming stability of 51 % and 153 %,   
434 respectively.

435 The exposure to PL with energy doses higher than 4 J/cm<sup>2</sup> was enhancing significantly ( $p \leq 0.05$ )  
436 the foaming properties of WPI solutions, in comparison to the untreated samples. The maximum  
437 values (235 % for foaming capacity, and 81 % for foaming stability) were detected after PL  
438 treatments at 16 J/cm<sup>2</sup>.

439 Fernández et al. (2012) also reported an improvement of foaming properties upon PL irradiation  
440 of  $\beta$ -lactoglobulin. The authors observed an increment of about 12% of the foaming capacity of 4  
441 J/cm<sup>2</sup> treated  $\beta$ -lactoglobulin solution (10 g/L) compared to the native sample, which is  
442 consistent with results of this work in which we observed an increment of about 9% after  
443 applying a PL treatment at the same conditions.

444 Generally, the formation of foam is thermodynamically favourable due to the simultaneous  
445 dehydration of the hydrophobic interface and the exposition of hydrophobic portions of the  
446 protein (Dickinson, 1989). The foaming properties, in fact, mainly depend on the protein  
447 capability to form film at the air-water interface. The foam formation involves a large number of  
448 factors, including physical and chemical properties of the proteins, as well as environmental  
449 factors such as ionic strength or pH. In addition, steric and electrostatic repulsions during the  
450 adsorption processes as well as by the stability of the disulphide bonds, which stabilize the  
451 secondary and tertiary structures of the protein, are playing an important role in foam formation.

452 Thus, the higher values of foam capacity and stability exhibited by PL treated WPI found in this  
453 work, could be attributed to the partial denaturation induced by PL irradiation, which could  
454 increase the hydrophobicity of the treated proteins.

455 PL induced partial denaturation and formation of free SH-groups could increase the ability of  
456 treated sample to adsorb air bubbles more rapidly at the air/water interface. It is worth noting that  
457 foam stability is dictated by the number of exposed hydrophobic groups of the molecules at  
458 interface between foam (bubble) and air, the number of hydrophilic groups in contact with the  
459 aqueous solution and their relative proportion. Additionally, the net proton charge could  
460 influence the adsorption of proteins at the air-water interface (Cherry & McWatters, 1981). Sato  
461 and Nakamura (1977) observed that, when the net charge on egg white proteins was increased by  
462 succinylation, their foaming capacity was enhanced.



463

### 464 **3.5 Correlation analysis**

465 The correlation between the functional properties of untreated and PL treated WPI samples  
466 examined in this work are reported in Table 2. Data confirmed the observation reported in the  
467 previous paragraphs. Strong positive correlations were observed among free SH-groups content,  
468 carbonyl groups concentration and protein functional properties (solubility and foaming). These  
469 results clearly indicated that there is a strong relationship between the changes detected in the  
470 native proteins, namely of their primary, secondary and tertiary structure, and the improvement  
471 of their functional properties detected. A strong negative correlation between the number of free  
472 SH-groups and denaturation enthalpy was instead observed. It can be hypothesized that the  
473 formation of free SH-groups and the consequent destabilization of the conformational structure  
474 due to the breaking of disulphide bridges were causing the decrease of the denaturation enthalpy,  
475 if any. Therefore, these results indicated that the increase of SH groups concentrations  
476 consequent to PL irradiation has a strong correlation with the physicochemical properties  
477 governing the overall functionality of WPI samples.

478

### 479 **4. Conclusions**

480 The results of this study highlighted the potentiality of PL technology to induce changes of WPI  
481 conformational and functional properties in a dose depending manner. The exposure to light  
482 treatments led to the increase of the concentration of SH-groups and the formation of a carbonyl  
483 group, which suggested the modification of the protein tertiary and primary structure. Small  
484 changes of whey proteins secondary structure were also confirmed by FT-IR tests. Solubility and  
485 functional properties were significantly ( $p \leq 0.05$ ) improved by applying PL treatments at energy  
486 doses in the range from 8 to 12 J/cm<sup>2</sup>. The analysis of data of denaturation enthalpies revealed  
487 that, as a consequence of the exposure to PL, significant reductions of enthalpy and denaturation  
488 temperature of WPI occurred. All these results supported the hypothesis that PL treatments were  
489 able to partially unfold WPI samples. This partial unfolding of whey proteins exposed the  
490 hydrophobic groups, buried inside the structure in the native proteins, to the surface and allowed  
491 to increase their functional properties such as solubility and foaming capacity.

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654

655 **Fig. captions**

656 **Fig. 1** Total and free SH group content ( $\mu\text{molSH/g}$ ) of untreated ( $0 \text{ J/cm}^2$ ) and PL treated WPI  
657 solutions at different energy doses. Means with different lowercase letters within the same  
658 sample are significantly different ( $p \leq 0.05$ ).

659 **Fig. 2** Fourier transform infrared spectra of untreated and PL treated WPI samples ( $10 \text{ mg/mL}$ ).  
660 Each spectrum is represented as second derivative of the original spectra. (a) Untreated ( $0$   
661  $\text{J/cm}^2$ ), (b), (c), (d) and (e) PL treated samples at energy doses of 4, 8, 12 and  $16 \text{ J/cm}^2$ ,  
662 respectively.

663 **Fig. 3** DSC thermograms of untreated and PL treated WPI solutions. (a) Untreated ( $0 \text{ J/cm}^2$ ),  
664 (b), (c), (d) and (e) PL treated samples at energy doses of 4, 8, 12 and  $16 \text{ J/cm}^2$ , respectively.

665 **Fig. 4** Denaturation enthalpy ratio ( $\Delta H_{ratio}$ ) of untreated ( $0 \text{ J/cm}^2$ ) and PL treated WPI samples at  
666 different energy doses. Means with different lowercase letters are significantly different ( $p \leq$   
667  $0.05$ ).

668 **Fig. 5** Carbonyl groups concentration ( $\text{nmol/mg protein}$ ) of untreated ( $0 \text{ J/cm}^2$ ) and PL treated  
669 WPI samples at different energy doses. Means with different lowercase letters are significantly  
670 different ( $p \leq 0.05$ ).

671 **Fig. 6** Protein solubility of untreated ( $0 \text{ J/cm}^2$ ) and PL treated WPI samples at different energy  
672 doses. Means with a different lowercase letters are significantly different ( $p \leq 0.05$ ).

673 **Fig. 7** Comparison of foaming properties of untreated ( $0 \text{ J/cm}^2$ ) and PL treated WPI samples at  
674 different energy doses. Means with different lowercase letters within the same sample are  
675 significantly different ( $p \leq 0.05$ ).

Fig. 1

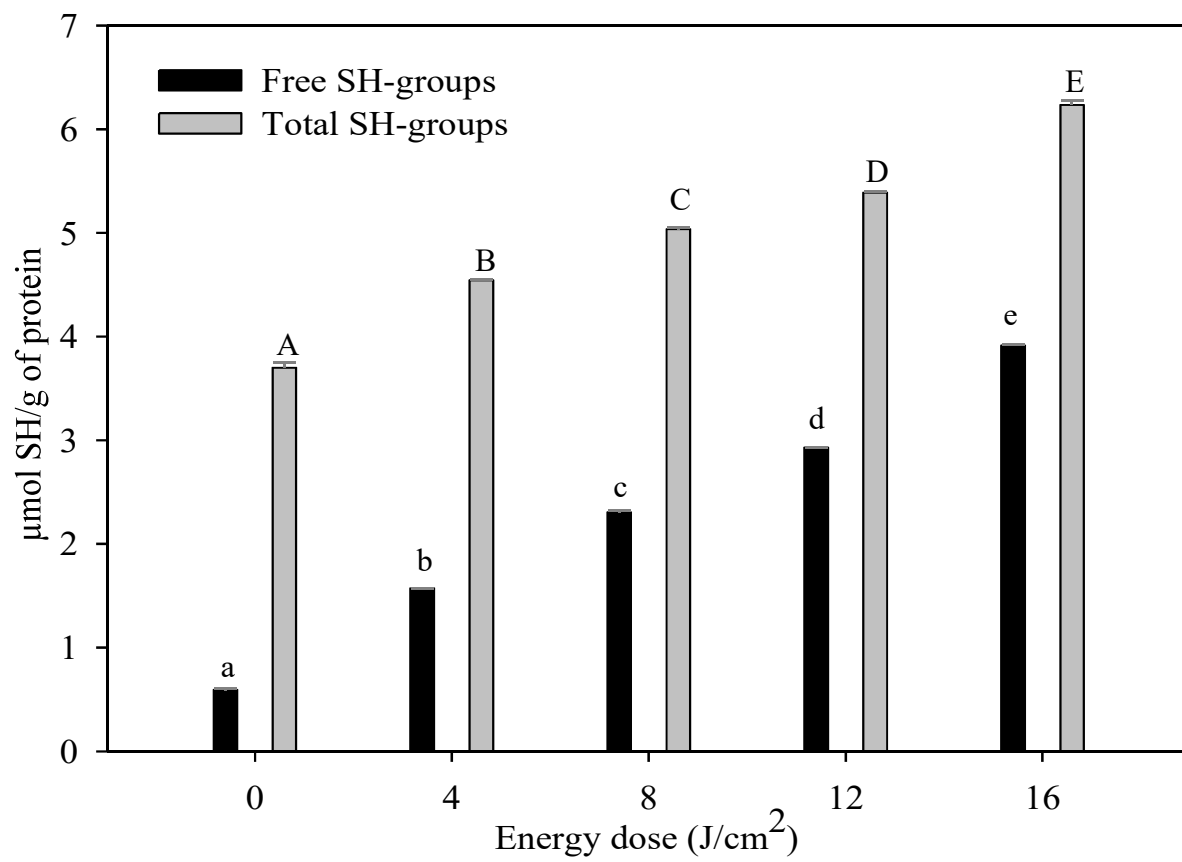




Fig. 2

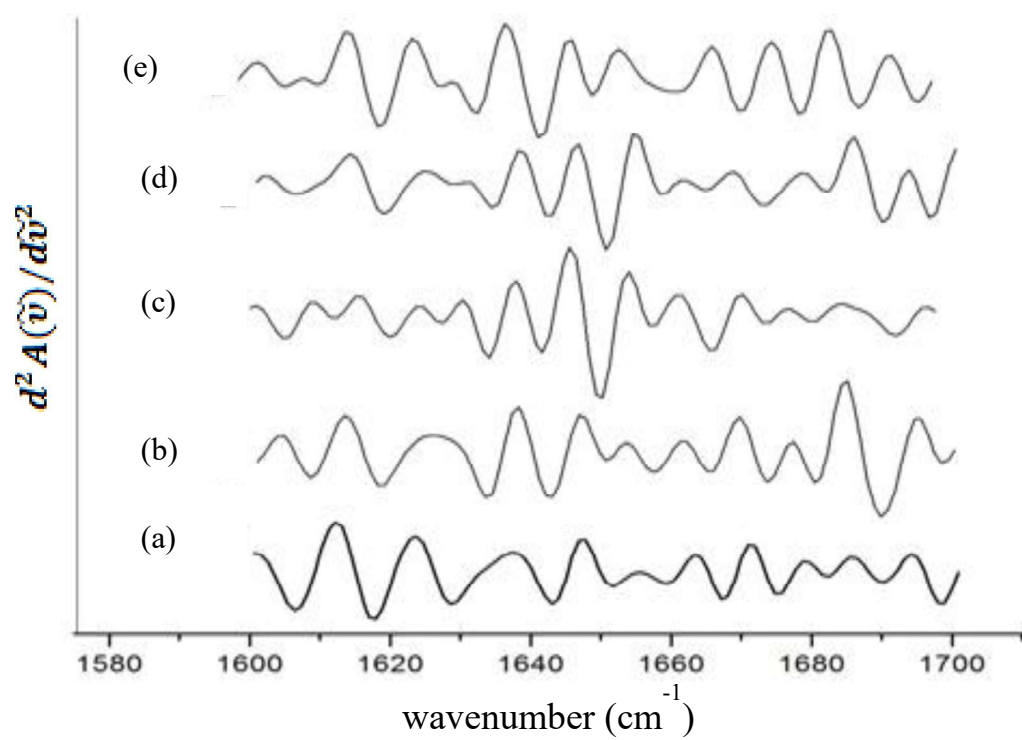
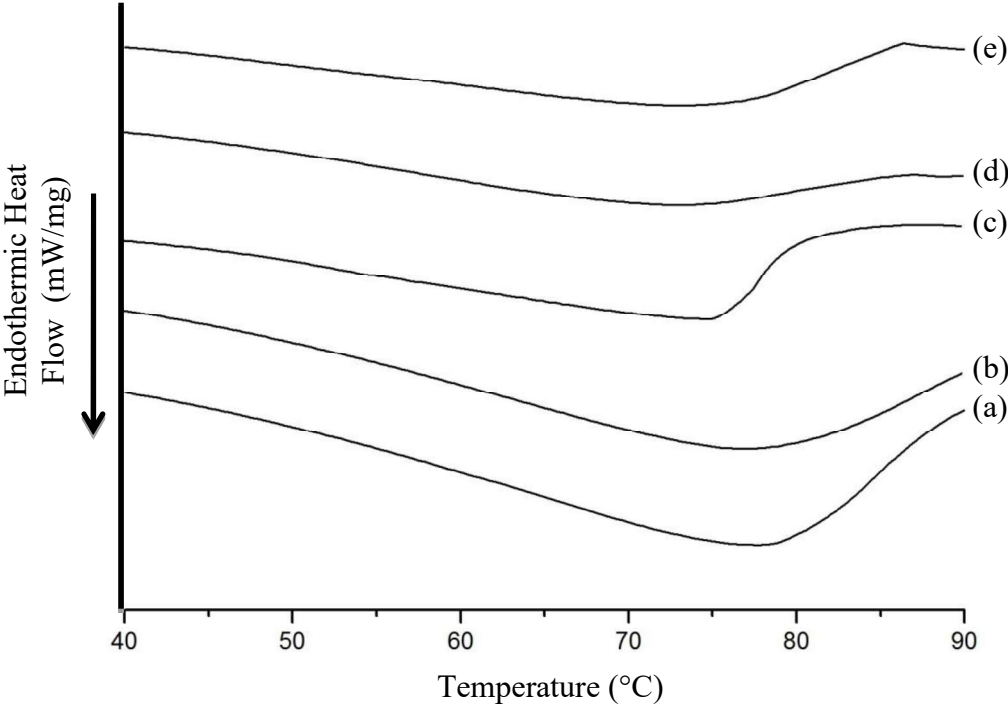
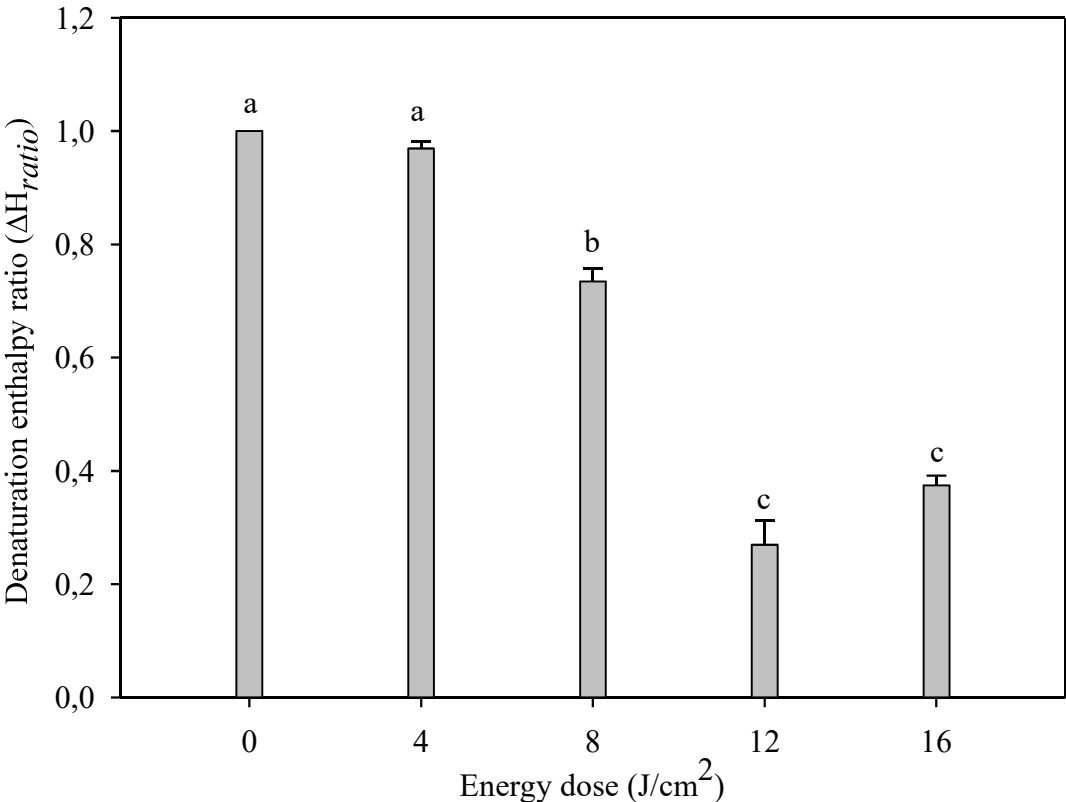


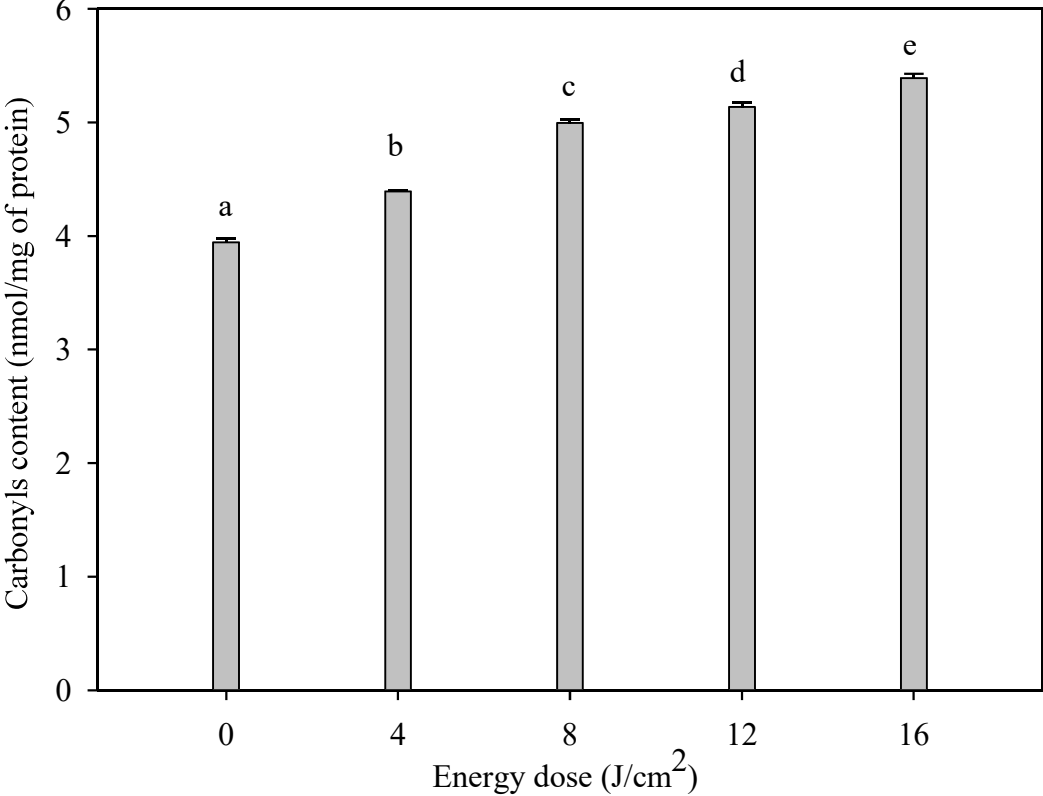
Fig. 3



**Fig. 4**



**Fig. 5**



**Fig. 6**

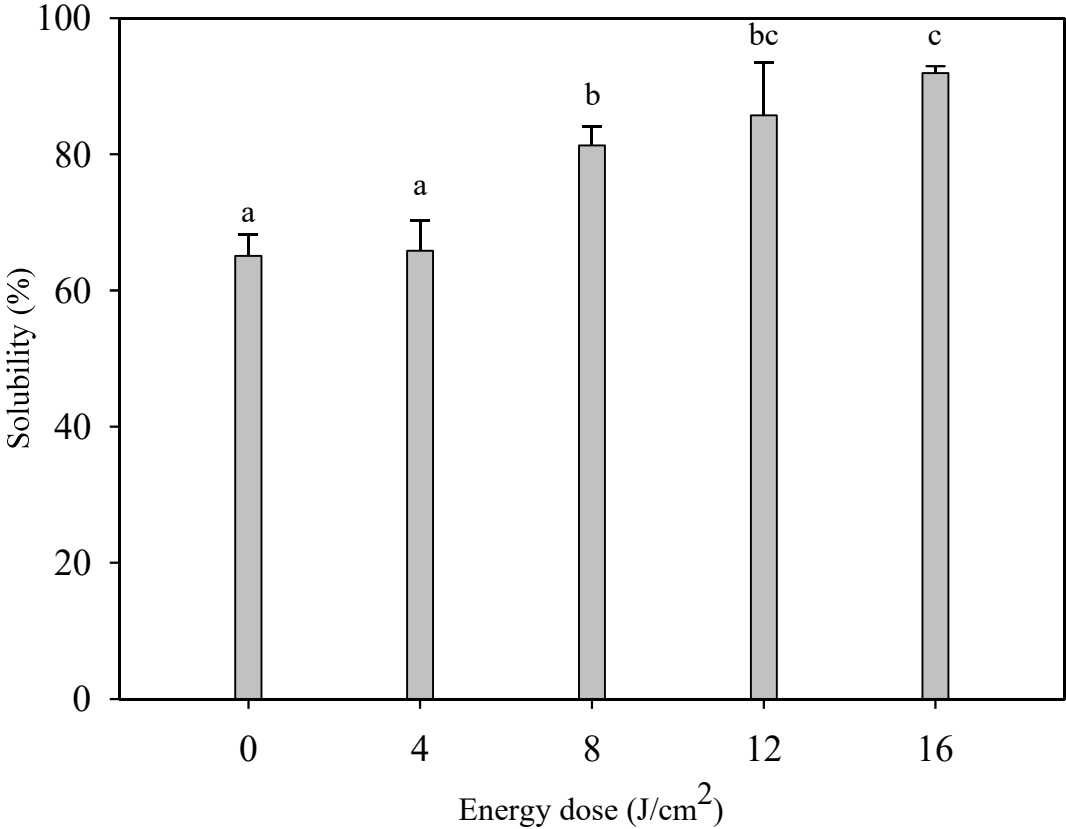
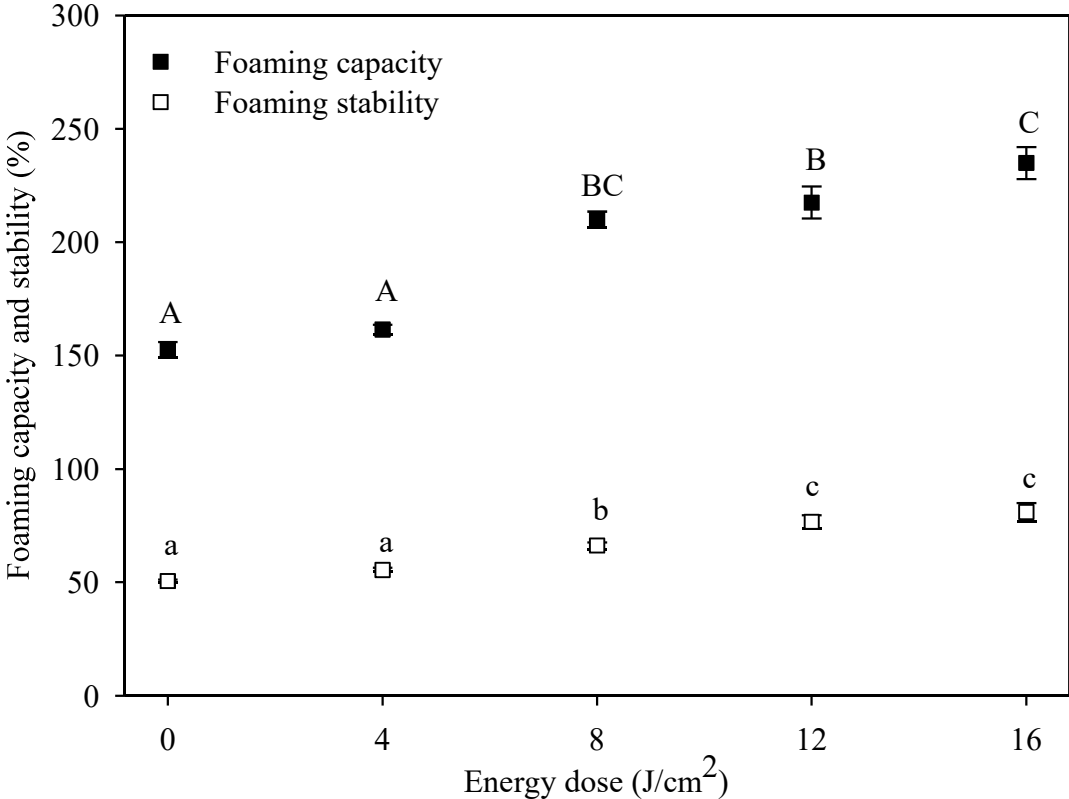


Fig. 7



1 **Table 1.** DSC characteristic temperatures of untreated (0 J/cm<sup>2</sup>) and PL treated at different  
2 energy doses (4–16 J/cm<sup>2</sup>) WPI samples. Means with different superscript letters in each column  
3 are significantly different ( $p \leq 0.05$ ).

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<b>Energy Dose (J/cm<sup>2</sup>)</b>	<b>T<sub>onset</sub> (°C)</b>	<b>T<sub>d</sub> (°C)</b>	<b>T<sub>offset</sub> (°C)</b>
0	57.0 <sup>a</sup>	76.9 <sup>a</sup>	92.3 <sup>a</sup>
4	56.3 <sup>ab</sup>	76.7 <sup>a</sup>	91.9 <sup>ab</sup>
8	54.6 <sup>ab</sup>	74.0 <sup>ab</sup>	88.9 <sup>bc</sup>
12	53.7 <sup>b</sup>	73.0 <sup>b</sup>	86.4 <sup>c</sup>
16	54.0 <sup>b</sup>	71.3 <sup>b</sup>	86.4 <sup>c</sup>

12 **Table 2.** Correlation coefficient among the functional properties of untreated and after PL  
 13 treated (4-16 J/cm<sup>2</sup>) WPI samples.

<b>WPI properties</b>	<b>Free SH- groups</b>	<b>Carbonyls content</b>	<b>Denaturation enthalpy</b>	<b>Solubility</b>	<b>Foaming capacity</b>	<b>Foaming stability</b>
<i>Free SH-groups</i>	1.000	0.945	-0.823	0.946	0.923	0.964
<i>Carbonyls content</i>	0.945	1.000	-0.856	1.000	0.998	0.967
<i>Denaturation enthalpy</i>	-0.823	-0.856	1.000	-0.870	-0.844	-0.939
<i>Solubility</i>	0.946	1.000	-0.870	1.000	0.997	0.973
<i>Foaming capacity</i>	0.923	0.998	-0.844	0.997	1.000	0.953
<i>Foaming stability</i>	0.964	0.967	-0.939	0.973	0.953	1.000



\*Graphical Abstract  
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